

# Binary Compounds

## CHAPTER PREVIEW

In this and the following chapter, we describe the most important simple (binary) crystal structures found in ceramic materials. You need to know the structures we've chosen because many other important materials have the same structures and because much of our discussion of point defects, interfaces, and processing use these materials as illustrations. Some (i.e.,  $\text{FeS}_2$ ,  $\text{CuO}$ ,  $\text{Cu}_2\text{O}$ ) are less important materials, and you would not be the only ceramist not to know their structure. We include these oxides in this discussion because each one illustrates a special feature that we find in oxides. These structures are just the tip of the iceberg for the topic known as crystal chemistry (or solid-state chemistry). The mineralogist would have to learn these, those in Chapter 7, and many more. In most examples, we mention some applications of the chosen material.

In traditional ceramic oxides, the anion is usually the larger ion, so we often think of a ceramic crystal structure as a three-dimensional array of anions with cations inserted in the interstices. Whether a particular structure is stable depends on Pauling's rules. We first review some of the important lattices, paying particular attention to the polyhedra that are formed by groups of anions. As the variety of ceramics being used in today's high-technology environment increases, some of the above assumptions cease to be valid. In certain oxides, the cation is bigger than the anion, and covalently bonded oxides and nonoxides can't be treated as arrays of hard spheres. Therefore, we learn the rules and try to understand the exceptions. The concept of crystals being arrays of polyhedra still works whether the bonding is ionic or covalent and whether the anion or the cation is larger.

In this and the following chapter, the xyz axes of cubic crystal structures lie along the cube edges; the length of the cube edge is the lattice parameter.

## 6.1 BACKGROUND

Using Pauling's rules, we can think of all crystal structures in terms of filling polyhedra—those we discussed in Chapter 5. Particularly simple cases are the simple cubic (sc), hexagonal close-packed (hcp), and face-centered cubic (fcc) lattices. In oxides such as  $\text{Al}_2\text{O}_3$  and  $\text{MgO}$ , the anion is the larger ion, which we believe forms a scaffold, with the cations then filling the interstices between the anions. This thinking has a historical bias to it. It comes from the days when ceramics were light-element oxides. Such compounds automatically have smallish cations.

With the growing importance of ternary and tertiary oxides and the nonoxide ceramics, we have to be careful when making such assumptions. You must also remember that Pauling's rules apply to compounds in which the bonding is primarily ionic. In some compounds, the structure is the one predicted by Pauling's rules, but the reason may not be the one we gave when deriving the rules! In other words, if the bonding has a large covalent component, beware. Similarly, if the cation is large (e.g., in  $\text{UO}_2$ ),

we should not (though we sometimes do) consider the structure a close-packed stacking of anions even if they do appear to lie on an fcc lattice.

Although we examine only a few materials here, each has the same structure as other important materials. We list a few of these isomorphous materials. The examples chosen are also important because other crystal structures can be related to them with only a small distortion added to change the symmetry.

The format of this chapter is summarized as follows.

CsCl	sc lattice with a two-atom basis
NaCl, GaAs	fcc lattice with a two-atom basis
$\text{CaF}_2$ , $\text{FeS}_2$	fcc lattice with a three-atom basis
AlN	Hexagonal "close-packed" lattice with a two-atom basis
$\text{Cu}_2\text{O}$	More complex but still cubic
$\text{TiO}_2$ , $\text{CuO}$	Much more complex
$\text{Al}_2\text{O}_3$ , $\text{CdI}_2$	"hcp" anions but not hcp structures
$\text{MoS}_2$	Layered material

## 6.2 CsCl

We start with the CsCl structure because it is the simplest possible, not because of its importance. The Bravais lattice of the CsCl structure is sc. We can view this structure in two ways:

- Two interpenetrating sc lattices, one of  $\text{Cs}^+$  and one of  $\text{Cl}^-$ . The two sublattices are displaced by  $\frac{1}{2}\langle 111 \rangle$ .
- One sc lattice with a two-atom basis ( $\text{Cs}^+$  at  $0,0,0$  and  $\text{Cl}^-$  at  $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ ).

The concept of a sublattice is helpful when visualizing structures, but the phrase is sometimes used when the atoms do not really lie on a lattice. In this example, the lattice could be based on the positions of either the  $\text{Cs}^+$  ions or the  $\text{Cl}^-$  ions.

We can check this structure against Pauling's rules. The ratio of the ionic radii (in pm) is

$$r_{\text{Cs}^+}/r_{\text{Cl}^-} = 170/181 = 0.94$$

As the ratio is  $>0.732$ , the  $\text{Cs}^+$  should be eightfold coordinated. It is clear from Figure 6.1 that the coordination number (CN) is 8. This structure does not appear to occur for oxides because the (divalent) cation radius would need to be  $>102.5$  pm ( $\text{O}^{2-}$  is 140 pm). It is not directional bonding that causes the structure to be adopted, just the packing requirements. This structure is the model B2 structure found in some important intermetallics such as NiAl. It is also adopted by a number of halides having useful optical properties. As shown in Figure 6.2, CsBr, CsI, TlCl, and TlBr transmit in part of the ultraviolet (UV) spectrum, all of the visible (shaded region) range, and the near-infrared (IR) spectrum.

## 6.3 NaCl (MgO, TiC, PbS)

The NaCl (rock salt or halite) structure is quite simple and is found for sulfides and carbides and some oxides, including MgO, CaO, SrO, BaO, CdO, FeO, and NiO. The anions

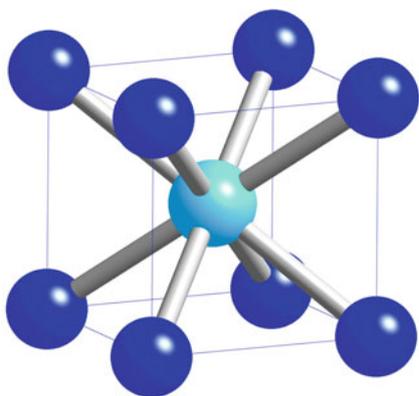


FIGURE 6.1. CsCl crystal structure. The polyhedron is the cube.

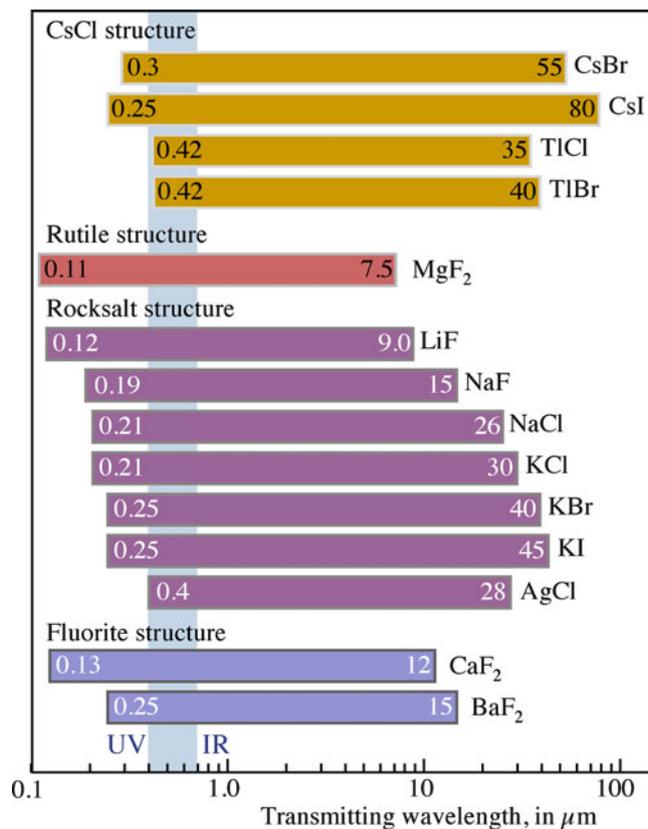


FIGURE 6.2. Range of transmittance for halide samples grouped by structure. (Each sample is 2 mm thick; 10% cutoff.) The vertical band shows the visible range.

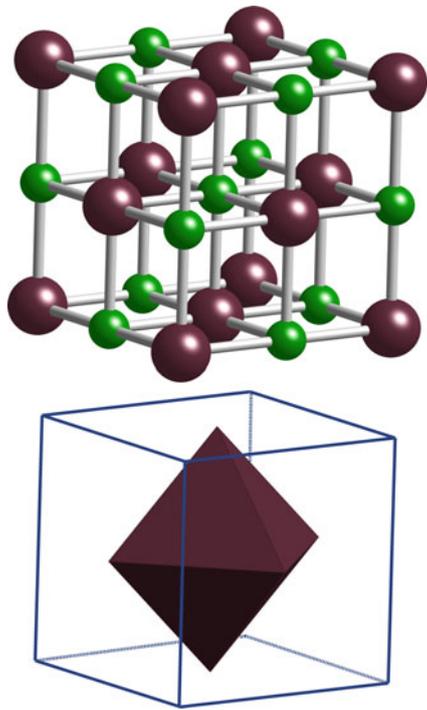
are in an fcc arrangement, and all the octahedral interstices are occupied by cations, as shown by Figure 6.3. The CN is 6 for both anions and cations.

