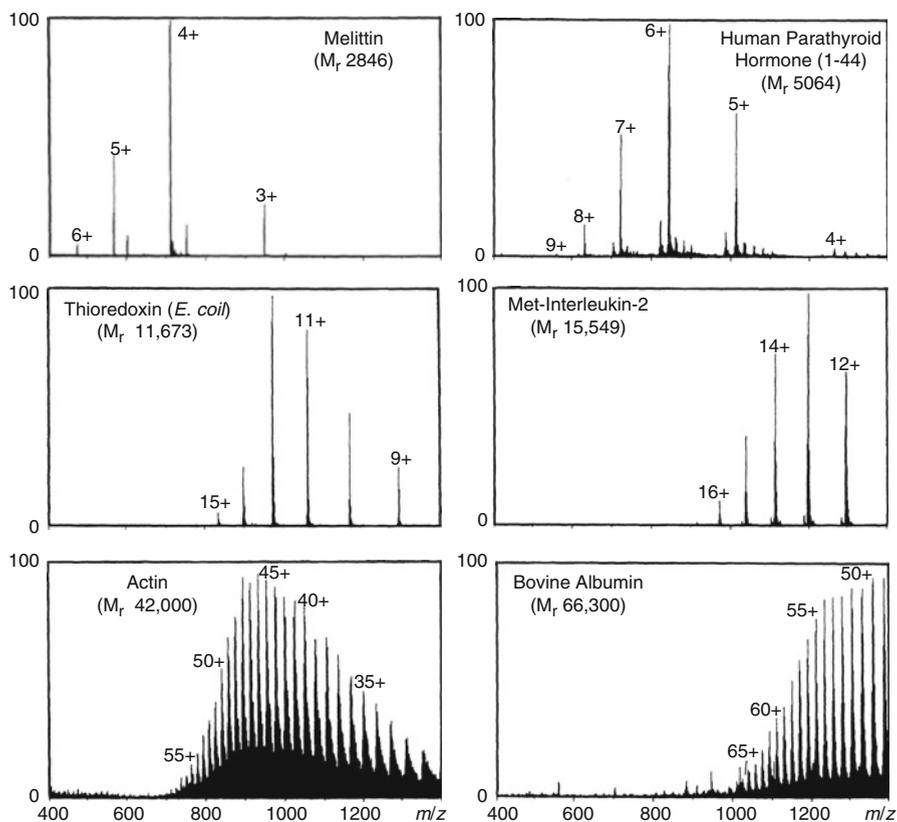


## Learning Objectives

- Electrospray – a method of ion formation at atmospheric pressure
- Interfacing atmospheric pressure ionization to analyzer high vacuum
- Spraying of electrolytic solutions by action of an electrostatic field
- Processes of ion liberation from electrolytic solutions
- Formation of multiply charged ions and charge deconvolution
- Small molecules analysis by electrospray ionization
- High-mass and high-polarity capabilities of electrospray ionization

*Electrospray ionization* (ESI) is the most prominent technique among the group of *atmospheric pressure ionization* (API) methods, some of which have already been discussed in the context of chemical ionization at atmospheric pressure (APCI, Sects. 7.8 and 7.9). ESI is the method of choice for *liquid chromatography-mass spectrometry coupling* (LC-MS, Chap. 14) [1–4]. In fact, ESI and *matrix-assisted laser desorption/ionization* (MALDI, Chap. 11) have provided the means for expanding the application of MS into the fields of biology and the biomedical sciences, and currently, they are the most frequently employed ionization methods in MS [1, 2, 4–11].

ESI “is a soft ionization technique that accomplishes the transfer of ions from solution to the gas phase. The technique is extremely useful for the analysis of large, non-volatile, chargeable molecules such as proteins and nucleic acid polymers” [10–15]. In contrast to *fast atom bombardment* (FAB, Chap. 10), in ESI the solution is composed of a volatile solvent containing the ionic analyte at very low concentration, typically  $10^{-6}$ – $10^{-4}$  M. In addition, the transfer of ions from the condensed phase into the state of isolated gas-phase ions starts at atmospheric pressure and



**Fig. 12.1** Relationship between the mass of peptides and proteins and the number of ionic charges under ESI conditions (Adapted from Ref. [18] by permission. © American Chemical Society, 1990)

incrementally proceeds into the high vacuum of the mass analyzer [6, 16–18]. This results in a marked softness of ionization and makes ESI the “wings for molecular elephants” [19]. Another reason for the extraordinary high-mass capability of ESI [15, 20, 21] is founded in the characteristic formation of multiply charged ions in case of high-mass analytes [15, 18, 22]. Multiple charging also folds up the  $m/z$  scale by the number of charges, and thus, shifts the ions into an  $m/z$  range accessible by most mass analyzers (Fig. 12.1 and Sect. 3.8)

ESI serves equally well for small polar molecules, ionic metal complexes [23–25], and other soluble inorganic analytes [26]. So let’s start exploring the ESI route with this promising outlook in mind.

**Beyond the usual  $m/z$ :** Most high-mass analytes examined by ESI readily form multiply charged ions. Normally, it is therefore sufficient in ESI-MS to cover the range up to  $m/z$  3000 for the detection of ions. This does not preclude, however, that ESI can produce ions of much higher  $m/z$  [27, 28]; even ions at  $m/z$  85,000 have been observed [29].

## 12.1 Route Leading to Electrospray Ionization

ESI in its current state-of-the-art has not resulted from a straightforward development. It has many predecessors, some of which having been successful at their time, while others were rather short-lived methods replaced as soon as more sensitive or more robust techniques appeared [30]. Nonetheless, the development of all those techniques aimed at both the direct coupling of liquid chromatography to mass spectrometry and the access to highly polar or even ionic analytes.

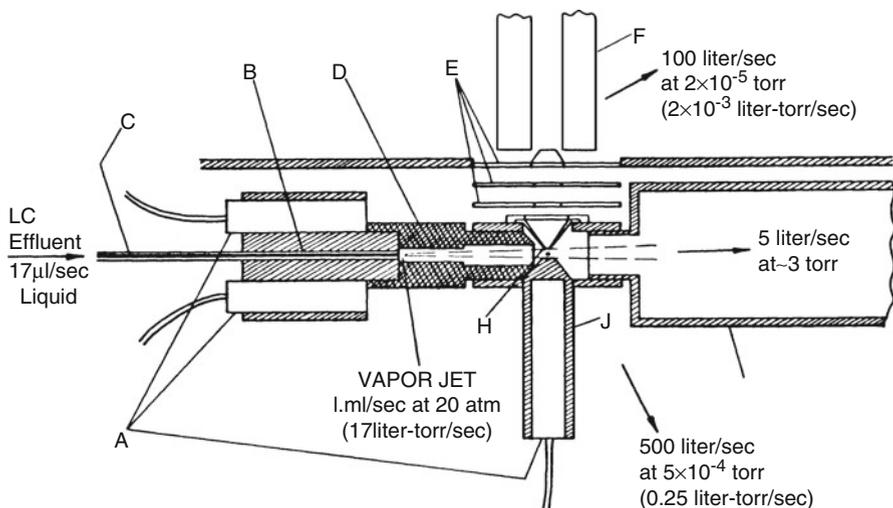
Following this historical sketch of milestones along the way to ESI, the below sections will emphasize the construction of ESI interfaces, the process of electrospray as such, and the pathways of ion liberation from the liquid phase into the state of isolated gas phase ions.

### 12.1.1 Atmospheric Pressure Ionization and Related Methods

The first technique, *atmospheric pressure ionization* (API), was presented as early as 1973 by the Horning group [31]. Just 1 year later, the same group introduced a largely improved variant as *atmospheric pressure chemical ionization* (APCI) [32]. In 2000, *atmospheric pressure photoionization* (APPI) was developed to expand the range of applications to analytes of lower polarity [33]. As API, APCI, and APPI essentially rely on chemical ionization processes, these were already discussed in Sects. 7.8 and 7.9. Both techniques, APCI and APPI, are frequently employed. Nowadays, *atmospheric pressure ionization* has been transformed into a collective term for all techniques where ion generation occurs at atmospheric pressure.

### 12.1.2 Thermospray

In *Thermospray* (TSP) [34–36] a solution of the analyte and a volatile buffer, usually 0.1 M ammonium acetate, is evaporated from a heated capillary at a liquid flow of 1–2 ml min<sup>-1</sup> into a heated chamber (>600 °C), hence the term. As the solvent evaporates, the analyte starts to form adducts with ions from the buffer salt. While most of the neutrals are removed by a vacuum pump, the ions are extracted orthogonally from their main axis of motion by use of an electrostatic potential. The



**Fig. 12.2** Thermo spray interface. *A* cartridge heater; *B* copper block brazed to stainless steel capillary; *C* capillary; *D* copper tube; *E* ion lenses; *F* quadrupole mass analyzer; *G* line to rotary vane pump; *H* ion exit aperture; *J* source heater (Reproduced from Ref. [35] by permission. © American Chemical Society, 1983)

ions are transferred into a quadrupole mass analyzer through a pinhole of about 25  $\mu\text{m}$  in diameter (Fig. 12.2). A quadrupole is employed due to its tolerance to poor vacuum conditions.

As the pure TSP mode only works with high-polarity solvents in the presence of a buffer salt, modified modes of operation were developed to expand the use of TSP ion sources to lower-polarity systems. One approach used an electrical discharge in the vapor phase [37], while another operated an electron-emitting filament in the expanding gas cloud. Either addition essentially emulated APCI on the TSP interface. With this added versatility, TSP meant a breakthrough for LC-MS [38]. Nonetheless, with the advent of ESI, TSP interfaces vanished rather quickly from the laboratories.

**Only halfway API:** Strictly speaking, thermo spray is not really an API method because the analyte solution is sprayed into a rough vacuum of several hundred Pa instead of spraying at full atmospheric pressure.

### 12.1.3 Electrohydrodynamic Ionization

An electrolytic solution of sufficiently low volatility can be transferred into the vacuum without sudden evaporation and then be sprayed from a fine capillary by the action of a strong electrostatic field. This is known as *electrohydrodynamic ionization* (EHI) [39, 40]. EHI results from the interaction of the field with the

liquid meniscus at the end of the capillary tube [41, 42]. A mist of micrometer-sized electrically charged droplets expands into the vacuum at supersonic speed. The droplets shrink upon evaporation of solvent. Shrinking causes the charge density on their surface to exceed the *Rayleigh limit* of stability [43], i.e., the surface tension is overcome by electrostatic repulsion. The electric forces then tear the droplets apart. The sequence of droplet shrinking and subsequent disintegration into smaller subunits occurs repeatedly and eventually leads to the formation of isolated gas-phase ions.

Even though EHI ion sources are at hand by simply replacing the field emitter of a field desorption (FD) source with a capillary tube (Sect. 8.3) [44, 45], EHI never managed to become established in organic mass spectrometry. This is most probably due to its limitation to low-volatile solvents. Nonetheless, EHI has been applied to analyze polymers [46] and is still used to generate primary ions in massive cluster impact (MCI) mass spectrometry (Sect. 10.8).

EHI has important features in common with ESI:

- An electrolytic solution is sprayed by the mere action of an electrostatic field.
- Spraying forms a mist of electrically highly charged droplets.
- Analyte ions are liberated from solution phase into the gas phase.
- Analyte ions thus have already to exist before spraying of the solution.

#### 12.1.4 Electrospray Ionization

The conceptual development of electrospray ionization by the Dole group [47] actually preceded API, TSP, and EHI by several years [48]. The underlying principle of ESI, which it shares with EHI, even dates back to work by Zeleny in 1917 [41] and Taylor in 1964 [42].

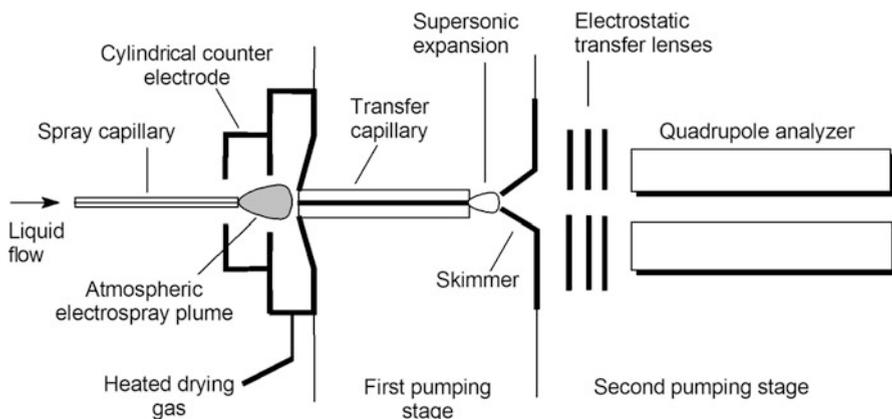
In ESI, similar to EHI, a mist of micrometer-sized electrically charged droplets is generated. The repetitive shrinking and droplet disintegration are also observed under ESI conditions. In contrast to EHI, the process of electrostatic spraying is sustained at atmospheric pressure. The electrostatically charged aerosol is then continuously passed into the mass analyzer by means of a differentially pumped interface. The limitation of Dole's experiments was that the ions of the electrosprayed high-molecular-weight polystyrene used by his group could not be detected with his mass spectrometers [47–49]. It took years of work for the Fenn group and lasted until the late 1980s to fully realize that analytes of 100–2000 u molecular weight can be readily analyzed with a quadrupole attached to a properly constructed ESI interface [16, 19, 50]. To avoid freezing of the aerosol droplets under the conditions of adiabatic expansion on their transition from the atmosphere into the vacuum, sufficient energy supply turned out to be crucial. The heat may either be delivered by a heated countercurrent gas stream or by a heated capillary being part of the interface. Until today, all ESI sources make use of the one or the other way of heating the aerosol. The fact that ESI can be run with almost any standard solvent is another key to its tremendous success [19].

**Open to the atmosphere:** Any atmospheric pressure ionization method, i.e., ESI as well as APCI and APPI, requires an uninterrupted transport of ions from ambient pressure into the high vacuum of a mass analyzer. An API interface has thus to accomplish the efficient transfer of ions while at the same time it has to remove the concomitant gas flow to preserve the analyzer vacuum. This is accomplished by means of differential pumping.