The NaCl structure can be represented as:

- Two interpenetrating fcc lattices: one of anions and the other of cations displaced by  $\frac{1}{2}\langle 001 \rangle$  or by  $\frac{1}{2}\langle 111 \rangle$ .
- An fcc lattice with a two-atom (Na-Cl) basis. ( $\text{Cl}^-$  at  $0,0,0$  and  $\text{Na}^+$  at  $\frac{1}{2}, 0, 0$  or alternatively  $\text{Cl}^-$  at  $0,0,0$  and  $\text{Na}^+$  at  $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$ ).

Of course, this structure is actually not close-packed even though we have an fcc arrangement of anions. In the fcc metals each atom has 12 nearest neighbors (CN is 12); in NaCl each ion has six nearest neighbors (CN is 6) so the packing of the anions must be less dense than fcc. (By Pauling's rules, the octahedral interstice between the  $\text{Cl}^-$  ions must be larger than the minimum or the structure will be unstable).

For MgO (magnesia or periclase),  $r_{\text{Mg}^{2+}}/r_{\text{O}^{2-}} = 0.6$  so the Mg must be surrounded by oxygen ions in an octahedral configuration. The bond strength (valence/coordination) is  $S_{\text{Mg}} = +2/6 = +1/3$ , so each  $\text{O}^{2-}$  must also be surrounded by 6 Mg ions. There is not a lot of choice on how to join them up. Note that  $r_{\text{Na}^+}/r_{\text{Cl}^{2-}} = 0.56$ , which is also  $>0.414$  but  $<0.732$ .



**FIGURE 6.3.** The NaCl crystal structure with Cl at 000. (Top) Ion positions. (Bottom) Edge-sharing Cl octahedron.

FeO, CoO, MnO, and NiO are similar. NiO has the NaCl structure above its Néel temperature (523 K). Below this temperature, magnetic ordering makes it rhombohedral. MnO and FeO behave similarly, but CoO undergoes a tetragonal distortion when the spins align; the Néel temperatures are 122, 198, and 293 K, respectively. Stoichiometric NiO is pale green. When heated in air, it oxidizes and becomes a semiconductor.

Many of the oxides, carbides, and nitrides with the NaCl structure tend to be nonstoichiometric. Titanium monoxide exists over the range  $Ti_{0.85}O$  to  $TiO$ . FeO never occurs; it is always nonstoichiometric with a composition ranging from  $Fe_{0.90}O$  to  $Fe_{0.96}O$ . As a consequence of these vacancies, the transition metal exists in two valence states, causing the oxide to exhibit semiconductor properties (as for NiO).

In the transition metal carbides and nitrides, think of the metal as being in the close-packed arrangement with carbon or nitrogen atoms located in the interstices. The coordination number can again be determined by the radius ratio, which in this case is given by  $r_x/r_m$ , where  $r_x$  is the radius of the interstitial atom, and  $r_m$  is the radius of the metal atom. Some values of atomic radius and radius ratios for transition metal carbides and nitrides are given in Table 6.1. The radius–ratio values given in Table 6.1 are consistent with a CN of

**TABLE 6.1** Atomic Radius and Radius Ratios for Some Carbides and Nitrides

Metal	Ti	Zr
Atomic radius (nm)	0.147	0.160
C/M ratio	0.525	0.482
N/M ratio	0.511	0.470

6 based on the critical radius ratios given earlier in Table 5.4. The interstitial atoms are located at either an octahedral site or the center of a trigonal prism. For the transition metals, the tetrahedral interstices in the close-packed structures are too small for C or N.

All of the octahedral interstitial sites are occupied in the NaCl structure. In general, when the radius ratio is  $<0.59$  the metal atoms form very simple structures. The interstitial atom and its nearest metal neighbors comprise a structural unit. We can consider the structure of these materials as that of a metal with occupied interstitial sites. There are no C–C or N–N interactions in carbides and nitrides.

Some of the nitrides and carbides (e.g., NbC, TaC, ZrN), which adopt the NaCl structure, are low-temperature superconductors. Although there is no direct evidence that this property is a direct consequence of the crystal structure, the crystal structure may play an important role.

Carbides with the NaCl structure have high hardness, are chemically inert, and have high melting temperature. The best-known example is TiC. It melts at  $3,147^\circ\text{C}$ , has a Knoop hardness of 2,470, and a Young's modulus of 310 GPa. It is resistant to oxidation up to  $1,200^\circ\text{C}$ . (There is more discussion in Chapters 16–18.)

## 6.4 GaAs ( $\beta$ -SiC)

We can represent this structure as:

- Two interpenetrating fcc lattices: one of anions and the other of cations displaced by  $\frac{1}{4}\langle 111 \rangle$
- An fcc lattice with a two-atom basis (one atom at 0,0,0 and the other at  $\frac{1}{4}, \frac{1}{4}, \frac{1}{4}$ )

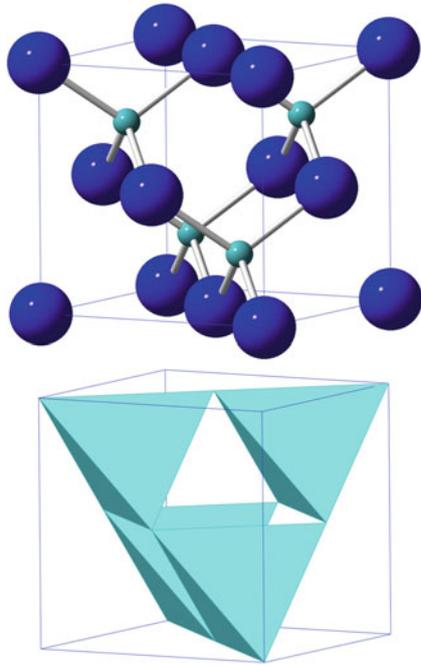
This structure is rather open: the atomic packing factor (APF) for GaAs is only 0.41. In the GaAs structure, each atom has only four nearest neighbors; the CN is 4 for both Ga and As. The structure is shown in Figure 6.4 in three-dimensional form for the (110) projection. The projection is important because it clearly shows the tunnels along the  $\langle 110 \rangle$  direction (remember that there are six equivalent  $\langle 110 \rangle$  directions). You will

### II–VI, III–V AND IV–IV

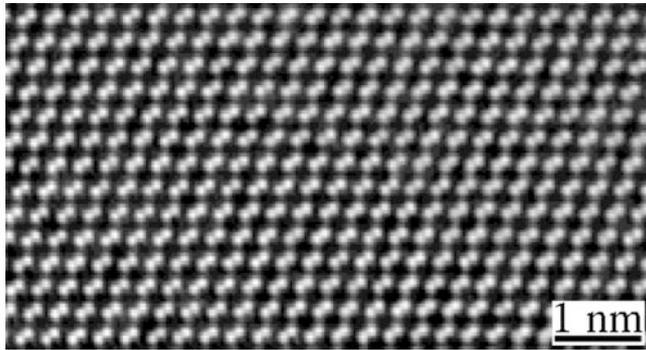
The classical name for this structure is zinc blende or sphalerite (ZnS)

GaAs, InP, InSb, etc. are not minerals

Cubic SiC is known as carborundum or moissanite



**FIGURE 6.4.** Zinc blende crystal structure. (Top) Ion positions. (Bottom) Corner-sharing tetrahedra.



**FIGURE 6.5.** HRTEM image of GaAs showing the Ga-As 0.14-nm dumbbell.

also see that many high-resolution transmission electron microscopy (TEM) images are recorded with this sample orientation because it optimizes the details seen in the image. An example is shown in Figure 6.5.

We can form the structure by stacking the anions in an fcc sequence and then filling half the tetrahedral interstices with cations. We could have chosen to stack the cations and then fill the interstices with anions, but the anions are usually larger. Other isomorphous materials include InP, InSb, GaP (known collectively as the III–Vs) and cubic SiC.