## 12.2 Interfaces for Electrospray Ionization

### 12.2.1 Basic Design Considerations

The first electrospray-mass spectrometry interface was designed by the Fenn group in the mid 1980s [16, 50–52]. In this interface, the dilute sample solution is supplied by a syringe pump through a hypodermic needle – the *spray capillary* – at a flow of 5–20  $\mu\text{l min}^{-1}$ . The spray capillary is kept at a potential of 3–4 kV relative to a surrounding cylindrical electrode (Fig. 12.3). Then, the electrosprayed aerosol expands into a countercurrent stream of hot nitrogen gas serving as a heat supply for vaporization of the solvent. A small portion of the sprayed material enters the aperture of a short capillary (0.2 mm inner diameter, 60 mm length) interfacing the atmospheric pressure spray zone to the first pumping stage ( $\approx 10^2$  Pa) that is entered by the gas in a free jet expansion. Most of the gas expanding from the desolvating aerosol is pumped off by a rotary vane pump as it exits from the capillary. A minor portion passes through the orifice of a skimmer (a cone-shaped electrode with a small aperture at its apex) into the high vacuum behind ( $\approx 10^{-3}$ – $10^{-4}$  Pa). At this stage, desolvation of the ions is completed, while the ions are focused into a mass analyzer. Suitable potentials applied to capillary, skimmer, and lenses behind



**Fig. 12.3** Schematic of an early electrospray interface design [16]

provide an effective transfer of ions through the interface, while the neutral gas is not affected and exits via the vacuum system.

Modern *ESI interfaces* are designed in many variations of this basic scheme [53, 54]. They may either use a *heated transfer capillary* or a *countercurrent stream of hot nitrogen* (sometimes called *curtain gas*) to enforce solvent evaporation [55]. These differences can affect a system's robustness and the degree of cluster ion formation with a particular ESI interface [56, 57]. Whatever the details, they are all derived from a *nozzle-skimmer system* initially proposed by Kantrowitz and Grey [58] that delivers an intense cool molecular jet into the high vacuum environment [47, 50, 59].

The adiabatic expansion of the gas upon entering the first pumping stage reduces random motion of the particles due to extensive cooling. Furthermore, a portion of the thermal motion is converted into directed flow by the nozzle-skimmer arrangement. In summary, this causes the heavier analyte ion-containing solvent clusters to travel close to the center of the flight path through the interface, while light solvent molecules escape from the jet [48]. Thus, the skimmer orifice is not just statistically passed by all sorts of particles but rather selectively by ionic constituents of the adiabatically expanding plume.

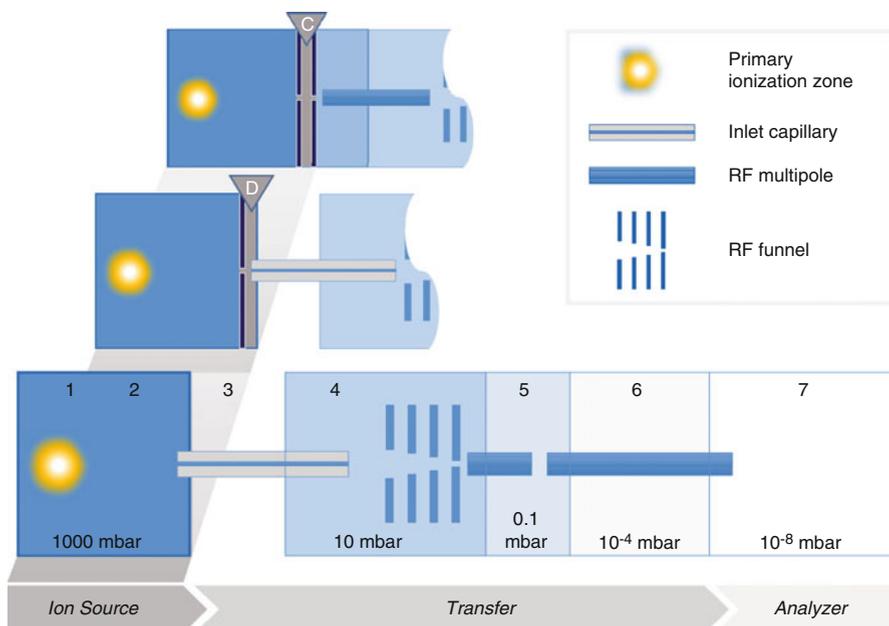
A comparison of API interface concepts – all of them in use today – is provided in Fig. 12.4 [60]. A closer discussion of the particular approaches will follow later in this chapter.

**Ions already have to be there:** ESI requires analyte ions to be present in the sample solution because ESI does not actively create ions. In fact, ESI is rather a method of ion transfer than a true ionization method. Thus, ESI is in stark contrast to all other ionization methods currently in use (EI, CI, APCI, APPI, FAB, FD, MALDI, DART). Therefore, *electrospray ionization* (ESI) is also simply referred to as *electrospray* (ES) and *ESI ion sources* may better be termed *ESI interfaces*.

## 12.2.2 Adaptation of ESI to Different Flow

The actual process of “electrospraying” disperses a liquid into an aerosol, which works best at flows of 1–20  $\mu\text{l min}^{-1}$ . This sets certain limits to its use as an LC-MS interface in respect to solvent properties such as volatility and polarity. Consequently, a number of sprayer design modifications have led to an expansion of the range of ESI applications (Fig. 12.5).

The design of a *pneumatically assisted* ESI interface differs from the simple electrospray interface in that it provides a pneumatic assistance for the spray process. This is achieved by supplying a concentric flow of an inert gas such as nitrogen around the electrospray plume [61–63]. Assistance by a *nebulizer gas* stream of about 1–5  $\text{l min}^{-1}$  allows for higher liquid flow and for a reduced influence of the surface tension of the solvent [64]. Pneumatically assisted ESI can

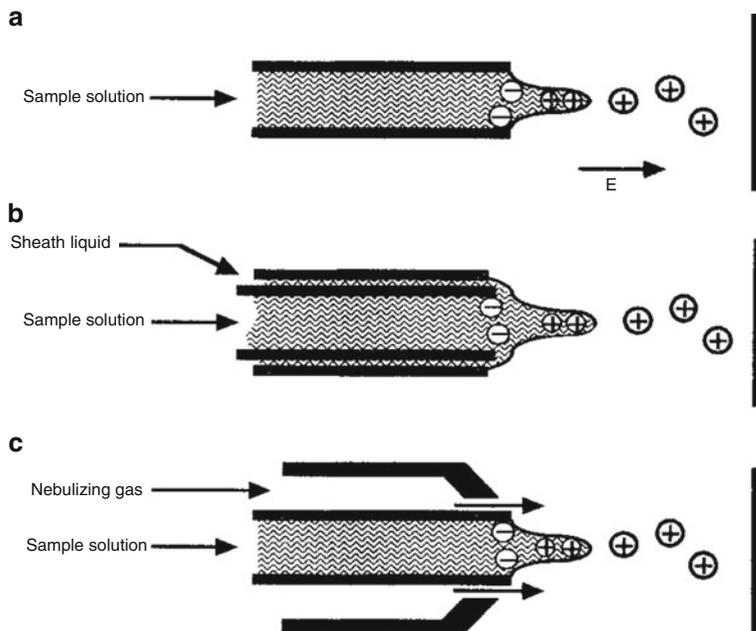


**Fig. 12.4** Comparison of basic API interface designs and their functional regions: (1) Ion formation, (2) thermalization, (3) turbulent entry flow, (4) expansion into vacuum, (5–6) ion guides and eventually activation by CID, (7) mass analyzer. Indicated pressures may vary considerably. RF funnels and RF multipoles are not to scale and various voltages are normally applied to these ion optical elements. *Bottom*: ion source coupled via a capillary to the vacuum system of the analyzer, e.g., Thermo-Fisher. *Center*: same as previous but additional directed “dry” (D) gas flow through a biased sampling electrode, termed *spray shield* in instruments by Bruker Daltonics or Agilent Technologies. *Top*: ion source coupled via orifices to the vacuum system of the analyzer; additionally a curtain (C) gas flow is directed into the source, and a differential pumping stage (AB SCIEX) (Reproduced from Ref. [60] with permission. © Springer, 2014)

accommodate flows of  $10\text{--}200\ \mu\text{l min}^{-1}$ . In fact, all modern ESI interfaces are equipped with a nebulizer gas or *sheath gas* line enclosing the spray capillary. Thus, most routine ESI measurements are actually performed using pneumatically assisted ESI. In pneumatically assisted ESI the purpose of the high voltage is almost reduced to the mere supply of electric charging of the droplets. For highly polar solutions at very low liquid flow, nanoESI provides the better technique (next section).

For *capillary zone electrophoresis* (CZE) mass spectrometry coupling, another modification of an ESI sprayer has been developed. It uses a *sheath flow* or *make-up flow* of solvent for establishing the electrical contact at the CZE terminus, thus defining both the CZE and electrospray field gradients. The make-up flow also serves to adjust the low CZE flow to an ESI-compatible level.

This way, the composition of the electrosprayed liquid can be controlled independently of the CZE buffer, thereby enabling operation with buffers that could not



**Fig. 12.5** Different sprayers for ESI. (a) Pure electrospray, (b) ESI with sheath liquid, (c) pneumatically-assisted ESI (Adapted from Ref. [6] (p. 109) by permission. © John Wiley & Sons, Inc., 1997)

be used previously, e.g., aqueous and high ionic strength buffers. In addition, the interface operation becomes independent of the CZE flow rate [65].

**Ion spray?** *Pneumatically assisted electrospray* is also termed *ion spray* (ISP). However, the term ISP is not recommended in place of pneumatically-assisted ESI because ISP represents a mere modification of the ESI setup and is a company-specific term [66].

### 12.2.3 Improved Electrospray Configurations

Since the publication of the original design in the 1980s by the Fenn group, the ESI interface underwent various substantial improvements in

- robustness of operation during elongated periods of unattended operation,
- ion transmission from the sprayer into the mass analyzer,
- softness for effective ion desolvation without inducing fragmentation, and
- effectiveness of differential pumping.

Nonetheless, whatever the actual design, all of those interfaces still have some basic characteristics in common:

- electrostatic spraying of an electrolytic solution at atmospheric pressure,
- heat supply for solvent evaporation and ion desolvation,
- supersonic expansion into the first pumping stage, and
- differential pumping across three or sometimes four stages.

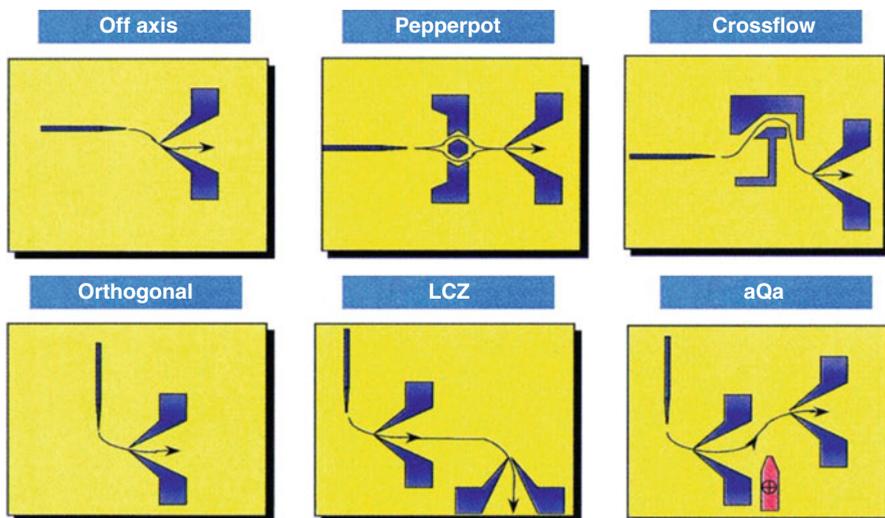
While early interfaces were intuitively aligned along the central axis from the spray capillary to the analyzer entrance, more recent designs employ spraying at an angle to the vacuum entrance. These arrangements have the advantage of largely reducing possible contamination, especially by preventing the clogging of capillaries and skimmers, which formerly was one of the most severe problems with the otherwise easy-to-use ESI interfaces. When analytes are accompanied by nonvolatile impurities, e.g., by buffer salts used to improve liquid chromatography or organic material as present in blood or urine samples, the deposition of this material can cause the rapid breakdown of the ESI interface.

These improved designs achieve a spatial separation of the deposition site of nonvolatilized material and the location of the ion entrance into the mass spectrometer. Such designs include (i) spraying *off-axis*, (ii) guiding the desolvating microdroplets through *inflected paths*, and (iii) spraying at an angle up to *orthogonal* (Fig. 12.6) [66]. It is a great advantage of orthogonal spraying that the entrance of the interface may selectively collect small and highly charged droplets that present the best source of analyte ions. Larger and less-charged droplets are not sufficiently attracted by the extraction field at 90° angle and therefore miss the orifice.

The first commercial ESI interface using about 90° deflection is the Waters *z-spray*<sup>TM</sup> interface (Fig. 12.7). All modern electrospray interfaces employ a closely related configuration. The entrance region of Bruker and Agilent API interfaces, for example, comprises a grounded spray capillary, a counter electrode at high voltage, and a countercurrent flow of hot nitrogen around the orifice of the ion transfer capillary that is aligned close to right angle with respect to the sprayer (Fig. 12.8). Modern interfaces are also designed with easy cleaning in mind.

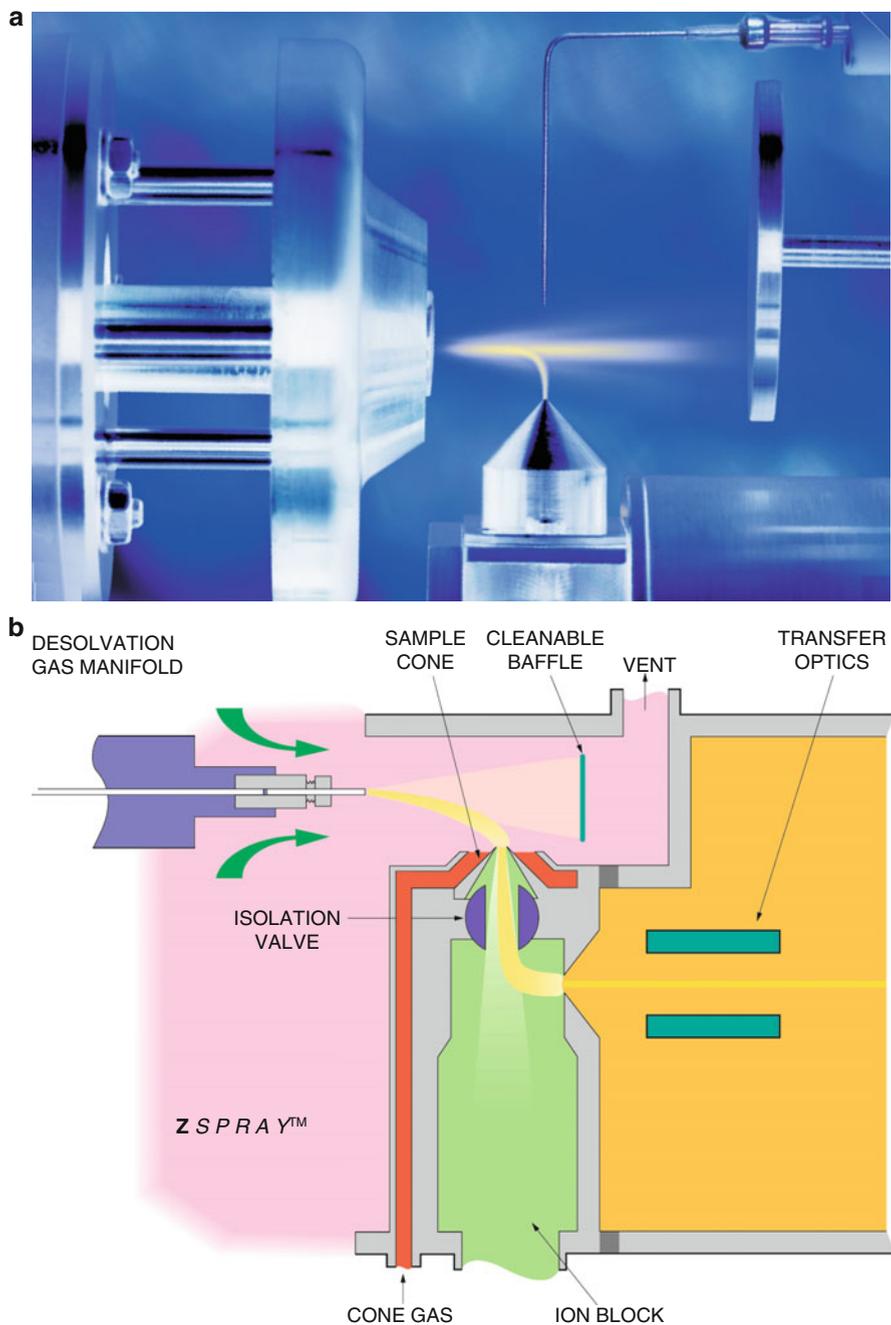
## 12.2.4 Advanced Atmospheric Pressure Interface Designs

We have already briefly encountered some of the modern atmospheric pressure interfaces as front-ends of mass analyzers in the context of instrumentation (Chap. 4) and tandem MS (Chap. 9). The actual configuration of such interfaces largely depends on the high vacuum requirements of the mass analyzer attached, e.g., simple two-stage differential pumping is sufficient for linear quadrupoles and quadrupole ion traps, while others demand for virtual absence of residual gas. Furthermore, company-specific technologies will govern certain parts of the ion transfer optics such as RF-only ion guides (Figs. 12.4 and 12.9).



**Fig. 12.6** Some strategies used in commercial API ion sources to increase solvent compatibility and system robustness. Some of these designs are (were) commercial brands: Peppercot, Crossflow, and LCZ (“z-spray”, Micromass); AQA (Thermo Finnigan) (Reproduced from Ref. [66] by permission. © John Wiley & Sons, 1999)

In recent years, so-called *ion funnels* are being used in place of the conventional skimmer cone electrode [67–69]. Ion funnels are radio frequency devices ( $\leq 1$  MHz) composed of a stack of dozens of ring electrodes, typically spaced about 3 mm apart along the ion funnel axis, with a central aperture of increasingly smaller diameter, e.g., from 20 mm at the opening to 1 mm at the exit. An RF voltage of equal amplitude but opposite phase (200–400 V) is supplied between adjacent electrodes. Similar to stacked ring ion guides (Sect. 4.10) this alignment of electrodes creates a field that pushes ions down a low electric field gradient far more effectively than the field gradient alone could do. As the apertures decrease along the device the ion packages are not only transported but – more importantly – are also radially confined. This is employed to focus ion beams through narrow apertures into the next differential pumping stage or into a mass analyzer [70, 71]. As ion funnels are best operated under the conditions of collisionally damped ion motion, i.e., at rough vacuum of 1–10 mbar (Sect. 4.4.4), they are perfectly compatible with the first pumping stage of ESI interfaces. Ion funnels increase the transmission of ESI interfaces, and accordingly, result in at least tenfold-improved overall sensitivity of the mass spectrometer as compared to sources with a skimmer electrode.



**Fig. 12.7** Micromass z-spray interface. (a) Photograph of the actual spray from which charged constituents are extracted downwards into the entrance orifice. While large droplets and neutrals are traveling on a straight path toward the counter electrode, small highly charged droplets are attracted by the entrance at the cone-shaped electrode. (b) Schematic including the inner parts of the interface (By courtesy of Waters Corporation, MS Technologies, Manchester, UK)



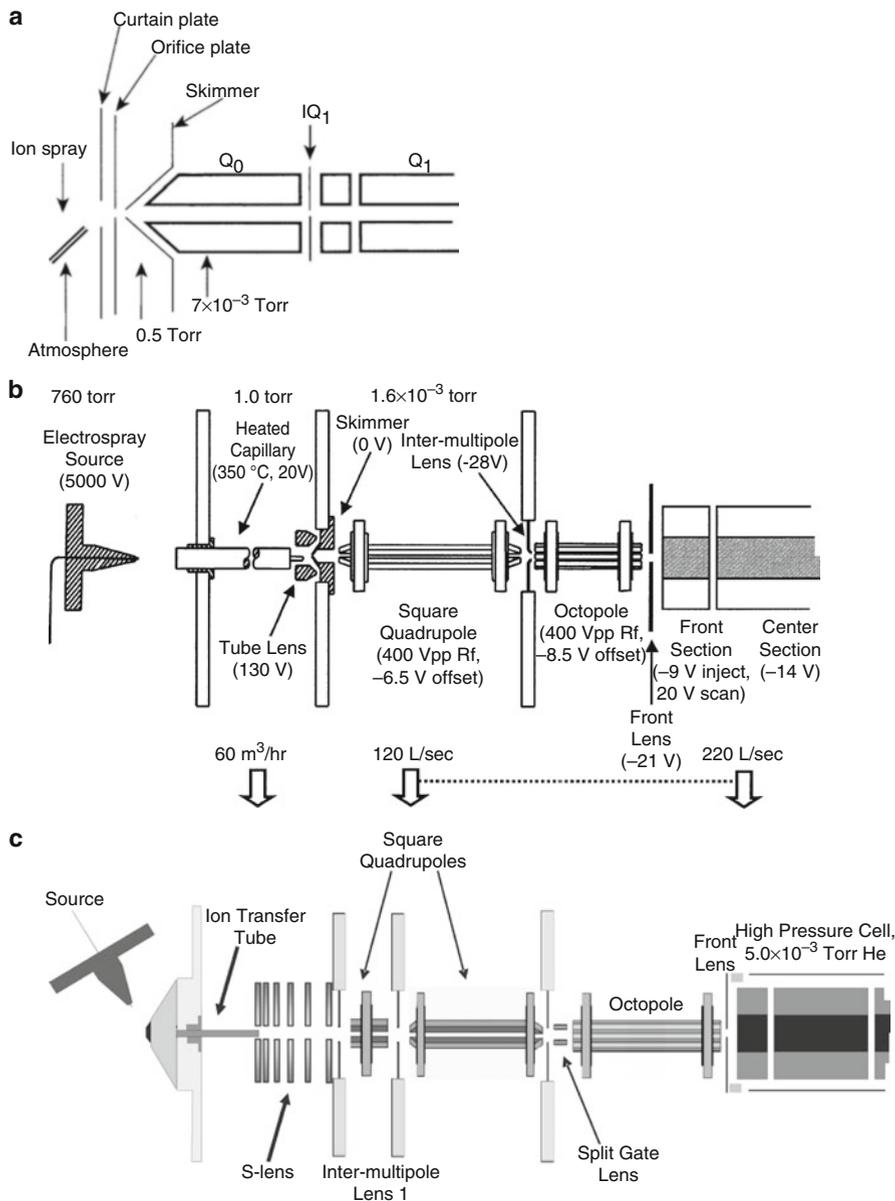
**Fig. 12.8** Atmospheric pressure side of the Bruker Apollo II API interface. (a) Spray shield in place, (b) removal of the spray shield shows an aerodynamically shaped cap on top of the transfer capillary, and (c) metal-coated section of the glass transfer capillary. The hot desolvation gas is supplied via six concentrically aligned ports around the transfer capillary. In operation, the sprayer would be aligned close to the spray shield as to direct the aerosol from top to bottom in an orientation close to right angle with respect to the transfer capillary. For the interior layout of this interface cf. Fig. 12.12

**Multi-purpose API interfaces:** There are no dedicated ESI interfaces anymore. All interfaces are constructed to be compatible with any variant of atmospheric pressure ionization by simply swapping the front part, e.g., an ESI sprayer for an APCI unit. The interface from the orifice at atmospheric pressure to the entry of the mass analyzer at high vacuum remains untouched. This enables quick method switching, avoids down time by interrupted vacuum, and ensures constant levels of mass resolving power and mass accuracy.

**Two-stage off-axis ion funnels** The next level of achieving efficient ion collection, transfer across pumping stages, and focusing is achieved by two-stage ion funnels in an off-axis alignment. Again, this exploits the fact that ions may easily be guided along potential gradients while neutrals are not affected. Thus, ions can be pushed out of the stream to follow a different track while neutrals are directed into an exhaust pipe, e.g., as in the Waters StepWave interface (Fig. 12.10).

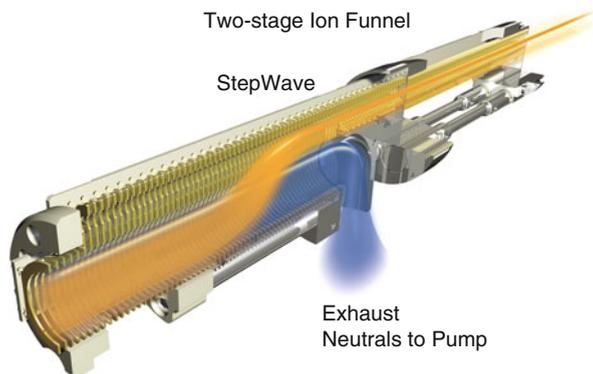
### 12.2.5 Nozzle-Skimmer Dissociation

The rough vacuum of the first pumping stage, formerly the short zone between nozzle and skimmer, of an atmospheric pressure interface does not only provide



**Fig. 12.9** Electrospray interfaces: (a) Setup for linear quadrupole and triple quadrupole instruments with  $45^\circ$  spray, heated countercurrent desolvation gas (“curtain gas”), orifice–skimmer setup for the first pumping stage, and RF-only quadrupole as ion guide in the second pumping stage. (b) On-axis spray on entrance of heated capillary serving as counter electrode, nozzle–skimmer arrangement, and RF-only multipole ion guides in front of an LIT. (c) Similar to (b) but the skimmer has been replaced by an ion-focusing lens system to improve transmission. This figure uses the front parts from Figs. 4.46, 4.50, and 9.28, respectively; reference to the according sources is given there

**Fig. 12.10** Two-stage ion funnel with off-axis alignment for optimized transfer of ions (*orange cloud*) while neutral gas (*blue cloud*) is directed into an exhaust pipe. This particular setup is realized in the Waters StepWave interface (Adapted with permission of Waters MS Technologies, Manchester, 2016)



space for effective desolvation [72, 73], but can also be used to achieve fragmentation of the ions by *collision-induced dissociation* (CID, Sect. 9.3). While a comparatively high pressure in this region can effect collisional cooling (Sect. 4.4.4) rather than dissociative collisions [74], an increased voltage difference in this region enhances ion fragmentation by CID [72, 74, 75].

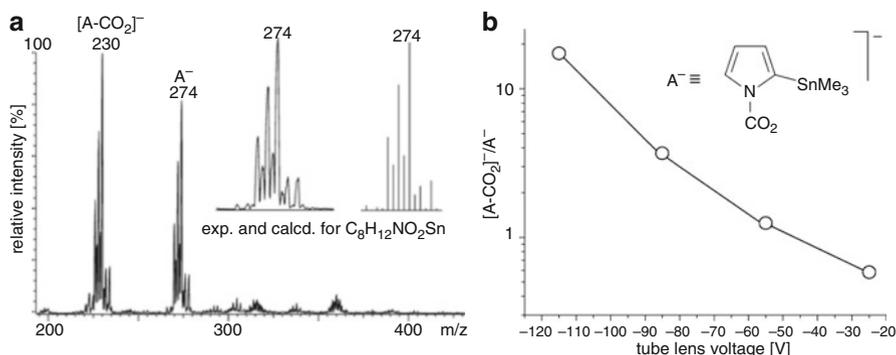
*Nozzle-skimmer dissociation* (NSD) or simply *skimmer CID* can

- strip off residual solvent molecules,
- achieve fragmentation of ions resulting in spectra similar to CI mode [76], and
- generate first-generation fragment ions for further tandem MS experiments.

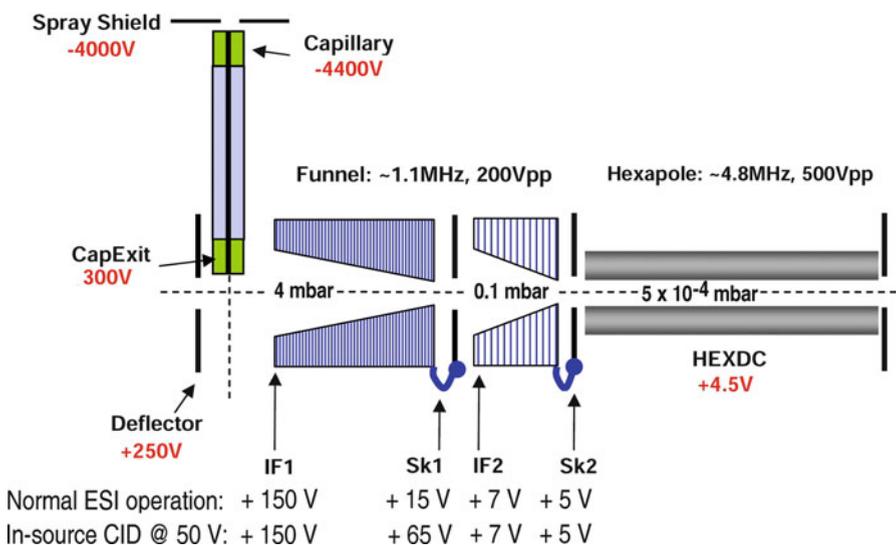
The latter method provides a *pseudo MS<sup>3</sup>* operation on triple quadrupole or Q-TOF mass spectrometers [77–79]. Real MS<sup>3</sup> would require mass-selection prior to the first CID stage, too (for an example of pseudo MS<sup>3</sup> using NSD cf. Sect. 12.5.1). A programmable CID routine delivers ESI mass spectra with a variable degree of fragmentation from a single run [75, 77, 78, 80].