Materials with the GaAs structure are usually

**TABLE 6.2 Relationship Between Band Gap Energies and Bonding in III–V Semiconductors**

Compound	$E_g$ (eV)	% Ionic character in bond
AlP	3.0	9
GaP	2.35	6
AlAs	2.1	6
AlSb	1.55	4
GaAs	1.35	4
InP	1.30	4
GaSb	0.70	2
InAs	0.33	2
InSb	0.17	1

Note: % ionic character was calculated using Equation 4.24

semiconductors; this property is a direct consequence of the covalent bonding. In the III–Vs, the band gap increases as the ionic component to the bonding increases, as shown in Table 6.2. If we replace all of the Ga and all of the As by C, Si, or Ge, we have the diamond-cubic (dc) structure of diamond, Si and Ge. Now the bonding is entirely covalent (and Pauling’s rules would not work). We next consider the GaAs structure in comparison to AlN.

## 6.5 AlN (BeO, ZnO)

A second polymorph of ZnS is wurtzite (würzite in German). Many AB compounds, such as AlN, GaN, BeO, and ZnO, form in the wurtzite and zinc blende structures under different conditions. We can form the wurtzite structure by arranging the anions with hcp stacking and then filling half the tetrahedral interstices with cations. The structure is illustrated in Figure 6.6. The CN is 4 for both anions and cations. The first nearest-neighbor environment in AlN is identical to that in GaAs; but in GaAs there are four identical  $\langle 111 \rangle$  directions, whereas AlN only has one  $[0001]$  direction. Consider BeO: the bond strength is  $S_{\text{Be}^{2+}} = +2/4 = +1/2$ . Each  $\text{O}^{2-}$  must be surrounded by 4  $\text{Be}^{2+}$ . Hence, the structure has to be created by stacking tetrahedra.

- For wurtzite, we stack the tetrahedra ABABAB.
- For zinc blende, we stack the tetrahedra ABCABC.

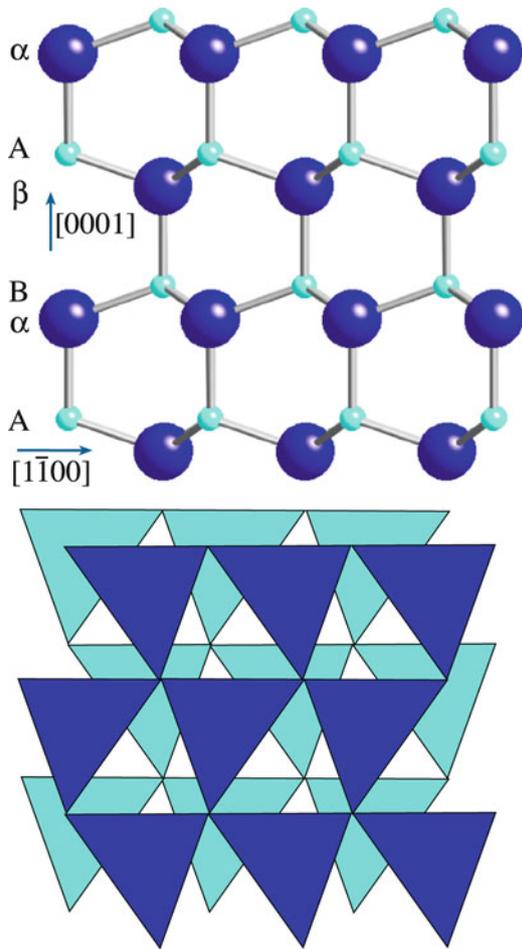
Although the theory clearly works beautifully, the catch is that the bonding between the  $\text{Be}^{2+}$  ions and the  $\text{O}^{2-}$  ions, or the  $\text{Zn}^{2+}$  ions and the  $\text{S}^{2-}$  ions, has a large covalent component. Sulfides in particular tend to be covalently bonded. Therefore, it is not really correct to apply Pauling’s rules, which were developed for ionic materials.

Another material that can be grown in either the wurtzite or zinc blende form is SiC. The bonding

### APPLY PAULING’S RULES

$$\text{BeO} \quad r_{\text{Be}^{2+}}/r_{\text{O}^{2-}} = 0.25$$

$$\text{ZnS} \quad r_{\text{Zn}^{2+}}/r_{\text{S}^{2-}} = 0.34$$



**FIGURE 6.6.** Wurtzite crystal structure viewed along  $[11\bar{2}0]$ . (*Top*) Ion positions showing  $A\alpha B\beta$  stacking. (*Bottom*) Two interpenetrating arrays of corner-sharing tetrahedra. (Only one set is needed to construct the crystal).

here is mainly covalent (~88%) as both Si and C are group IV elements. SiC is special in that it is very difficult to produce in a single structure. It always has the chemical composition SiC, but it tends to be a mixture of the two stacking sequences. These structures are two of the polytypes of SiC. The cubic form of SiC is being produced as a diamond simulant known as moissanite.

BeO and AlN have both been used for electronic packaging because of their high thermal conductivity. BeO has the higher thermal conductivity, but its powder is highly toxic.

ZnO is a semiconductor in which the conductivity depends on excess zinc atoms; its use in varistors relies

on the properties of its grain boundaries (see Chapter 14). GaN is of great interest for manufacturing blue–green laser diodes and blue and green light-emitting diodes (LEDs). It is ubiquitous in solid-state white lighting for energy-efficient domestic use. In fact, all of Singapore and much of China have LED traffic lights.

## 6.6 CaF<sub>2</sub>

The mineral CaF<sub>2</sub> is known as fluorite, flourspar, and Blue John. The ionic radii are  $r_{\text{Ca}^{2+}} = 100$  pm and  $r_{\text{F}^-} = 130$  pm. Therefore,  $r_{\text{Ca}^{2+}}/r_{\text{F}^-}$  is ~0.8. By Pauling's rules, Ca<sup>2+</sup> ions should have a CN of 8 and F<sup>-</sup> ions a CN of 4. Because the fluoride ions are larger, we should think of the structure as simple cubic stacking of the F<sup>-</sup> ions with the Ca<sup>2+</sup> ions filling every other cube interstice. However, you may remember the structure better by arranging the Ca<sup>2+</sup> ions on an fcc lattice and then placing the F<sup>-</sup> anions on the  $\frac{1}{4}, \frac{1}{4}, \frac{1}{4}$  sites. These are the sites occupied by the Ga in GaAs, but now all such sites are occupied, not just half of them. There is a large unoccupied cube interstice in the middle of the cell at  $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$  (the unoccupied site in the other description). The fluorite structure is shown in Figure 6.7.

Cubic zirconia (CZ) is stable only at high temperatures or when stabilized by addition of a dopant. CZ is a well-known diamond simulant in jewelry. Ceria and urania are both stable in the fluorite structure. In UO<sub>2</sub>, our alternate description of the structure is now clearly the better one: the U<sup>4+</sup> ion is large. The unoccupied cube interstice at  $\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$  (in the center of the cell) in UO<sub>2</sub> is very important; it can accommodate nuclear fission products (e.g.,

He) without straining the lattice. The oxides Li<sub>2</sub>O, Na<sub>2</sub>O, and K<sub>2</sub>O are said to have the antifluorite structure because the location of the anions and cations is reversed relative to fluorite.

There is a great deal of interest in fluorides with the CaF<sub>2</sub> structure for optical applications. State-of-the-art production processes for semi-

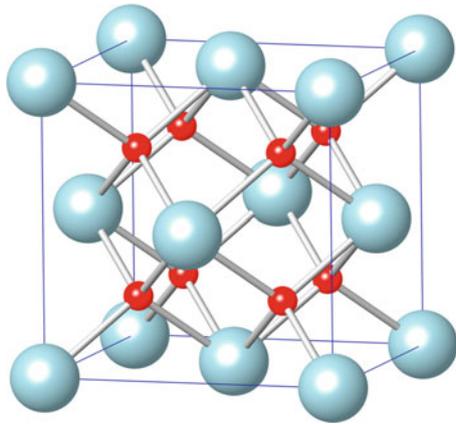
conductor devices use deep-UV lasers to produce circuits with features as small as 130 nm. CaF<sub>2</sub> is then the material of choice for semiconductor lithography. It is one of only a few materials that are transparent at the shorter wavelengths of deep-UV light (refer to Figure 6.2: CaF<sub>2</sub> is transparent down to 0.13 μm). The next major steps for lithography are expected to be systems using even

### PACKING IN ZnS

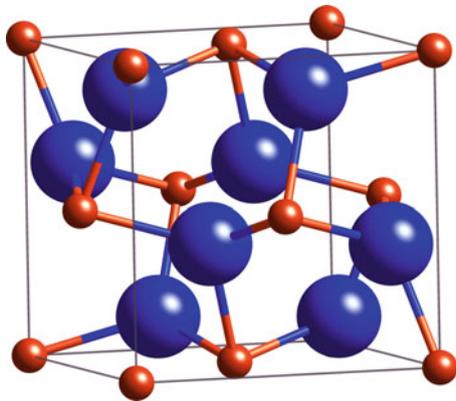
We have hcp packing of S<sup>2-</sup> ions for wurtzite, fcc packing of S<sup>2-</sup> for zinc blende. In both structures Zn<sup>2+</sup> ions are located in half the tetrahedral interstices to maximize their separation.