**Unwanted CID** Even a moderate voltage drop between nozzle and skimmer can cause the elimination of weakly bonded substituents such as CO<sub>2</sub> in case of carbon dioxide-protected *N*-heterocycles. In particular, SnMe<sub>3</sub>-substituted anions such as 2-(trimethylstannyl)pyrrole-*N*-carbamate exhibit strong variations in the [A–CO<sub>2</sub>]<sup>–</sup>/A<sup>–</sup> ratio of up to a factor of 30 preventing the detection of A<sup>–</sup> at slightly elevated voltages (Fig. 12.11) [81].

For NSD it is not required to have a true nozzle–skimmer arrangement. Instead, a potential drop of 20–100 V across a suitable pressure region of any ESI interface design suffices to induce this sort of CID. The Bruker Apollo II interface, for example, features a dual ion funnel setup with the first ion funnel in the rough vacuum of the supersonic expansion plume ( $\approx 3\text{--}4$  mbar) and the second ion funnel prior to an RF-only hexapole used to alternatively guide or accumulate ions. Here



**Fig. 12.11** (a) Partial negative-ion ESI spectrum of 2-(trimethylstannyl)pyrrole-*N*-carbamate ( $A^-$ ) from tetrahydrofuran at low nozzle-skimmer voltage drop and (b) dependence of the  $[A-CO_2]^-/A^-$  ratio variation on this voltage



**Fig. 12.12** ESI interface with differential pumping in a dual ion funnel arrangement (Bruker Apollo II™). In normal ESI operation the main DC gradient is located in the first ion funnel while ions drop only by about 8 V into the second. Lifting the exit potential of the first funnel causes the ions to enter the second ion funnel at much higher velocity, which is sufficient for NSD (Courtesy of Bruker Daltonik GmbH, Bremen)

NSD or *in-source CID* as it is termed by the manufacturer, is achieved by shifting the DC potentials as to have the largest voltage drop at the entrance into the second ion funnel where a pressure of  $\approx 0.1$  mbar is suitable for low-energy CID (Fig. 12.12).

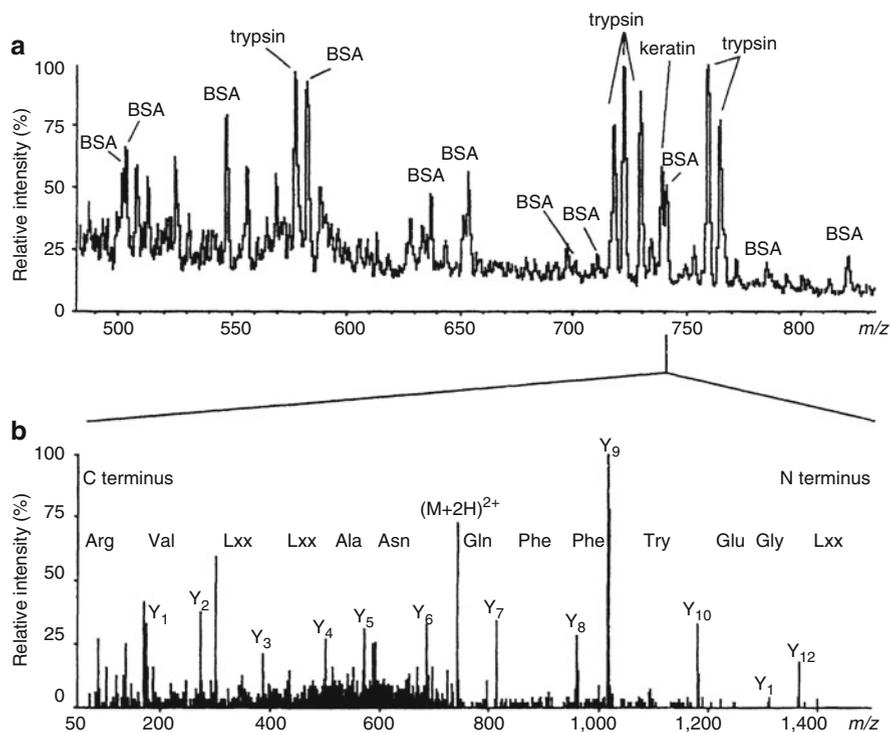
## 12.3 Nanoelectrospray

Miniaturization of electrospray is even more attractive than access to high liquid flows. A more narrow spray capillary results in smaller droplets and, moreover, in much reduced flow, as theoretically described and experimentally demonstrated by Wilm and Mann [82]. Such a downscaling can be achieved by replacing the spray needle by a borosilicate glass capillary of some microliters volume to which a fine tip is pulled with a micropipette puller. The tip has a narrow bore exit of 1–4  $\mu\text{m}$  diameter making flow rates of 20–50  $\text{nl min}^{-1}$  sufficient to provide a stable electrospray [83]. Derived from the nanoliter-flow, the term *nanoelectrospray* (nanoESI) has become established for that technique. While conventional ESI produces initial droplets of 1–2  $\mu\text{m}$  in diameter, the droplet size from nanoESI is less than 200 nm, i.e., their volume is about 100–1000 times smaller. NanoESI allows for high-polarity solvents such as pure water in both positive- and negative-ion mode, has extremely low sample consumption [84], and tolerates even higher loads with buffer salts than conventional ESI [55, 85].

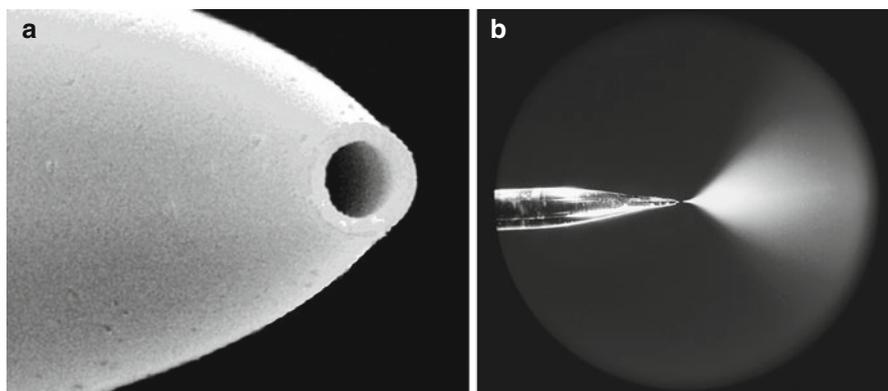
**Just 800 fmol of BSA consumed** In the pioneering nanoESI work, the minuscule sample consumption was demonstrated along an attempt to sequence tryptic peptides (Sect. 11.6.3) of the protein bovine serum albumin (BSA,  $M_r \approx 66,400$  u). Each of the BSA-derived peptide ions shown in the full scan spectrum (Fig. 12.13) was subjected to fragment ion analysis by means of CID-MS/MS on a triple quadrupole instrument. Merely 800 fmol of BSA was consumed for the tryptic digest used in this analysis [84], a type of nanoESI application that is still highly attractive [86].

### 12.3.1 Practical Considerations for NanoESI

For the measurement, the nanoESI capillary is adjusted to locate at about 1 mm distance from the entrance of the counter electrode by means of a micromanipulator. Thus, precise optical control is needed during positioning to prevent crashing of the tip or electric discharges during operation. Commercial nanoESI sources are therefore equipped with a built-in microscope or camera (Fig. 12.14). The spray voltage of 0.7–1.2 kV is normally applied via an electrically conducting coating on the outer surface of the spray capillaries, usually a sputtered gold film. Occasionally, wider capillaries with a fine metal filament inside are used. With the high voltage switched on, the liquid sample flow is solely driven by capillary forces refilling the aperture as droplets are leaving the tip. Sometimes, liquid flow is slightly supported by a gentle backing pressure on the capillary. Meanwhile, numerous specialized *nanoelectrospray emitters* – as these capillaries are often termed – have been developed to deliver optimum performance under various conditions of operation including nanoLC-MS coupling [87].



**Fig. 12.13** Peptide sequencing by nanoESI-CID-MS/MS from a tryptic digest of BSA; 800 fmol of BSA were used; (a) full scan spectrum, (b) fragmentation of the selected doubly charged peptide ion at  $m/z$  740.5 (Adapted from Ref. [84] by permission. © Nature Publishing Group, 1996)

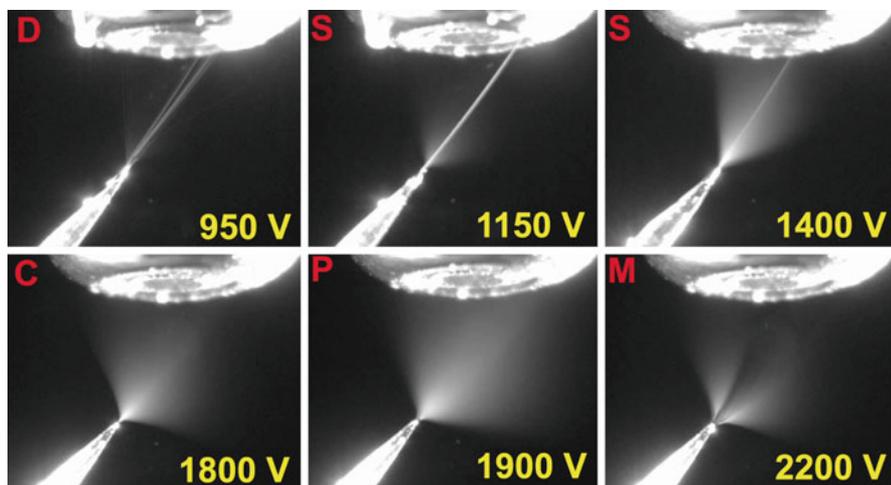


**Fig. 12.14** Nanoelectrospray; (a) SEM micrograph of the open end of a glass nanoESI capillary having a 2- $\mu\text{m}$  aperture, (b) microscopic view of the spray from a nanoESI capillary as provided by observations optics (By courtesy of New Objective, Woburn, MA)

**Advantages of nanoESI:** Besides its low sample consumption, nanoESI is free of *memory effects* because each sample is supplied in a fresh capillary by means of disposable micropipettes. Furthermore, the narrow exits of nanoESI capillaries prevent air-sensitive samples from rapid decomposition.

### 12.3.2 Spray Modes of NanoESI

The onset of electrospray as well as the spatial and temporal characteristics of the spray plume largely depends on the experimental parameters. Strong effects are exerted by surface tension and polarity of the solvent, sample concentration, and electric field strength at the tip of the spray capillary. The latter parameter can easily be adjusted, and as demonstrated in Fig. 12.15, demands for careful control as to avoid disadvantageous spray conditions. At low electric field strength some spray will occur, but mostly by multiple discontinuous jets (dripping mode, D) not accompanied by useful aerosol formation [88]. Increasing the spray voltage initiates the formation of the charged mist, which is still accompanied by a spindle-like jet (S) taking away most of the liquid flow. Further increase of the spray voltage forms a wide cone-jet (C) purely consisting of charged microdroplets. As the voltage exceeds a certain limit, the electrostatically driven dissipation of liquid surmounts the solvent flow attainable through the narrow capillary orifice, and thus, the cone-jet starts pulsing (P). Finally, at very high voltages any rough edge around the capillary orifice may initiate a cone-jet of its own. This multi-jet mode



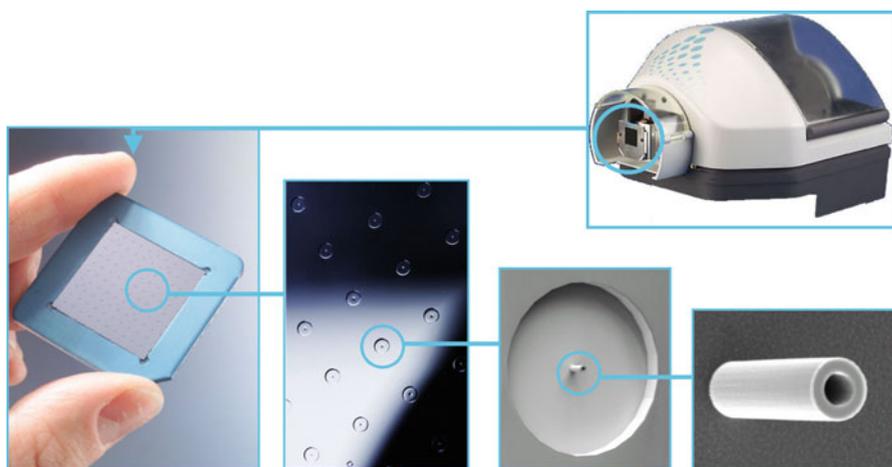
**Fig. 12.15** Photographs of nanoelectrospray plumes as effected by different spray voltages. The modes observed starting from the *upper left* are dripping (*D*), spindle (*S*), cone-jet (*C*), pulsed cone-jet (*P*), and multi-jet (*M*). Only pure cone-jet mode will deliver stable electrospray for analytical work (Reproduced from Ref. [88] with permission. © Elsevier, 2004)

(M) causes losses due to overly wide dissipation of the sample flow. Any further increase of the spray voltage results in electric discharges not only detrimental for the capillary spray but eventually also causing failures of the instrument's electronics.

**Applicable to ESI in general:** These observations are equally relevant to (pneumatically-assisted) ESI at standard flows [89]. Then, the corresponding voltages are just higher by a factor of  $\geq 2$ , which is mostly due to the increased gap between spray capillary and counter electrode to accommodate the larger plume. The optimization of the electrospray for temporal stability by adjustment of liquid flow, nebulizer gas pressure, and spray voltage is therefore necessary for any analytical ESI work.

### 12.3.3 Nanoelectrospray from a Chip

The sample throughput of nanoESI is limited by the comparatively time-consuming procedure of manual capillary loading. A chip-based nanoESI sprayer on an etched silicon wafer allows for the automated loading of the sprayer array by a pipetting robot (Fig. 12.16). The chip provides a  $10 \times 10$  array of nanoESI spray nozzles of  $10 \mu\text{m}$  inner diameter. Volumes up to  $10 \mu\text{l}$  are supplied directly from a pipette contacting the chip from the backside. An electrically conducting coating of the pipette tip is used to connect the sprayer to high voltage. Pipetting robot and automated chip handling are united in a common housing that replaces the conventional (nano)ESI spray unit.