### FLUORITE-STRUCTURE OXIDES

c-ZrO<sub>2</sub>, CeO<sub>2</sub>, UO<sub>2</sub>



**FIGURE 6.7.** Fluorite crystal structure. The fluoride ions occupy the eight tetrahedral sites (or the Ca ions occupy half the cube sites, with an empty one at the center of the unit cell).



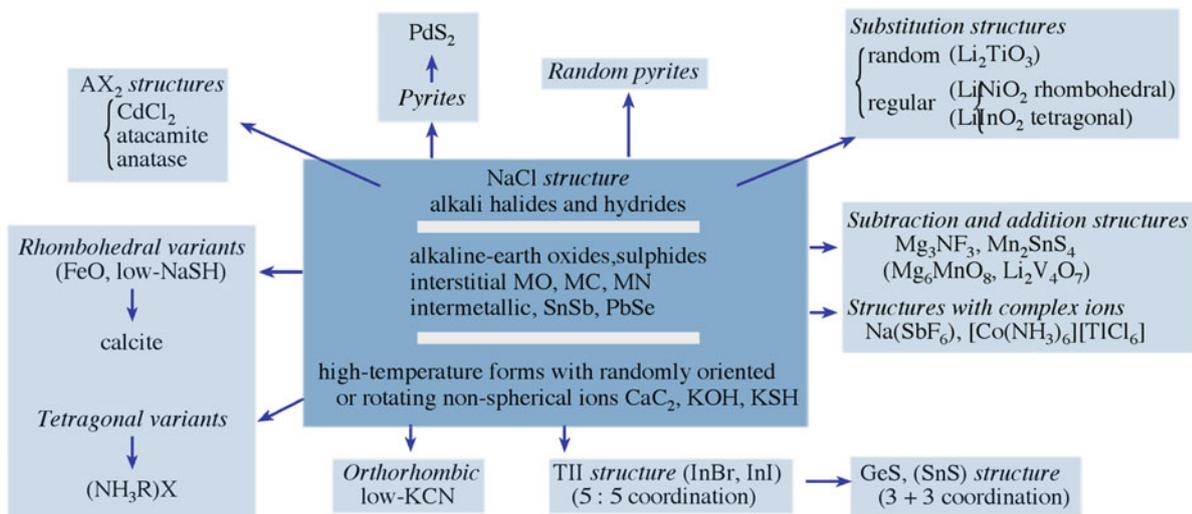
**FIGURE 6.8.** FeS<sub>2</sub> crystal structures. The Fe ions occupy the fcc positions. The cubic cell also contains four S–S dumbbells.

shorter-wavelength light, ultimately achieving feature sizes down to 70 nm, where even CaF<sub>2</sub> does not suffice. You can also see top-of-the-line cameras using fluorite lenses, so optical-quality CaF<sub>2</sub> will retain its value.

## 6.7 FeS<sub>2</sub>

The structure of pyrite (fool’s gold) is complicated but interesting. The Fe cations sit inside a sulfur octahedron. Three such octahedra then share a common vertex, and there is no edge sharing. The S–S bond length within the octahedron is 0.307 or 0.332 nm, but the S–S bond that joins the octahedra together is only 0.218 nm long. The space group is Pa $\bar{3}$  with  $a = 0.542$  nm. It’s instructive to compare pyrite and NaCl. The pyrite structure is shown in Figure 6.8. Both appear to have an fcc cell, with the Cl being replaced by an S<sub>2</sub> dumbbell; but the dumbbells point along different directions for each of the edges. The result is that NaCl belongs to the m3m class, whereas pyrite belongs to the m3 class (still cubic but with a lower symmetry). Hence, NaCl has a fourfold axis along [001]; FeS<sub>2</sub> does not, but you can find large (>4 cm on the side) single-crystal cubes of pyrite. Many binary metal chalcogenides (compounds containing S, Se, or Te) have the FeS<sub>2</sub> structure, as do a few oxides (CdO<sub>2</sub>,  $\alpha$ -K<sub>2</sub>O,  $\beta$ -Na<sub>2</sub>O). Note that S is below O in the periodic table, so we might ask what the charge is on Fe in FeS<sub>2</sub>.

Some of the relationships between the NaCl structure and materials with related structures such as pyrite are shown in Figure 6.9. This schematic is an illustration of how a simple structure can be systematically distorted to produce a host of new crystal structures.



**FIGURE 6.9.** How two simple structures (NaCl and FeS<sub>2</sub>) can be related to more complicated crystal structures.

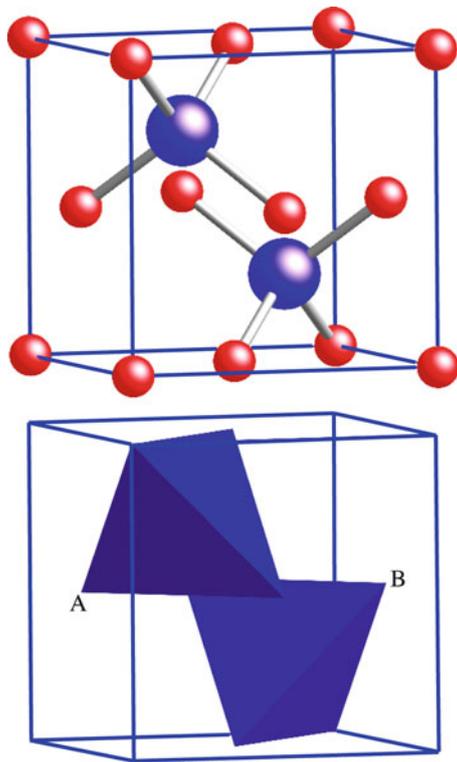
## 6.8 Cu<sub>2</sub>O

There are two main oxides of copper, Cu<sub>2</sub>O and CuO. Cuprite, Cu<sub>2</sub>O, is cubic with the m3m crystal group. It takes a little effort to imagine the structure. Start with the Si structure (dc) and replace all of the Si atoms with O<sup>2-</sup> anions. Each anion is now surrounded by four other anions. Place a Cu<sup>+</sup> cation between every pair of anions. Then, where there is no tetrahedron in the dc structure, insert a new filled tetrahedron. Alternatively, we could have just created the tetrahedra of anions with cations between each one and then stacked the maximum number (without changing their rotation) into the cube. This structure is difficult to visualize.

A simpler way to remember the structure is shown in Figure 6.10. Four Cu ions form an fcc unit cell, and two O ions occupy two of the tetrahedral sites. The O<sup>2-</sup> ions are much larger than the Cu<sup>+</sup> ions. (Remember how we think about the fluorite structure).

This structure is particularly interesting because it consists of two linkages of tetrahedra that are rotated 90° to one another. The upper tetrahedron in Figure 6.10 is linked to another along the [1 $\bar{1}$ 0] direction at the top and along the [110] direction at the bottom (A connects to B). The second tetrahedron has the reverse arrangement.

Ag<sub>2</sub>O and Pb<sub>2</sub>O are isomorphous oxides. Cu<sub>2</sub>O and Ag<sub>2</sub>O are p-type semiconductors because they contain



**FIGURE 6.10.** Cu<sub>2</sub>O crystal structures. (Top) ion positions. (Bottom) Two “occupied” tetrahedra. Cu ions sit at the fcc sites. Two O ions “occupy” tetrahedral sites.

excess oxygen atoms. The energy gap in Cu<sub>2</sub>O is ~1.5 eV, and the impurity levels (acceptors) are about 0.3–0.6 eV above the valence band edge. Cuprite occurs naturally as a transparent red mineral.

## 6.9 CuO

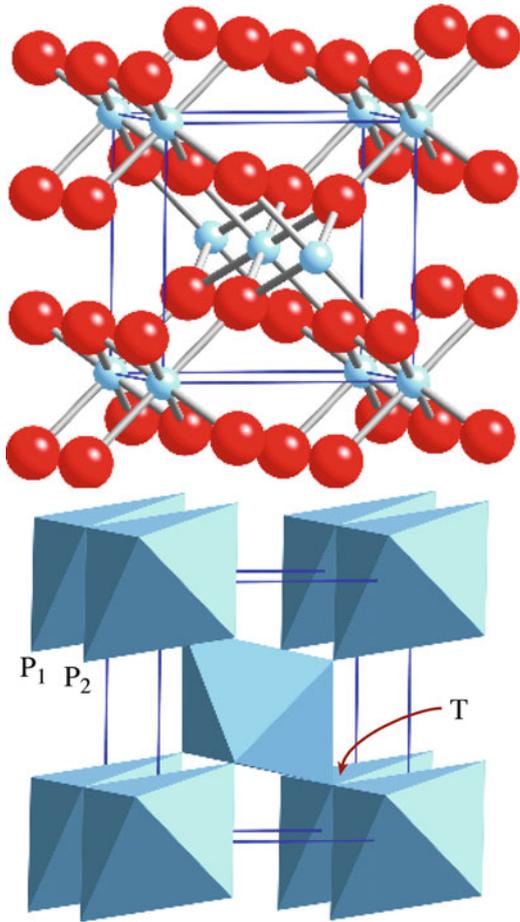
You might think CuO would have a simple structure (following CoO, NiO, ZnO). Actually, tenorite (also known as melaconite) is monoclinic with the 2/m crystal class. The Cu atoms lie approximately in the middle of a square plane of four anions. Each anion is surrounded by four cations in what resembles a distorted tetrahedron. Square-plane coordination is the special feature of the cupric ion, Cu<sup>2+</sup>. Knowing the complex structure of these oxides can help understand the oxidation mechanisms of Cu. The square-plane coordination seen in this binary oxide is relevant when we later think about complex copper-based oxides, such as YBCO.

## 6.10 TiO<sub>2</sub>

TiO<sub>2</sub> exists as rutile, anatase, and brookite. These structures are different, and we cannot think in terms of simply packing oxygen anions and filling the interstices. Each of the TiO<sub>2</sub> structures consists of Ti<sup>4+</sup> cations in the center of oxygen octahedra. In rutile, which has tetragonal symmetry, the structure is constructed by linking octahedra. An octahedron is placed at each of the eight corners such that two are actually sharing an apex (e.g., at T). The six points on these octahedra are then connected by one rotated octahedron sitting in the center of the unit cell. The edges of the octahedra link together to give chains along the z axis, as shown in Figure 6.11. Each Ti<sup>4+</sup> is thus surrounded by six O<sup>2-</sup> ions, and each O<sup>2-</sup> anion is surrounded by three Ti<sup>4+</sup> ions. The structure is primitive tetragonal, with  $a = 0.459$  nm,  $c = 0.296$  nm, and two formula units per unit cell. The easiest projection is (001), where we are looking along the fourfold axis.

In anatase, the arrangement of the anions and cations is similar, and the crystal is again tetragonal; but now each octahedron is somewhat distorted, and shares four of its edges with other octahedra. In brookite, the structure is even more complicated, with octahedra sharing both edges and corners. So the trend for rutile–anatase–brookite is toward ever-decreasing symmetry.

Rutile is the simplest compound of a family of titanates that have high dielectric constants. They range from  $\kappa \sim 100$  for rutile to several thousand for BaTiO<sub>3</sub>. Of the other oxides that share the rutile structure, CrO<sub>2</sub> is ferromagnetic with a Curie temperature of 389 K; and VO<sub>2</sub> and MnO<sub>2</sub> are antiferromagnetic with Néel temperatures of 343 and 84 K, respectively. SnO<sub>2</sub> (cassiterite) and several binary



**FIGURE 6.11.** Rutile crystal structure viewed nearly parallel to the  $z$  axis. Each of the pairs of overlapping octahedra (e.g.,  $P_1/P_2$ ) shares an edge. The two octahedra in the lower right thus have point T in common. The central octahedron touches each of the eight at the corners.

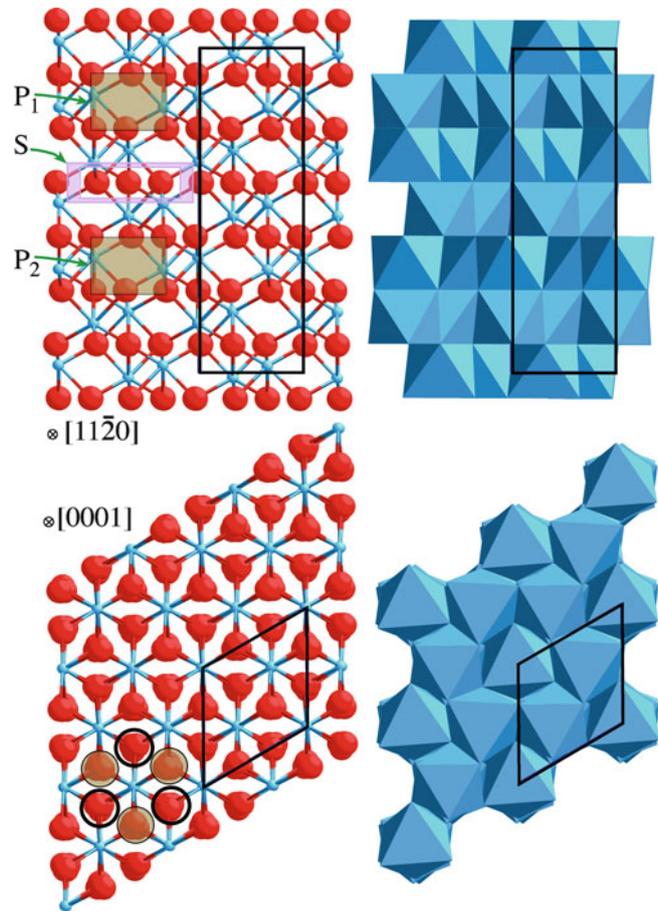
fluorides such as  $MgF_2$  are isomorphous. A lesser-known isomorphous compound is stishovite, which is a high-pressure form of  $SiO_2$ .

### 6.11 $Al_2O_3$

Alumina (the ceramic) or corundum (the mineral) refers to  $\alpha-Al_2O_3$ . When it is doped with  $Cr^{3+}$ , the mineral is called ruby; when doped with Ti ions, we call it sapphire. Natural sapphire actually contains a combination of  $Ti^{4+}$  and  $Fe^{2+}$ , which compensate the charge difference. Some of the  $Fe^{2+}$  can be replaced by  $Ti^{2+}$ , so the Fe:Ti ratio can vary. (We may also have  $Ti^{3+}$  present).

Hematite,  $Fe_2O_3$ , is isomorphous with alumina; it actually has almost exactly the same  $c/a$  ratio. Ilmenite is closely related but with Fe + Ti instead of Al + Al.  $Cr_2O_3$  and  $Ga_2O_3$  have a related structure. ( $In_2O_3$  is completely different).

The crystal structure of  $Al_2O_3$  is trigonal with the  $\bar{3}m$  crystal class, and it has a pseudo-hexagonal oxygen sublattice (which is why we usually use a hexagonal cell



**FIGURE 6.12.** Sapphire crystal structure. (Top)  $[11\bar{2}0]$  view. (Bottom)  $[0001]$  view. (Left) Atomic models. (Right) Stacking octahedra.  $P_1$  and  $P_2$  are two unoccupied octahedra. S is a triangle of more closely spaced  $O^{2-}$  ions. Open circles (lower left) show AB stacking of the anions. The unit cell is outlined for both projections.

and four-index Miller-Bravais notation), but the symmetry really is threefold, not sixfold. In  $Al_2O_3$ , the oxygen ions have what can be thought of as hcp stacking, with the  $Al^{3+}$  ions occupying two-thirds of the octahedral interstices (balancing the charge). The corundum structure is shown from two directions in Figure 6.12. Six parallel (0001) planes of oxygen ions are required to build the  $Al_2O_3$  rhombohedral cell because the stacking is  $AxB\beta A\gamma B\alpha A\beta B\gamma$ ; the  $Al^{3+}$  ions always sit in the C positions (think of the ABC fcc stacking), which is why we see the  $Al^{3+}$  ions when looking down the  $c$ -axis.

It is instructive to consider this structure in some detail. We can build it by stacking occupied octahedra (shown on the right). Each octahedron shares a face with the one above and the one below, but these are not regular octahedra. Pauling's rules say that it is not favorable to share faces of polyhedra. To compensate, the  $Al^{3+}$  cations move away from each other and toward the unoccupied octahedron (e.g.,  $P_1$  and  $P_2$ ), as you can see in Figure 6.12. The oxygen anions move close together (e.g., the boxed group labeled S) to shield the nearby positive charges.