**Fig. 12.16** Chip-based Advion nanoESI system. The pictures stepwise zoom in from the pipetting unit to the spray capillary on the silicon chip (By courtesy of G. Schultz, Advion BioSciences, Ithaca, NY)

## 12.4 Ion Formation in ESI

Until now, our discussion of electrospray was rather technical with an emphasis on interface design and occasional reference to applications. Next we shall consider the physicochemical aspects of the ESI process. This section presents answers to basic questions such as to why an electrospray does occur at all, how isolated gas phase ions are formed from droplets, and what rules are governing the charge state (distribution) of those incipient gas phase ions [89–91]. Ion formation in ESI can be considered to be composed of these steps:

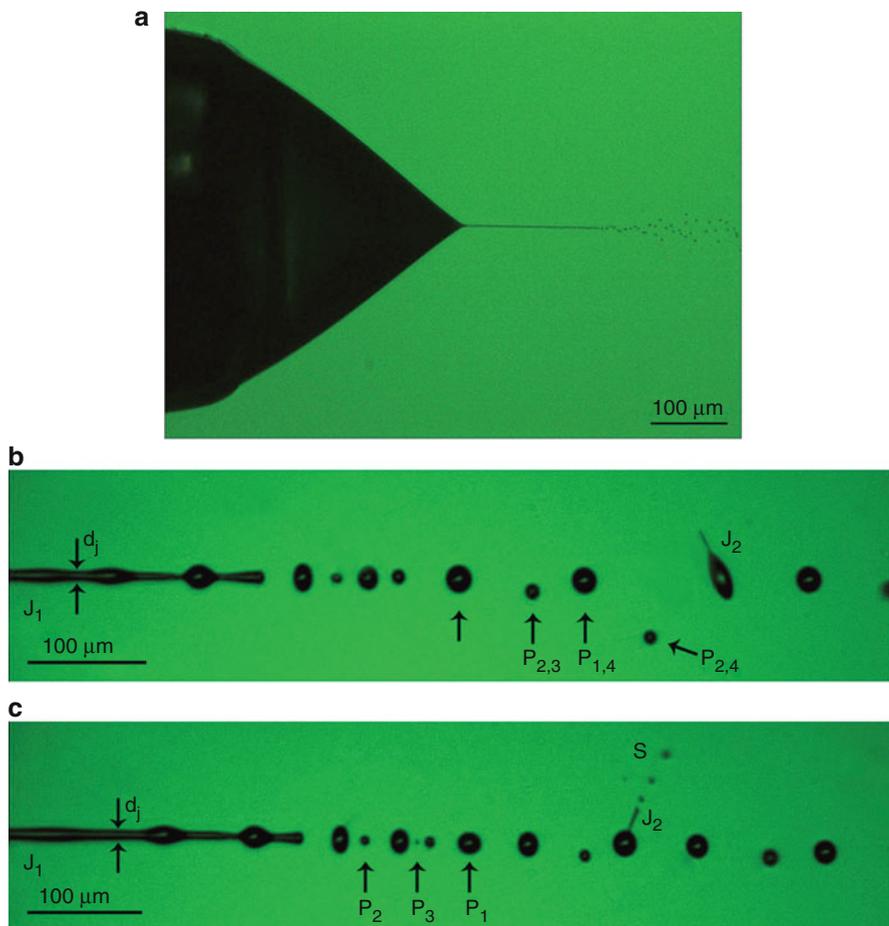
- spraying of a electrostatically charged aerosol of micrometer-sized droplets,
- dramatic reduction of the droplets' size by rapid solvent evaporation,
- repeated disintegration of the microdroplets into even smaller units, and finally
- liberation of fully desolvated ions into the gas phase.

### 12.4.1 Formation of the Electrospray Plume

To understand the formation of a continuous spray, consider the surface of an electrolytic solution when exiting an electrically conducting capillary held at high electric potential with reference to a nearby counter electrode. In practice, the spray capillary has about 75  $\mu\text{m}$  inner diameter and is kept at 3–4 kV with reference to the sampling orifice at approximately 1 cm distance. At the open end of the capillary the emerging liquid is thus exposed to an electric field of about  $10^6 \text{ V m}^{-1}$ . The electric field causes charge separation in the electrolytic solution and finally deformation of the meniscus into a cone. The phenomenon of cone formation has been discovered by Zeleny [41] and first theoretically described by Taylor [42], hence it was termed *Taylor cone*.

The process of Taylor cone formation starts when the spherical surface forms an oval under the influence of increasing field strength. In turn, a sharper curvature of the oval increases the field strength. The Taylor cone forms as soon as the critical electric field strength is reached and starts ejecting a fine jet of liquid from its apex towards the counter electrode when surface tension is overcome by the electrostatic forces [82]. The jet carries a large excess of ions of one particular charge sign, because it emerges from the point of highest charge density, i.e., from the cone's apex [92]. Such a jet, however, cannot remain stable for an elongated period of time but breaks up into small droplets (Fig. 12.17) [93]. Due to their charge, these droplets are driven apart by Coulombic repulsion. Overall, this process causes the generation of a fine spray, and thus gave rise to the term *electrospray* (Figs. 12.18). This mode of operation is termed *cone-jet mode* [6].

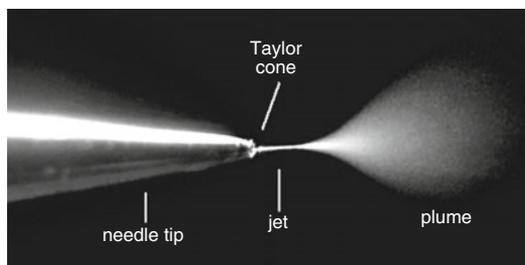
The ESI process overall represents an electrolytic flow cell where the connection from the spray capillary to the counter electrode is created by charge transportation via the electrically charged aerosol (Fig. 12.19) [94–96]. In positive-ion mode,



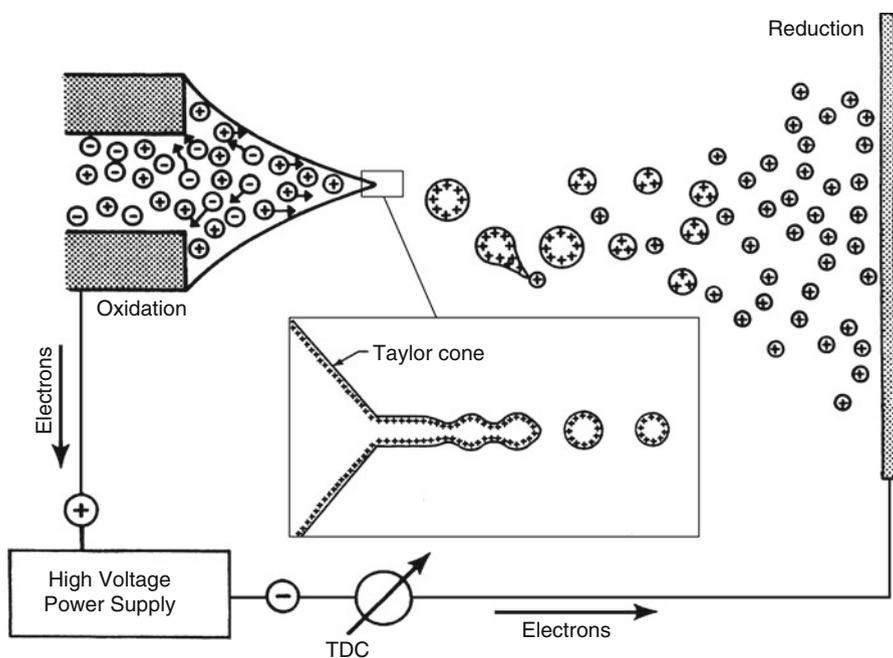
**Fig. 12.17** Taylor cone and jet disintegration in ESI. (a) Microphotograph of the meniscus shape during electropray in stable cone-jet mode for optimum analytical operation. The shape and the emerging jet remain stationary and continuously delivering numerous small droplets upon breaking up of the jet. Snapshots (b, c) show details of the transition from jet to droplets and their shrinking by ejection of a series of much smaller off-spring droplets (Adapted from Ref. [93] with permission. © American Chemical Society, 2007)

neutralization at the sampling orifice is effected by electrons from the high voltage power supply, which in turn originate from oxidation of anions on the inner wall of the spray capillary. In negative-ion mode, reduction of cations will occur in place of oxidation [64].

**M<sup>+</sup> ions in ESI mode?** Under rare circumstances, in ESI, molecular ions, M<sup>+</sup>, can be formed. This requires that the electrolytic processes during electropray cause molecular ion formation by electrolytic oxidation [96]. An electrolytic



**Fig. 12.18** Electro spray from a nanoESI capillary. The jet emitted from the Taylor cone is clearly visible and separate from the region of rapid expansion into a plume of microdroplets (By courtesy of New Objective, Woburn, MA)

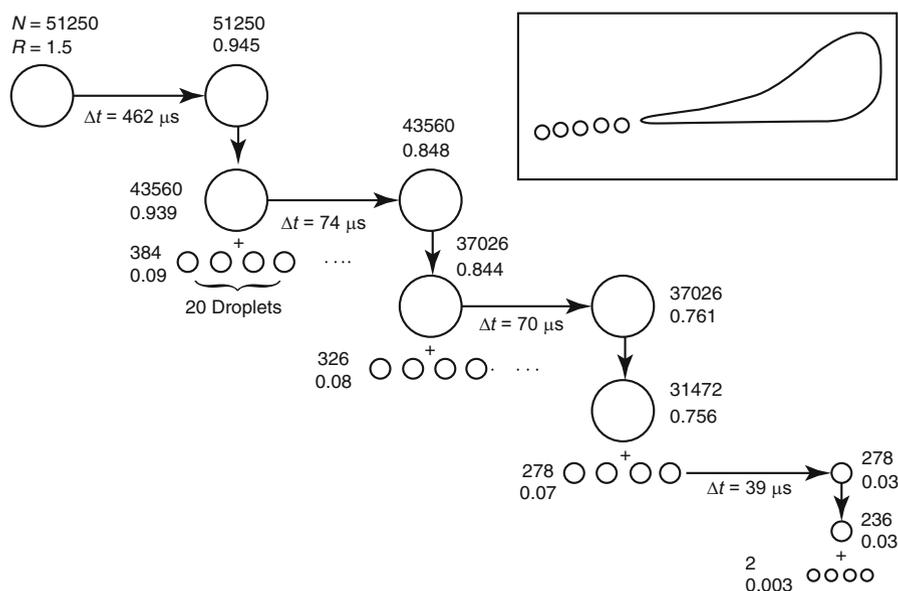


**Fig. 12.19** Schematic of Taylor cone formation, ejection of a jet, and its disintegration into a fine spray. The electrochemical processes of ESI [94, 95] are also assigned (Adapted from Ref. [54] by permission of the authors)

molecular ion formation becomes more probable if nonpolar molecules of very low ionization energy are precluded from other ionization pathways such as protonation or cationization. Electrolytic  $M^{+}$  ion formation normally requires perfectly dry aprotic solvents, low liquid flow for increased reaction time, and preferably metal spray capillaries rather than fused silica capillaries. ESI may also result in changes of the oxidation state of metal ions, e.g.,  $Ag^+ \rightarrow Ag^0$ ,  $Cu^{2+} \rightarrow Cu^+$ .

## 12.4.2 Disintegration of Charged Droplets

When a micrometer-sized droplet carrying a large excess of ions of one particular charge sign – some  $10^4$  charges can be considered to be a realistic value – evaporates some solvent, the charge density on its surface is continuously increased. As soon as electrostatic repulsion exceeds the conservative force of surface tension, disintegration of the droplet into smaller subunits will occur. The point at which this occurs is known as the *Rayleigh limit* [43]. Originally, it has been assumed that the droplets would then be degraded by *Coulomb fission* (or *Coulomb explosion*). This process then occurs repeatedly to generate increasingly smaller microdroplets. While the model of a cascading reduction in size holds valid, more recent work has demonstrated that the microdroplets do not explode, but eject a series of much smaller microdroplets from an elongated end (Figs. 12.17, 12.19, and 12.20) [54, 90, 93, 97]. The ejection from an elongated end can be explained by deformation of the flying microdroplets, i.e., they have no perfect spherical shape. Thus, the charge density on their surface is not homogeneous, but significantly increased in the region of sharper curvature. The smaller offspring droplets carry off only about 1–2% of the mass, but 10–18% of the charge of the parent droplet [90]. This process resembles the initial ejection of a jet from the Taylor cone. The concept of this so-called *droplet jet fission* is not only based on theoretical considerations but has been proven by flash microphotographs of droplet



**Fig. 12.20** Droplet jet fission. The average number of charges on a droplet, the radii of the droplets [ $\mu\text{m}$ ], and the timescale of events are assigned. The *inset* shows a drawing of droplet jet fission based on an actual flash microphotograph published by Gomez and Tang [97] (Reproduced from Ref. [54] by permission of the authors)

shadows [97, 98]. The total series of events from the initially sprayed droplet to the isolated ion takes less than one millisecond. Micro-shadowgraphs also show that neutral droplets exhibit an analogous distortion of their shapes followed by ejection of off-spring droplets when field ionized by an electric field of about  $2.5 \times 10^6 \text{ V m}^{-1}$  [99].

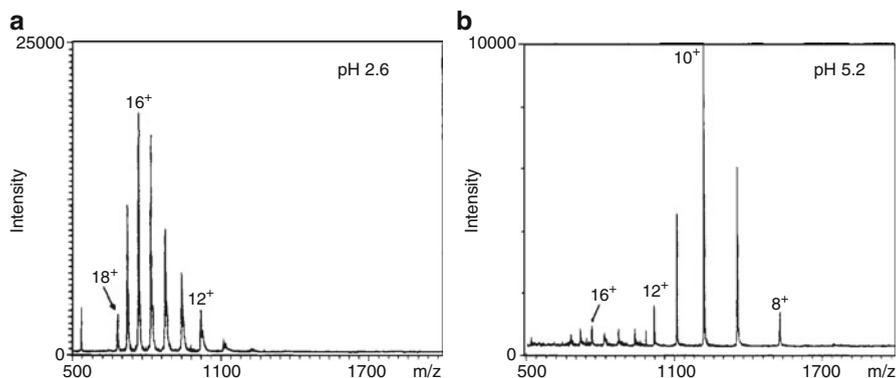
### 12.4.3 Formation of Gas-Phase Ions from Charged Droplets

The process of droplet jet fission starts on a macroscopic scale and eventually leads to states that might be regarded as large clusters or multiply solvated ions. This model does not address the final step of the creation of isolated gas phase ions from these multi-molecular entities, however.