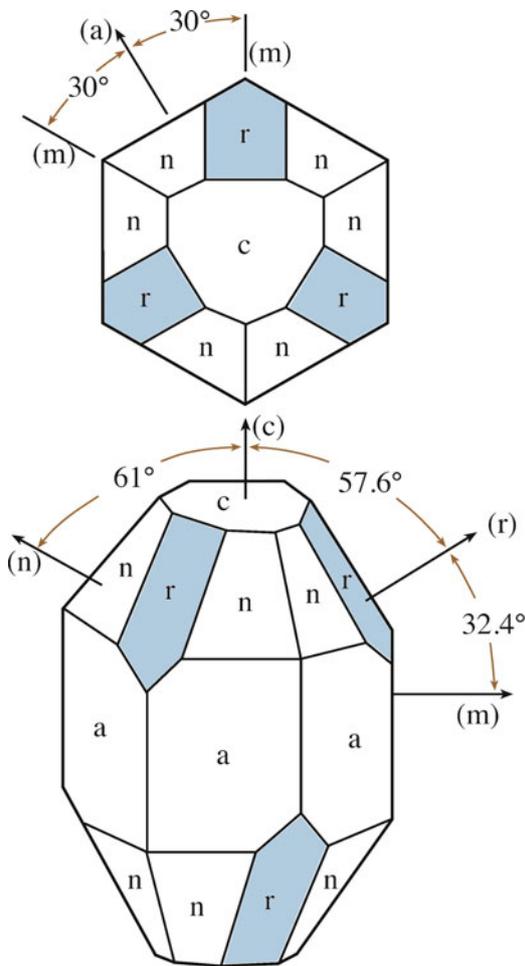
The result is that the (0001) “plane” of  $\text{Al}^{3+}$  cations actually lies on two distinct (0001) planes. This also means that there are two different oxygen–oxygen ion distances in the octahedra. We saw a similar effect in Section 6.7.

Specific letters are used to designate several of the common crystallographic planes in corundum (Table 6.3, Figure 6.13). It is useful to know this convention, especially if you want to order or use single-crystal sapphire substrates.

Aluminum oxide is by far the most widely used compound with this structure. As a single crystal, it is used in watch bearings and pressure-resistant windows. Hot-pressed powders are employed as electrical insulators, windows or radomes transparent to microwaves, envelopes

**TABLE 6.3 Common Crystallographic Planes in Sapphire**

Plane “name”	Miller-Bravais index	<i>d</i> spacing (nm)
a	(11 $\bar{2}$ 0)	0.2379
c or basal plane	(0001)	0.2165
m	(10 $\bar{1}$ 0)	0.1375
n	(11 $\bar{2}$ 3)	0.1147
r	(1 $\bar{1}$ 02)	0.1740



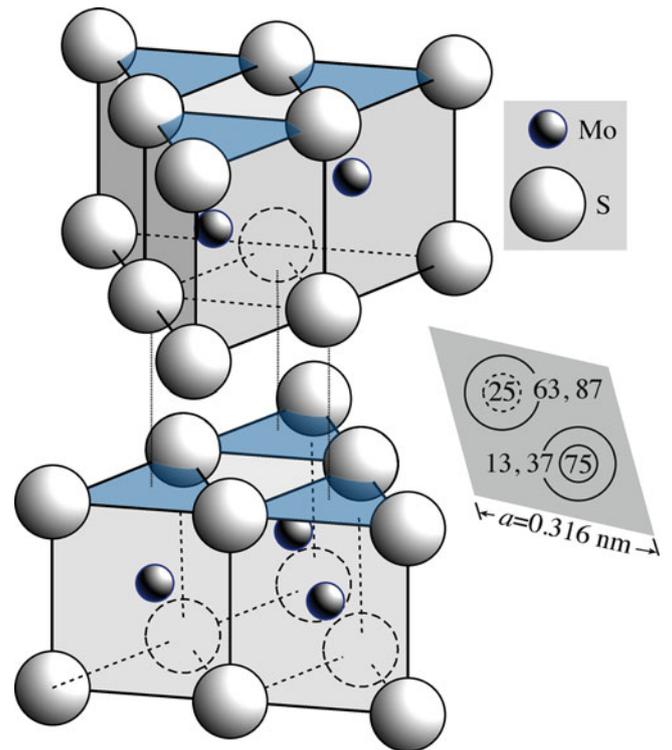
**FIGURE 6.13.** Location of important planes in sapphire.

for lamps, and electrical devices. In polycrystalline form it is also the basis of refractory bricks, crucibles, and spark-plug insulators.

## 6.12 $\text{MoS}_2$ AND $\text{CdI}_2$

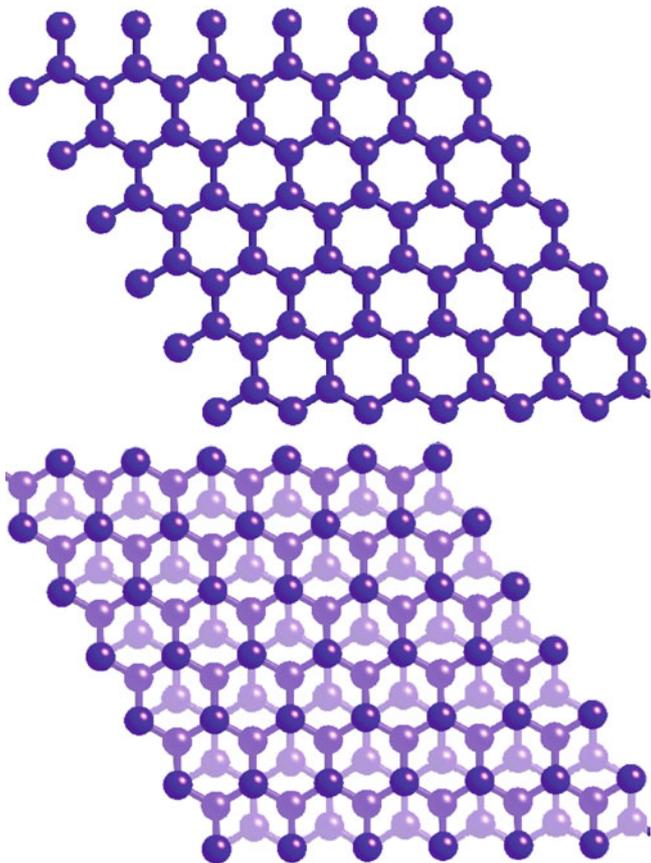
$\text{MoS}_2$  and  $\text{CdI}_2$  are based on the hcp structure. In molybdenite, the Mo atoms are located in positions corresponding to the unit cell of the hcp structure. An S–S pair is centered along the *c*-direction directly opposite the Mo atoms, giving the structure shown in Figure 6.14. The stacking sequence can be written as AbABaB, where the capital letters denote the S atoms and the lowercase letters the Mo atoms. The metal atom has a CN of 6, as it is in the  $\text{TiO}_2$  and  $\text{CdI}_2$  structures. Thus, we would expect that phases with  $r_M/r_X$  between 0.41 and 0.73 would form any of these structures. However, the more ionic compounds form the rutile structure, and the more covalent compounds have the  $\text{CdI}_2$  structure. Those in which the bonding is intermediate adopt the  $\text{MoS}_2$  structure.

Several of the Mo and W chalcogenides adopt the molybdenite structure, but  $\text{MoS}_2$  is the most interesting phase and is an excellent (dry) lubricant. It is instructive to compare the  $\text{MoS}_2$  structure to the structure of graphite, which is shown for comparison in Figure 6.15. The unit cell of graphite is clearly hexagonal and has lattice parameters  $a = 0.2456$  nm and  $c = 0.6696$  nm. The C–C



**FIGURE 6.14.** Crystal structure of molybdenite. S ions stack ABB, whereas Mo ions occupy half the trigonal prisms in each S “sandwich”.

bond length is 0.142 nm in the sheets and 0.335 nm between sheets. The six-membered rings are stacked to give an ABAB sequence. It is the long bond distance in the *c*-direction that gives graphite similar properties as a solid lubricant. (Actually, it is the weak bonds between pairs of basal planes that cause the bonds to be long).



**FIGURE 6.15.** Crystal structure of graphite. C atoms form hexagonal rings, but the unit cell is a rhombus (e.g., MoS<sub>2</sub>). See also Figure 29.19A. Viewed along the *c* axis, the *upper* figure shows just one layer (e.g., A); and the lower shows A stacked on B.

As expected, graphite has highly anisotropic properties. The properties of graphite within the sheets are similar to those of a metal, whereas the properties perpendicular to the sheets are more like those of semiconductors.

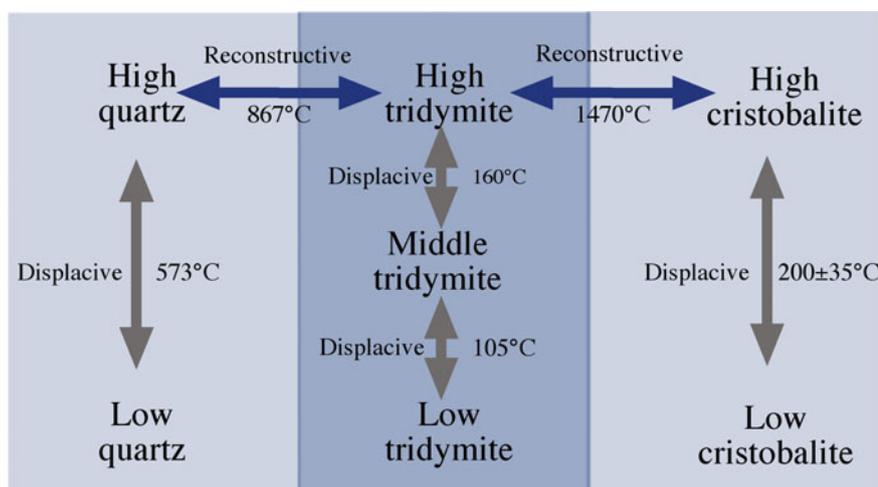
Because interlayer (van der Waals) bonding is very weak in MoS<sub>2</sub> and graphite, the structures can also exist in a rhombohedral form with a stacking sequence AbABaBCcC. Other layer materials naturally adopt this structure. (Graphite can also stack ABC).

The crystal structure of hexagonal BN is closely related to that of graphite except that the atoms in one layer lie directly above those in the next, and the six-membered rings are made up of alternating B and N atoms, which also alternate along the *c* direction. BN also exists with the sphalerite and wurtzite structures (which are nearly as hard as diamond; see Chapter 16).

This structure can also be derived from the hcp structure by replacing the metal atoms in the unit cell by I atoms and by adding Cd atoms at the corners of the unit cell. Thus, the I<sup>-</sup> ions sit in an hcp arrangement with the Cd<sup>2+</sup> ions between them. The more covalent AB<sub>2</sub> phases tend to form the CdI<sub>2</sub> structure. Thus, the larger polarizable iodides and bromides form this structure with highly polarizing cations, whereas the fluorides favor the rutile structure.

### 6.13 POLYMORPHS, POLYTYPES, AND POLYTYPIDS

Polymorphs are materials that have the same chemical composition but different crystal structures. Many ceramic materials show this behavior, including SiO<sub>2</sub>, BN, BaTiO<sub>3</sub>, ZrO<sub>2</sub>, and BeO. Transitions between different polymorphs may occur as a result of changes in temperature or pressure. The relationships between the polymorphic forms of silica are shown in Figure 6.16 with the corresponding temperatures needed for transformation. These are not the only known phases of SiO<sub>2</sub>. At pressures around 2 GPa,



**FIGURE 6.16.** How polymeric forms of silica can be converted into one another by displacive or reconstructive structural transformations.

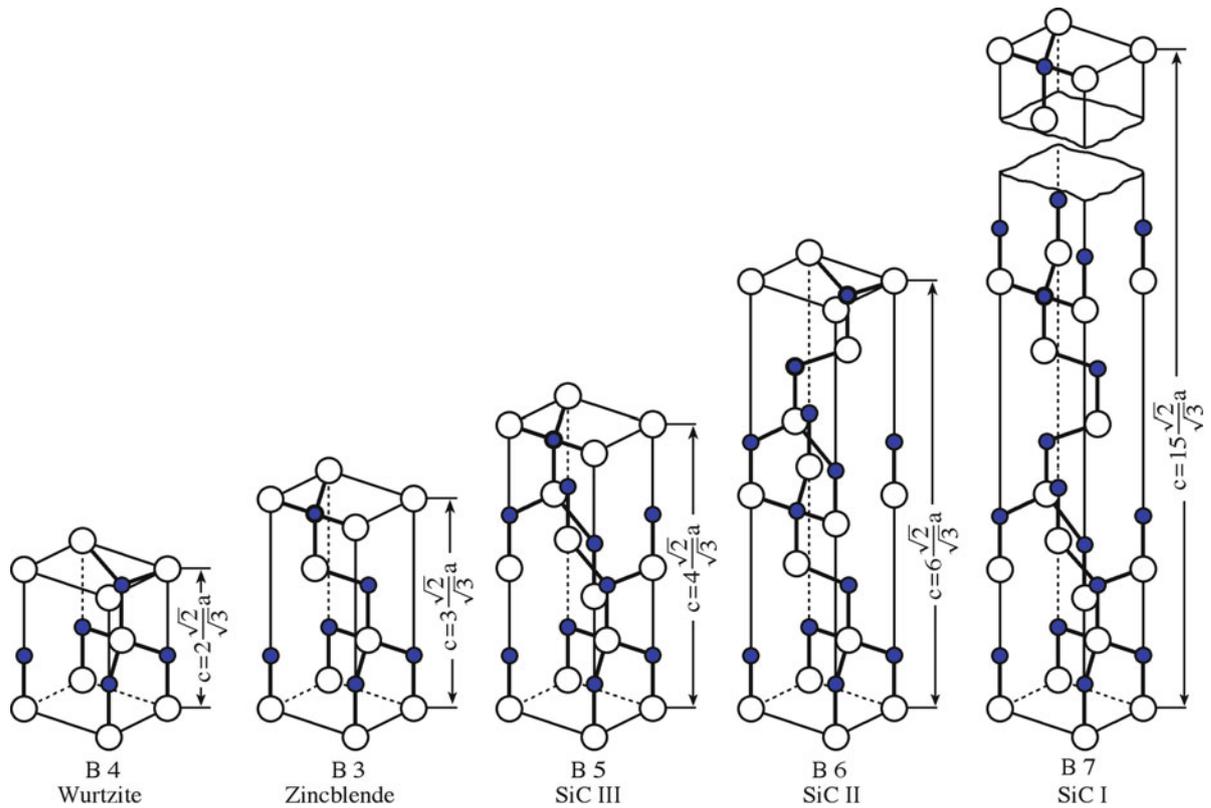


FIGURE 6.17. Stacking sequences for five SiC polytypes.

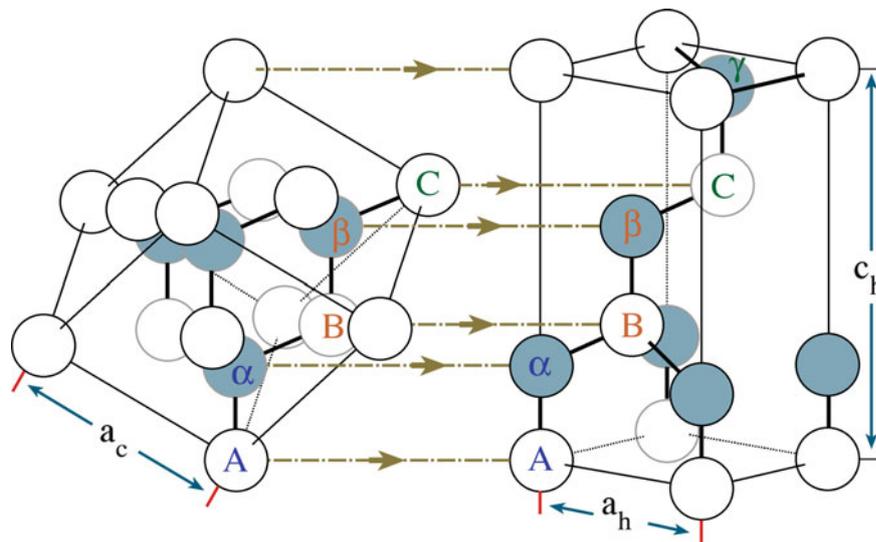


FIGURE 6.18. Relating the cubic and rhombohedral unit cells for zinc blende.

quartz transforms into coesite. At even higher pressures, around 7.5 GPa, coesite transforms to stishovite. The high-pressure forms have been prepared experimentally and also found at the famous Cañon Diablo Meteor site in Arizona. (We examine these structures further in Chapter 7).

When an element exists in different solid phases we refer to the phases as allotropes. Graphite and diamond are two allotropes of carbon.

Polytypism is a special, one-dimensional type of polymorphism in which the different crystal structures assumed by a compound differ only in the order in which a two-dimensional layer is stacked. The effect is common in layer structures (e.g., MoS<sub>2</sub>, graphite, layer silicates). Silicon carbide (SiC), a ceramic material of considerable importance, displays the richest collection of polytypic forms. More than 200 SiC polytypes have been determined. Figure 6.18 shows

**TABLE 6.4 Relationships Between Polytypes in Silicon Carbide**

Structure	Strukturbericht	Stacking sequence	Lattice parameters		Ramsdell notation
			a (nm)	c (nm)	
Wurtzite	B4	AB	0.3076	0.5048	2H
Zinc blende	B3	ABC	0.308	0.755	3C
Carborundum III	B5	ABAC	0.3076	1.004	4H
Carborundum II	B6	ABCACB	0.3080	1.509	6H
Carborundum I	B7	ABACBCACBABCAC	0.3080	3.781	15R

the structural relationship between five polytypes. Table 6.4 gives the stacking sequence and lattice parameters for the polytypes.