The elder model of ion formation, the *charged-residue model* (CRM), assumes complete desolvation of ions to occur by successive loss of all solvent molecules from droplets that are sufficiently small to contain just one analyte molecule at the end of a droplet fission cascade [22, 47, 100]. The charges, e.g., protons, being part of this ultimate droplet are then transferred onto the (macro)molecule, especially if this exposes some basic sites. According to CRM, even large proteins should at least be able to form singly charged ions, although the formation of multiply charged species should be of higher probability. In fact, all charge states down to 1+ have been observed [27].

A later theory, the *ion evaporation model* (IEM) [101, 102], describes the formation of desolvated ions as direct evaporation from the surface of highly charged microdroplets [103] (for ion evaporation in FD-MS cf. Sect. 8.6). Ionic solvation energies are in the range of 3–6 eV, but at 300 K thermal energy can only contribute about 0.03 eV to their escape from solution. Thus, the electric force has to provide the energy needed. It has been calculated that a field of  $10^9 \text{ V m}^{-1}$  is required for ion evaporation, which corresponds to a final droplet diameter of 10 nm [102]. The IEM nicely corresponds to the observation that the number of charges is related to the fraction of the microdroplet's surface that a (macro)molecule can cover. Upon shrinking of the droplet, the size of such a molecule and number of droplet charges remain constant. Now, the increasing charge density brings more charges within the reach of an analyte molecule as the spacing of the surface charges decreases [104, 105]. Flat and planar molecules therefore exhibit higher average charge states than compact ones, e.g., the unfolding of (globular) proteins is accompanied by higher charge states under otherwise identical ESI conditions [106, 107].

Further support of IEM comes from the effect of the droplet evaporation rate on the charge state distribution of proteins. Fast evaporation (more drying gas, higher temperature) favors higher average charge states, while slower evaporation results in fewer charges. This is in accordance with the reduced time available for ion evaporation from the shrinking droplet. It leads to a relative enrichment of charge on the droplet, and thus, on the leaving ions [104].



**Fig. 12.21** Positive-ion ESI mass spectra of cytochrome c at different pH of the sprayed solution: (a) at pH 2.6, (b) at pH 5.2 (Adapted from Ref. [107] by permission. © American Chemical Society, 1990)

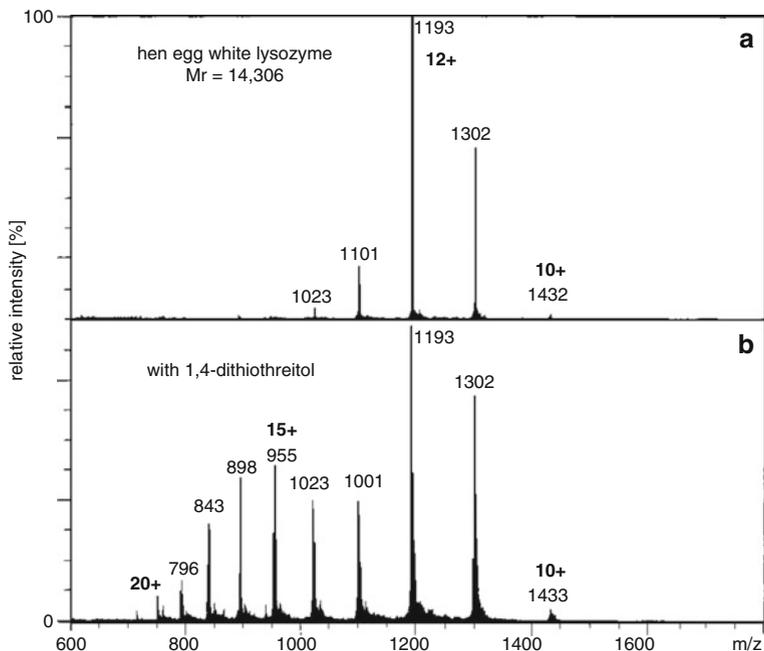
**Influencing the charge state by pH** The average charge state of a protein depends on whether it is denatured or not and on the actual solvent; lower pH causes more protons to be attached to the protein than neutral conditions [107, 108]. The degree of denaturization in turn depends on the pH of the electrosprayed solution. Resulting conformational changes of the protein, e.g., unfolding upon protonation, make additional basic sites accessible, thereby effecting an increase of the average charge state (Fig. 12.21) [107]. The maximum number of charges that can be placed upon peptide and protein molecules is directly related to the number of basic amino acid residues (arginine, lysine, histidine) present.

**Influencing the charge state by reduction** The cleavage of disulfide bonds by reduction with 1,4-dithiothreitol causes the unfolding of the protein. This exposes additional basic sites to protonation, and therefore results in higher average charge states in the corresponding positive-ion ESI spectrum (Figs. 12.22 and 12.23) [106].

In contradiction to IEM, the electric field strength locally necessary to evaporate ions from a droplet cannot be attained, because the droplet would be crossing the Rayleigh limit before [27, 109].

Other work revealed the importance of gas-phase proton transfer reactions [110–113]. This implies that multiply charged peptide ions do not exist as preformed ions in solution, but are generated by gas-phase ion–ion reactions. The proton exchange is driven by the difference in proton affinities (*PA*, Sects. 2.12 and 9.17) of the species encountered, e.g., a protonated solvent molecule of low *PA* will protonate a peptide ion with some basic sites left. Under equilibrium conditions, the process would continue until the peptide ion is “saturated” with protons, a state that also marks its maximum number of charges.

Even though the pathways of ion formation in ESI may be debatable [90, 91, 105], we can briefly summarize this as follows: CRM can be assumed to hold valid for large molecules [22], while the formation of smaller ions is better described by IEM [90, 91].



**Fig. 12.22** Positive-ion ESI spectra of (a) hen egg white lysozyme and (b) the protein after addition of 1,4-dithiothreitol (Reproduced from Ref. [106] by permission. © American Chemical Society, 1990)

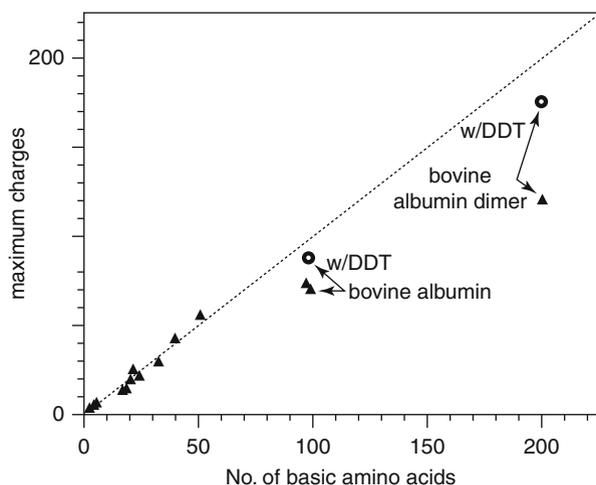
Independent of the “true” mechanism of ion liberation, the close correlation between the numbers of charge states and the surface a macromolecule exposes to its surrounding solvent can be used to observe these changes by ESI-MS [106–108, 114, 115].

## 12.5 Multiply Charged Ions and Charge Deconvolution

### 12.5.1 Dealing with Multiply Charged Ions

The above discussion of ion formation in ESI has revealed that – apart from compound class – the actual experimental conditions exert significant influence on the appearance of an ESI spectrum. The most influential factors are:

- pH of the sprayed solution,
- flow of sample solution,
- flow (or pressure) of nebulizing gas, and
- flow and temperature of the desolvation gas or the temperature of the heated desolvation capillary, respectively.

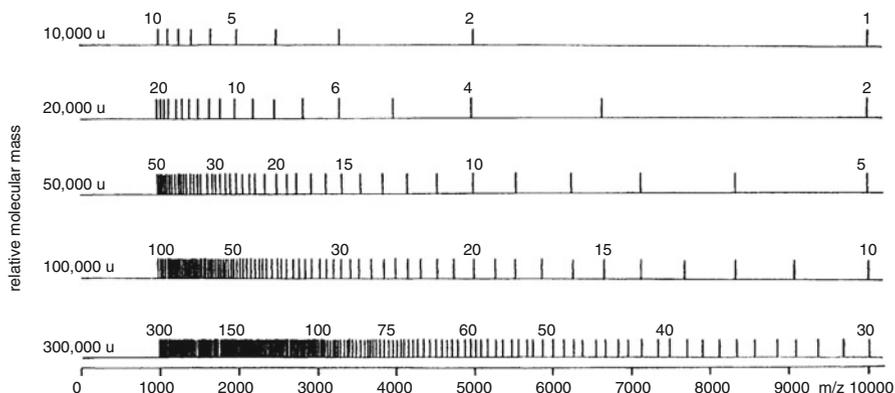


**Fig. 12.23** Correlation between the number of basic amino acid residues and the maximum number of charges observed for a set of peptides and proteins under ESI conditions. Labels w/DDT indicate reduction with dithiothreitol in solution (Reproduced from Ref. [106] by permission. © American Chemical Society, 1990)

In ESI, the number of charges on a molecule basically depends on its molecular weight [22, 116] and on the number of potential charge sites available, e.g., sites for protonation [12, 22, 106, 107], deprotonation [117, 118], or cationization [119]. On the one hand, this behavior advantageously folds up the  $m/z$  scale to make even extremely large molecules accessible to standard mass analyzers, e.g., up to  $m/z$  3000 (Fig. 12.24). On the other hand, it creates a confusingly large number of peaks and requires tools to deal with in order to enable reliable mass assignments of unknown samples.

While low-mass polymers exhibit only singly charged ions in ESI, polymers of higher mass form doubly, triply, and multiply charged ions [16]. Basically, the number of charges on those molecules increases in parallel to their average molecular weight. For example, polyethylene glycol of an average molecular mass of 400 u (PEG 400) exclusively exhibits singly charged ions in positive-ion ESI. PEG 1000 about equally forms 1+ and 2+ charge states, and with PEG 1500 the 3+ charge state starts to dominate [16, 105].

Furthermore, charge distributions depend on the sample concentration, e.g., PEG 1450 yields triply and few doubly charged ions at  $0.005 \text{ mg ml}^{-1}$  in  $\text{MeOH} : \text{H}_2\text{O} = 1 : 1$ , triply and doubly charged ions of equal abundance plus few singly charged ions at  $0.05 \text{ mg ml}^{-1}$ , but mainly doubly charged ions accompanied by few singly and triply charged ions at  $0.5 \text{ mg ml}^{-1}$  [105]. This demonstrates that a comparatively fixed number of charges in a droplet is distributed among few or many analyte molecules contained, and thus, supports IEM.



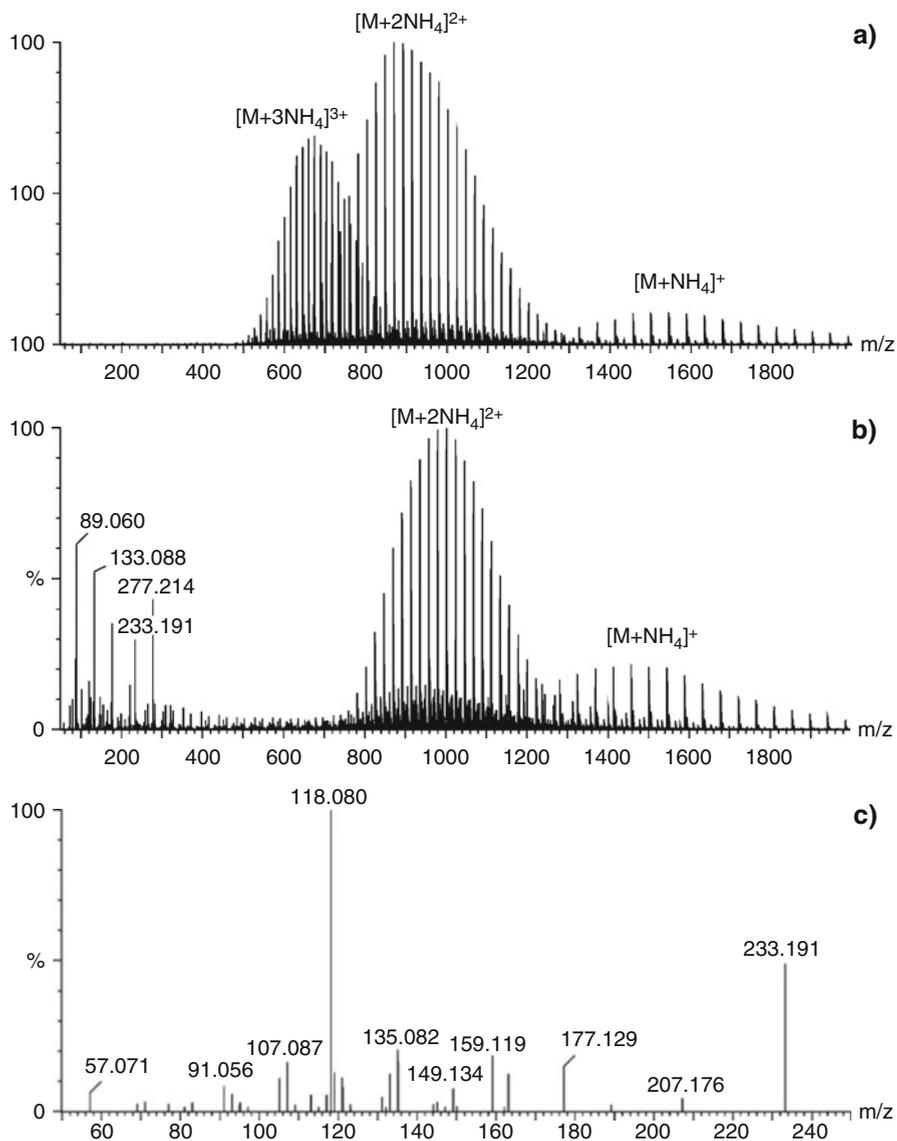
**Fig. 12.24** Calculated  $m/z$  values for the different charge states of molecules of different molecular weight. Representative peaks are labeled with their corresponding charge state (Adapted from Ref. [116] by permission. © John Wiley & Sons, 1992)

**Multiple cationization of PEG** PEGs and related oxygen-rich compounds readily form  $[M+\text{alkali}]_n^{n+}$  ions [16, 119, 120] or  $[M+n\text{NH}_4]^{n+}$  ions. Triton X-405, a commercial detergent used in acrylic paints for stabilization of the suspension, has been analyzed by positive-ion nanoESI-MS. The molecular weight distribution appeared trifold; in this case, due to the presence of ammonium acetate as  $[M+\text{NH}_4]^+$ ,  $[M+2\text{NH}_4]^{2+}$ , and  $[M+3\text{NH}_4]^{3+}$  ion series (Fig. 12.25a). For endgroup analysis *nozzle-skimmer dissociation* (NSD) was applied at 60 V offset upon which only the  $[M+3\text{NH}_4]^{3+}$  ions (least stable due to highest internal Coulombic repulsion) underwent significant dissociation to yield some low-mass fragment ions (Fig. 12.25b). The occurrence of  $[\text{C}_8\text{H}_{17}-\text{C}_6\text{H}_4-(\text{OC}_2\text{H}_4)_n]^+$  ions at  $m/z$  233 and 277 pointed towards octylphenyl endgroups. Their presence was then verified in a pseudo  $\text{MS}^3$  experiment by CID-MS/MS of the fragment ion at  $m/z$  233 at 20 V collision offset (Fig. 12.25c) [79].