You can see in Figure 6.17 that we have translated the usual cubic representation of the zinc blende cell into a rhombohedral one, which can be compared directly with the unit cells of the other SiC polytypes. A way of viewing the cubic (3C) cell as a rhombohedral cell is shown in Figure 6.18. The former cubic-cell diagonal has become now the *c*-axis of the corresponding rhombohedral cell. Of course, the arrangement of the atoms remains unchanged.

You can also see that we introduced a new notation scheme in Table 6.4. The Ramsdell notation is frequently used when referring to different polytypic forms and describes the stacking sequence in these complex structures. The notation consists of a number and a letter. The number indicates the number of layers in the sequence. The letter indicates the structure type (C = cubic, H = hexagonal, R = rhombohedral). At one extreme we have the zinc blende SiC (3C), with pure cubic stacking in the [111] direction. At the other extreme we have wurtzite SiC (2H), with pure hexagonal stacking in the [0001] direction. The other polytypes have either H or R stacking sequences. For example, the carborundum III (B5) structure in Figure 6.17 has

the Ramsdell symbol 4H: the sequence consists of four layers and then repeats, and the structure is hexagonal.

In this chapter we have discussed the structure of a series of binary compounds that are also used as models for other compounds. All ceramics students must learn some of these structures by heart, but it is equally important to know the reason we chose these structures and how they relate to Pauling's rules (Chapter 5). Also, remember that Pauling's rules were developed for ionic materials, so any covalent component may compromise the predictions. The polyhedra found in these simple structures reappear in much more complex structures, as we'll see in Chapter 7. Each of the compounds has an application as illustrated here, but we concentrate more on those applications in later chapters. As an example, CaF<sub>2</sub> used to be known as an interesting structure and a semiprecious stone. That it would today be grown as 200 mm diameter crystals for 135 μm UV lithography would not have been imagined a few years ago. Although it is used for its optical properties, the orientation of the crystal must be controlled because the optical properties depend on the crystal orientation. The best large sapphire windows (with minimum birefringence) are cut from (0001) crystals. The crystal structure of crystalline materials controls most of the properties of these materials.

## CHAPTER SUMMARY

To really understand ceramic materials, you must know the basic crystal structures. Then you can picture the polyhedra—such as the tetrahedron and the octahedron—and know what we mean when we talk about linking them, distorting them, substituting them, and so on. Always keep Pauling's rules in mind. We've discussed the most important of the structures of the binary compounds, and you must know CsCl, NaCl, GaAs, AlN, CaF<sub>2</sub>, MoS<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> by heart. We have also included FeS<sub>2</sub>, Cu<sub>2</sub>O, CuO, and CdI<sub>2</sub> and TiO<sub>2</sub> in part because these materials are becoming more important in their own right but also because they provide insight into many related binary compounds. Throughout this chapter and in Chapter 7 many of the diagrams were drawn using CrystalMaker. This is an affordable program for the Mac and PC and should be available to every student taking any ceramics or mineralogy course. It is today's equivalent of the real (wooden) ball and (steel) stick models that used to be passed around the class but rarely were taken to your dorm room. It allows you to switch from ball-and-stick to polyhedra at the click of the mouse.

## PEOPLE AND HISTORY

*Bragg, W.H. and Bragg, W.L.* (father and son). They did not discover X-ray diffraction, but they realized that it could be used to determine the structure of crystals. The first structure they solved was that of NaCl. They won the 1915 Nobel Prize in Physics “for their services in the analysis of crystal structure by means of X-rays.” In addition to the Braggs, the other fathers and sons with tandem Nobel laureates are the Thomsons (Sir Joseph Thomson for Physics 1906 and his son George Paget Thomson for Physics 1937) and the Siegbahns (Karl Manne Siegbahn for Physics 1924 and his son Kai Siegbahn for Physics 1981).

*Coes, Loring.* A high-pressure scientist, he gave his name to the high-pressure form of quartz. He first synthesized coesite in 1953 at Norton Laboratories.

*Moissan, Ferdinand Frédéric-Henri.* He began researching diamond synthesis in 1889. His idea was to produce diamonds by passing an electrical current through a sample of iron and sugar charcoal followed rapidly by quenching it in cold water. After one experiment Moissan isolated very small diamond octahedral crystals. After his death in 1907, it was revealed that one of Moissan’s assistants had planted natural diamonds to make Moissan feel better. Moissan did actually make SiC, which was later given the name Moissanite.

*IUCr (International Union of Crystallography).* This Society publishes the journal *Acta Crystallographica*. IUCr recorded: “the very first specialized X-ray diffraction meeting with international representation was an informal one and was held at Ewald’s mother’s house on the Ammersee, Germany, in 1925. In addition to Ewald, the small group included W.L. Bragg, L. Brillouin, C.G. Darwin, P.J.W. Debye, R.W. James, M. von Laue, I. Waller, and R.W.G. Wyckoff.”

## EXERCISES

- 6.1 Draw and label (the ions and at least three directions) the [100], [111], and [110] projections for rock salt, GaAs, CsCl, and fluorite.
- 6.2 Draw and label (the ions and at least three directions) the [0001], [1 $\bar{1}$ 00], and [11 $\bar{2}$ 0] projections for hematite.
- 6.3 Draw and label (the ions and at least three directions) the [0001], [1 $\bar{1}$ 00], and [11 $\bar{2}$ 0] projections for ZnO.
- 6.4 Estimate the radius of the cubic interstice in UO<sub>2</sub>. Discuss this result using Pauling’s rules.
- 6.5 You know the crystal class of FeS<sub>2</sub> and its space group. Explain the relationship.
- 6.6 Prove that the atomic packing factor for GaAs is 0.41. The atomic radii for Ga and As are 0.135 and 0.125 nm, respectively. The lattice parameter is 0.565 nm.
- 6.7 The coordination number (CN) for silver and copper ions in Ag<sub>2</sub>O and Cu<sub>2</sub>O is 4. This is quite unusual for these ions. What would you expect the CN to be based on the sizes of the ions? How might you explain the observed differences, if any? The ionic radii of the ions are given in Table 4.6.
- 6.8 Does rutile obey Pauling’s rules?
- 6.9 How do the densities of high cristobalite and silica glass compare? You will need to dig for the data on this one—in the library or on the Internet.
- 6.10 NaCl, TiC, and PbS have the same structure. Are they all good examples of Pauling’s rules in action?
- 6.11 Imagine that NaCl could exist in the rock salt, CsCl, and zinc blende structure with an Na-Cl bond length of 0.1 nm. What would be the lattice parameters of the crystal in each case? If the Cl<sup>−</sup> ion has its usual (Pauling) radius, what would you deduce about the size of the Na<sup>+</sup> ion in each case?
- 6.12 If the lattice parameters of MgO are  $a = b = c = 0.2$  nm and  $\alpha = \beta = \gamma = 90^\circ$ , what is the lattice parameter of the primitive rhombohedral unit cell?
- 6.13 What is the orientation of the crystal that is imaged in Figure 6.5? Label three different planes that are edge on in this orientation.
- 6.14 Nanoparticles of ZnO are among the hottest materials for high-tech (money-making) applications because of their electronic properties. Explore the literature and make a list of 10 possible applications and the form of ZnO in each case (e.g., particle, rod, film).
- 6.15 Why did Ramsdell create his notation? (Reference your source.)
- 6.16 Explain how the 4H structure (in Ramsdell notation) can be hexagonal.
- 6.17 You know the structure of graphite is 2H but can be 3R. In this context, discuss the meaning of the phrases a) two layers of graphene, b) three layers of graphene, c) four layers of graphene, and d) five layers of graphene.

- 6.18 Remembering exercise 6.17, speculate on the structure and properties of the imaginary molybdenite.
- 6.19 Why can SiC exist in so many different polytypes? Explore the literature to find the largest lattice parameter that has been reported for a SiC polytype (c in Figure 6.17). (Report your source).
- 6.20 Molybdenite is used as a solid lubricant and may have applications for batteries. How are these two applications related?

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### WWW

- CrystalMaker [www.crystallmaker.co.uk](http://www.crystallmaker.co.uk) We repeat this info: you should try it. (Free to try)