**Annoying superimposition:** In ESI spectra of (synthetic) polymers, multiple charging causes the simultaneous occurrence of superimposing ion series each of them representing the molecular weight distribution of the polymer. Therefore, MALDI (Chap. 11) is generally preferred for polymer analysis as it delivers only singly charged ions, and thus provides mass spectra that are much easier to interpret.

### 12.5.2 Mathematical Charge Deconvolution

How would we be able to retrieve the correct molecular weight from ESI spectra as just presented in Figs. 12.21 and 12.22, or even worse, at the beginning of this chapter, in Fig. 12.1, if the charge states corresponding to individual peaks were not yet assigned? A systematic treatment of these ion series reveals that adjacent signal groups (to include isotopic patterns) in an ESI mass spectrum of a pure compound



**Fig. 12.25** Triton X-405 analyzed by positive-ion nanoESI-MS. (a) Molecular weight distribution appears trifold as  $[M+NH_4]^+$ ,  $[M+2NH_4]^{2+}$ , and  $[M+3NH_4]^{3+}$  ions series; (b) only the triply charged ions undergo significant dissociation upon NSD to yield low-mass fragment ions; (c) CID spectrum of fragment ion at  $m/z$  233 for endgroup analysis (Reproduced from Ref. [79] with permission. © Elsevier, 2009)

belong to charge states differing exactly by one, i.e., distributions have no gaps or jumps. This renders the calculation of the number of charges corresponding to individual peaks straightforward, and thus, permits to deduce an unknown molecular weight  $M_r$  [63, 116, 121].

For the charge states of neighboring peaks belonging to a pair at  $m/z_1$  (higher value) and  $m/z_2$  (lower value) we have :

$$n_2 = n_1 + 1 \quad (12.1)$$

Assuming all charges from protonation and using  $m_H$  for the mass of a proton,  $m/z_1$  is determined by

$$m/z_1 = \frac{M_r + n_1 m_H}{n_1} \quad (12.2)$$

and  $m/z_2$  of the peak at lower mass is given by

$$m/z_2 = \frac{M_r + n_2 m_H}{n_2} = \frac{M_r + (n_1 + 1) m_H}{n_1 + 1} \quad (12.3)$$

where  $n_2$  can be expressed by inserting Eq. 12.1. The charge state  $n_1$  can then be obtained from

$$n_1 = \frac{m/z_2 - m_H}{m/z_1 - m/z_2} \quad (12.4)$$

Having calculated  $n_1$ ,  $M_r$  is given by

$$M_r = n_1 (m/z_1 - m_H) \quad (12.5)$$

In case of cationization instead of protonation,  $m_H$  has to be replaced by the corresponding mass of the cationizing agent, in most cases simply by that of  $\text{NH}_4^+$ ,  $\text{Na}^+$ , or  $\text{K}^+$ . (The recognition of cationized species is addressed in Sects. 8.6 and 11.4).

**Manual charge deconvolution** For the ease of calculation we use nominal mass (Sect. 3.1.5) and assume the charges from protonation. Consider the first peak at  $m/z$  1001, the second at  $m/z$  501. Now  $n_1$  is obtained according to Eq. 12.4 from  $n_1 = (501 - 1)/(1001 - 501) = 500/500 = 1$ . Therefore,  $M_r$  is calculated from Eq. 12.5 to be  $M_r = 1 \times (1001 - 1) = 1000$ . (The doubly protonated ion is detected at  $m/z$  501 because  $(1000 + 2)/2 = 501$ .)

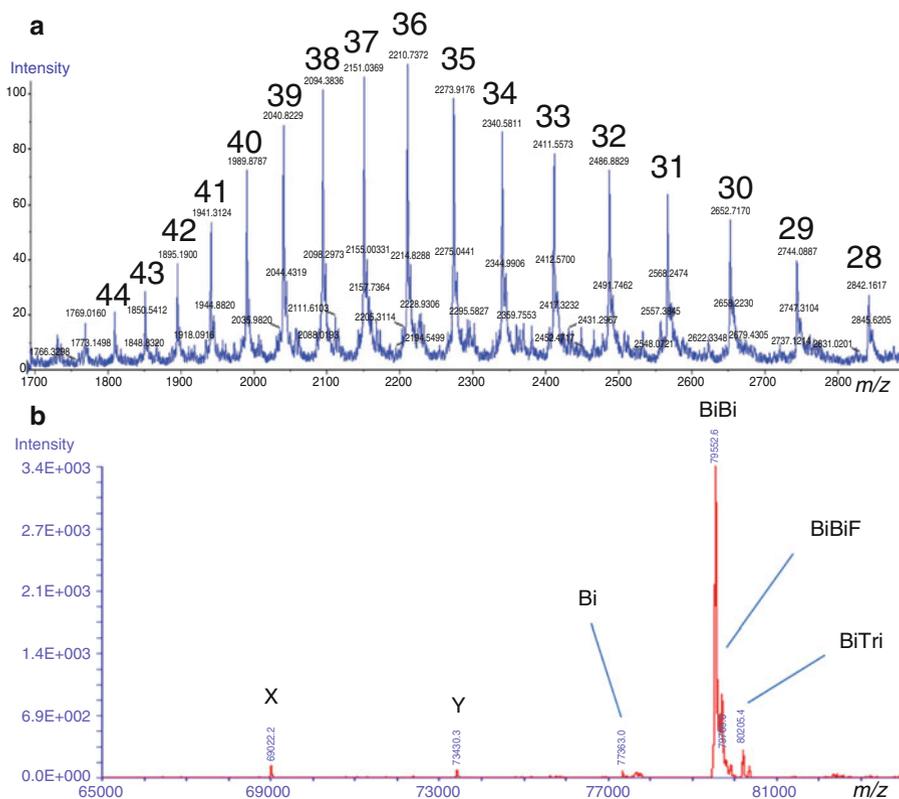
**Averaging increases accuracy:** As each pair of signals delivers an independent mass value for the hypothetical singly charged ion, mass accuracy can greatly be enhanced in ESI by multiple determination of this value and subsequent calculation of the average.

### 12.5.3 Computerized Charge Deconvolution

The above procedure may be time-consuming but at least it works for pure compounds. When mixtures are to be addressed, proper manual assignment of all peaks becomes increasingly difficult. Additional problems arise from the simultaneous presence of peaks due to protonation and cation attachment. Therefore, numerous refined algorithms have been developed to cope with these requirements [122–125]. Modern ESI instruments normally come with elaborate *charge deconvolution* software or offer such software as an option. The efficiency of these programs [126–128] can largely be improved by specifying some limitations, e.g., the expected range of charge numbers, the type of ions or presumed adducts, and the resolving power employed for the measurement. The resolving power is relevant to assist the algorithm in distinguishing neighboring peaks of other charge states from isotopic contributions.

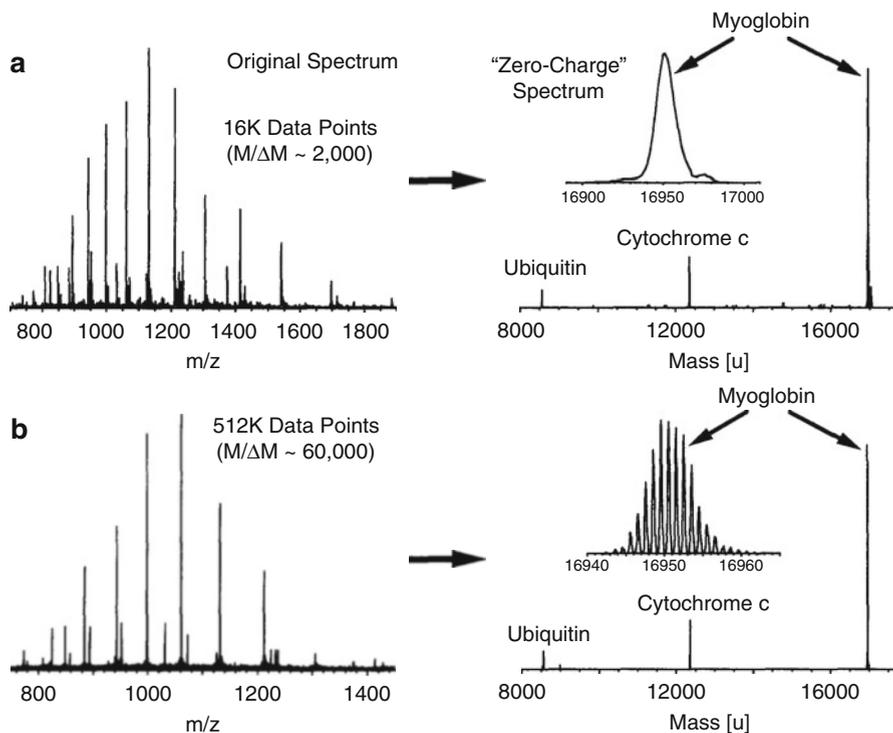
**ESI-MS of transferrin** Transferrin, a human protein of close to 80 kDa, occurs in several glycoforms, i.e., its structure contains different antenna-shaped oligosaccharide receptors. Here, these are indicated by labels for one biantenna (Bi), two biantennas (BiBi), one biantenna plus one triantenna (BiTri), and one biantenna plus one fucosylated biantenna (BiBiF), respectively. Transferrin may also lack an *N*-glycan, even in healthy individuals, while truncated transferrin, indicated by X and Y, may occur due to inappropriate storage or handling. The positive-ion ESI mass spectrum of transferrin from a healthy individual was measured using a medium-resolution TOF mass spectrometer (QSTAR, AB Sciex). The spectrum was obtained of a solution in acetonitrile : water = 60 : 40 (v/v) with 0.1% of formic acid. Under these conditions, multiple protonation leads to a wide distribution of charge states, mainly covering the range  $m/z$  1700–3000 (Fig. 12.26) [129]. However, it is hardly possible to detect all components contributing to the spectrum without charge deconvolution. After using ProMass deconvolution software (Thermo Fisher Scientific) to transform the raw data into a spectrum reflecting only singly charged ions, the individual components are easily recognized. The contributing proteins detected are X (69022 u), Y (73430 u), Bi (77363 u), BiBi (79553 u), BiBiF (79769 u), and BiTri (80205 u).

**ESI-MS at different levels of resolution** A mixture of the proteins bovine ubiquitin, horse cytochrome *c*, and horse myoglobin, each at a concentration of 10  $\mu$ M, was analyzed by positive-ion ESI-FT-ICR-MS. While the first analysis was carried out with only 16 k data (LR), the second was obtained from a 512 k transient (HR), resulting in  $R = 2000$  and  $R = 60,000$ , respectively (Sect. 4.7.5). Charge deconvolution by the *Zscore* algorithm delivered “zero-charge spectra” clearly separating the proteins (Fig. 12.27) [123]. The program used here handles LR spectra to yield unresolved isotopic distribution envelopes as well as HR spectra to show the full isotopic information in the resulting zero-charge spectrum.



**Fig. 12.26** Positive-ion ESI mass spectrum of a solution of transferrin in acetonitrile : water = 60 : 40 (v/v) with 0.1% of formic acid. (a) Spectrum as measured with the number of charges assigned, (b) after charge deconvolution to deliver a hypothetical spectrum of singly charged ions. Labels indicate one biantenna (*Bi*), two biantennas (*BiBi*), one biantenna plus one triantenna (*BiTri*), and one biantenna plus one fucosylated biantenna (*BiBiF*), respectively. Truncated transferrin is indicated by X and Y (Adapted from Ref. [129] with permission. © Springer, 2016)

**Charge deconvoluted spectra:** The output of computerized charge deconvolution can often be customized to either display the hypothetical mass spectrum as it would appear with singly charged ions (Fig. 12.26) or to deliver “zero-charge” spectra showing the molecular weights of the corresponding neutrals (Fig. 12.27). It is important to note that the output of neutral  $M_r$  represents the only case where the abscissa *has to be* labeled “mass [u]”, while mass spectra strictly require “m/z” on the x axis!

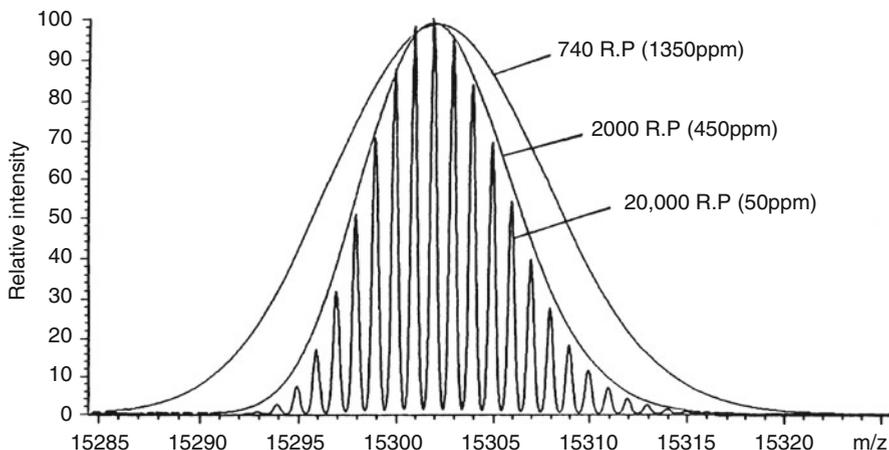


**Fig. 12.27** Charge deconvolution of (a) LR and (b) HR positive-ion ESI spectra of an artificial protein mixture. The “zero-charge” peak of myoglobin is also shown in expanded view to reveal the delineation of the isotopic pattern (Adapted from Ref. [123] by permission. © Elsevier, 1998)

### 12.5.4 Hardware Charge Deconvolution

The most effective technique to achieve charge deconvolution of complex spectra due to multiply charged ions is to achieve the full separation between signals corresponding to different charge states and to resolve their isotopic patterns. Beyond a molecular weight of about a few thousand u this requires high-resolving mass analyzers, because the isotopic distribution of organic ions then becomes several mass units wide (Fig. 12.28). The minimum resolving power needed for full isotopic separation is always equal to the ion’s mass number (Sects. 3.4, 3.7, and 3.8), independent of the charge state of the ion. Lower resolution only envelops the isotopic distribution. At insufficient resolution, the resulting peak may even be wider than the envelope [116].

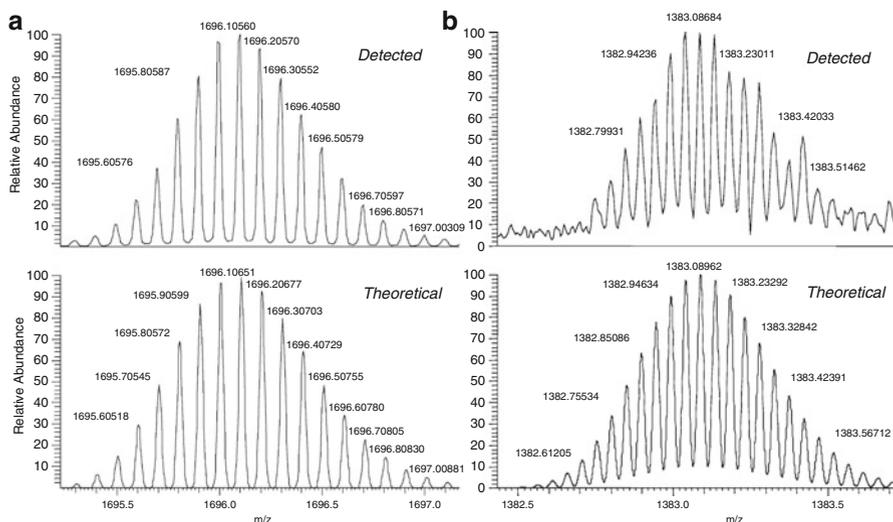
Magnetic sector instruments (Sect. 4.3) were used first to demonstrate the beneficial effects of high resolution on ESI spectra of biomolecules [120, 130, 131]. Today, FT-ICR instruments (Sect. 4.7) [132, 133] and more recently orthogonal acceleration time-of-flight (oaTOF, Sects. 4.2.8 and 4.9) or Orbitrap (Sect. 4.8) analyzers offer by far more effective means to resolve such signals.



**Fig. 12.28** Theoretical peak shape for a hypothetical singly charged protein ion of  $M_r = 15,300$  u at different settings of resolution (Reproduced from Ref. [116] by permission. © John Wiley & Sons, 1992)

**Rule for minimum resolving power:** The mass resolving power to achieve full separation of isotopic peaks only depends on the molecular weight,  $M_r$ , of the analyte, but it is independent of the number of charges,  $z$ , of the ions. For example, the isotope peaks of the  $[M+H]^+$  ion of thioredoxin (Fig. 12.1) would appear at  $m/z$  11674 and be spaced at  $\Delta(m/z) = 1$ . Using  $R = m/\Delta m$  (Sect. 3.4) we calculate the minimum value  $R_{\min} = 11674 / 1 = 11674$ . For the  $[M+8H]^{8+}$  ion of this protein ( $z = 8$ ), the signals are centered at  $m/z$  1459 and spaced at  $\Delta(m/z) = 0.125$ . Thus, we calculate  $R_{\min} = m/\Delta m = 1459 / 0.125 = 11674$ . In brief, the numerical value of  $M_r$  directly reflects  $R_{\min}$ .

**ESI-Orbitrap mass spectra of intact proteins** The positive-ion ESI-Orbitrap mass spectra of intact proteins show fully resolved isotopic patterns when 100 transients at a resolving power setting of  $R = 100,000$  are acquired and charge deconvoluted. Figure 12.29 shows the results for the  $[M+10H]^{10+}$  ion of horse heart apomyoglobin,  $m = 16,940.965$  u (neutral mass of monoisotopic molecule) and of the  $[M+21H]^{21+}$  ion of carbonic anhydrase,  $m = 29,006.683$  u, both in very good agreement with the calculated isotopic patterns and masses [134]. A comparison with the previous example also demonstrates the great advances made in MS instrumentation that now allows to routinely resolve such signals. (Further examples are given by the ESI-ECD-FT-ICR spectra of proteins in Sect. 9.13 or by example II in the preceding Sect. 12.5.3.)



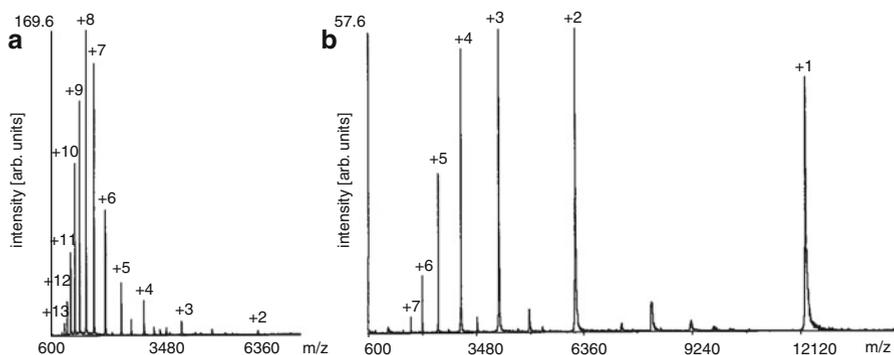
**Fig. 12.29** ESI-orbitrap-MS of proteins. Fully resolved isotopic patterns as obtained upon charge deconvolution of (a) the  $[M+10H]^{10+}$  ion of horse heart apomyoglobin,  $m = 16,940.965$  u (neutral mass of monoisotopic molecule) and (b) the  $[M+21H]^{21+}$  ion of carbonic anhydrase,  $m = 29,006.683$  u (Reproduced from Ref. [134] by permission. © American Chemical Society, 2006)

### 12.5.5 Controlled Charge Reduction in ESI

The complexity of ESI spectra of mixtures with all components forming series of multiply charged ions is apparent. An alternative approach to high resolution and data reduction by charge deconvolution is presented by the controlled reduction of the charge states themselves. *Charge reduction electrospray* results in a significantly reduced number of peaks per component at the cost of their detection being required at substantially higher  $m/z$  [135, 136]. In particular oaTOF analyzers provide a sufficient mass range for such an experimental approach at reasonable cost.

Charge reduction can be accomplished by neutralizing ion–molecule reactions during the desolvation step of ESI. The reducing ions needed for such neutralizations can either be generated by irradiating the gas with a  $^{210}\text{Po}$   $\alpha$ -particle source [135, 137] or much more conveniently by a corona discharge [138, 139]. Besides being nonradiative, the corona discharge offers the advantage of being tunable to achieve varying degrees of neutralization (Fig. 12.30) [138, 139].

Ion–ion chemistry of oppositely charged ions provides another access to charge reduction and charge state determination [110]. Such studies were done either by employing the region preceding the skimmer of an ESI interface as a flow reactor or inside a quadrupole ion trap. In the first case, both the substrate ion and the oppositely charged reactant ion are created by means of two separate ESI sprayers attached to a common interface [140]. The approach in a quadrupole ion trap makes



**Fig. 12.30** Positive-ion ESI mass spectra of cytochrome c (a) under standard ESI conditions from acidic solution, (b) same but with medium setting of charge-reducing corona discharge (Adapted from Ref. [138] by permission. © American Chemical Society, 2000)

use of the in-trap generation of proton-transferring reactant ions, while substrate ions are admitted via the ESI interface [141]. Finally, a noninstrumental approach is to derivatize the analytes as to reduce their ability of binding protons at basic functional groups [136].

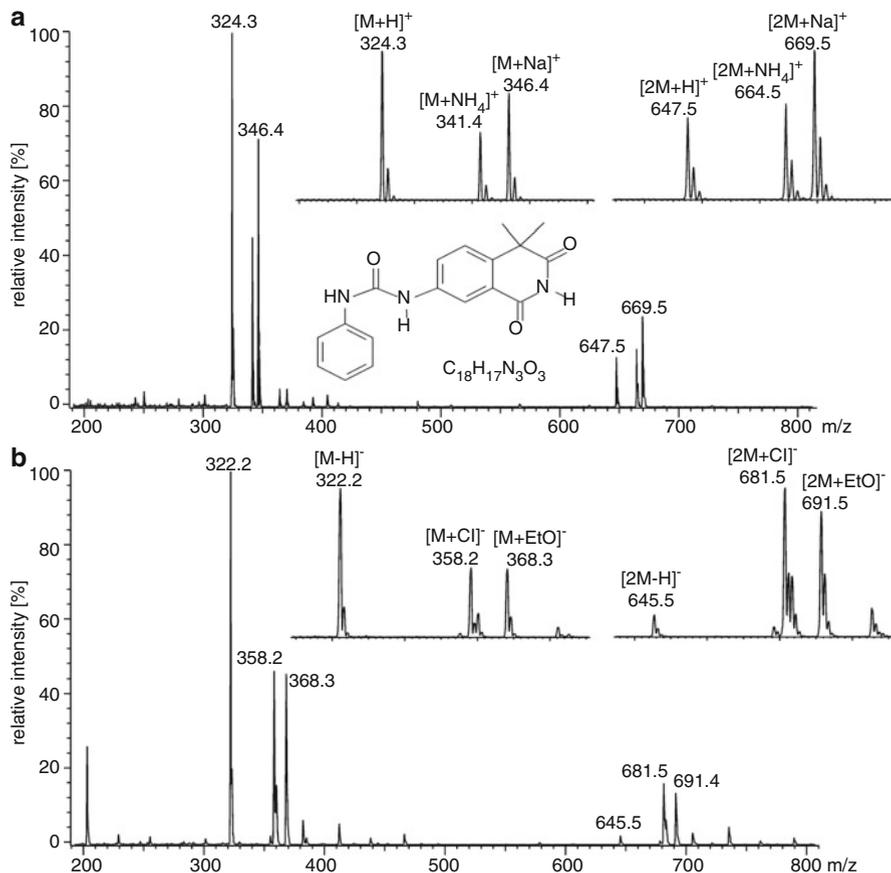
## 12.6 Applications of ESI-MS

ESI-MS is not only a versatile tool for any aspects of peptide and protein characterization including their complete sequencing, it also offers a tremendous variety of other applications some of which are highlighted below [4, 9–11, 25, 142–145].

### 12.6.1 ESI-MS of Small Molecules

Polar analytes in the  $m/z$  100–1500 range are often involved in pharmaceutical analytics including metabolism studies. The types of ions formed are various and depend on the ion polarity, the pH of the solution, the presence of salts, and the concentration of the sprayed solution. Multiply charged ions are rarely observed.

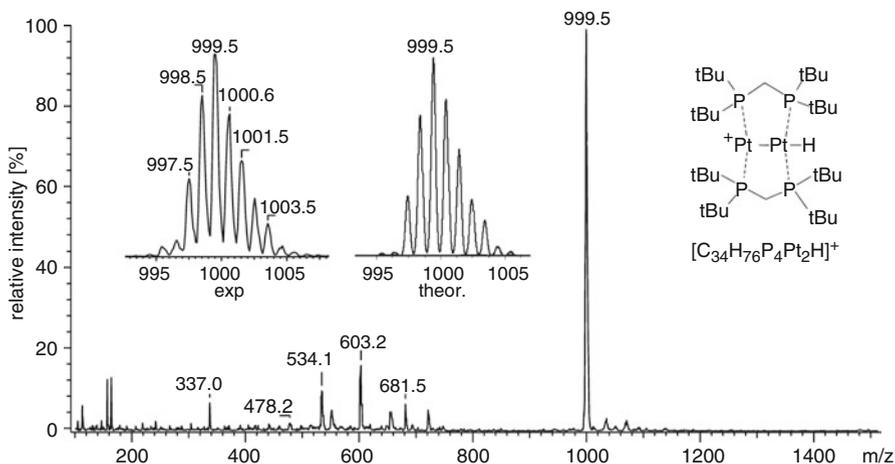
**Drug development** The compound below represents the functional part of an effective drug (BM 50.0341) inhibiting HIV-1 infection by suppression of the unfolding of the gp120 glycoprotein (Fig. 12.31). Its positive-ion nanoESI spectrum from ethanol in the presence of ammonium chloride exhibits signals due to the formation of  $[M+H]^+$ ,  $[M+NH_4]^+$ , and  $[M+Na]^+$  ions. In addition, cluster ions of the type  $[2M+H]^+$ ,  $[2M+NH_4]^+$ , and  $[2M+Na]^+$  are observed as typical for samples at comparatively high concentration. In negative-ion mode [146–149], the  $[M-H]^-$  ion is accompanied by  $[M+Cl]^-$  and  $[M+EtO]^-$  adduct ions; the corresponding cluster ion series is also observed.



**Fig. 12.31** Positive-ion (a) and negative-ion (b) nanoESI spectra of an anti-HIV drug from ethanol in the presence of ammonium chloride (By courtesy of H.-C. Kliem and M. Wiessler, German Cancer Research Center, Heidelberg)

## 12.6.2 ESI of Metal Complexes

In general, ESI can be well applied to ionic metal complexes and related compounds if these are soluble to at least  $10^{-6}$  M in solvents suitable for the method [23–25]. Whether conventional or nanoESI should be employed basically depends on the tendency of the respective compounds towards decomposition. Labile complexes or compounds that are strongly adhesive to surfaces are preferably analyzed by nanoESI to avoid long-lasting contamination of the sample supply line. Illustrative examples in this field are presented by the application of ESI to isopoly metal oxyanions [150], polyphosphates [151], transition metal complexes (Fig. 12.32) [26, 152, 153], and cadmium sulfide clusters [154]. Furthermore, the



**Fig. 12.32** Positive-ion ESI spectrum of a cationic dinuclear platinum hydride complex from dichloromethane solution. The *insets* compare experimental and theoretical isotopic patterns (By courtesy of P. Hofmann, Heidelberg University)

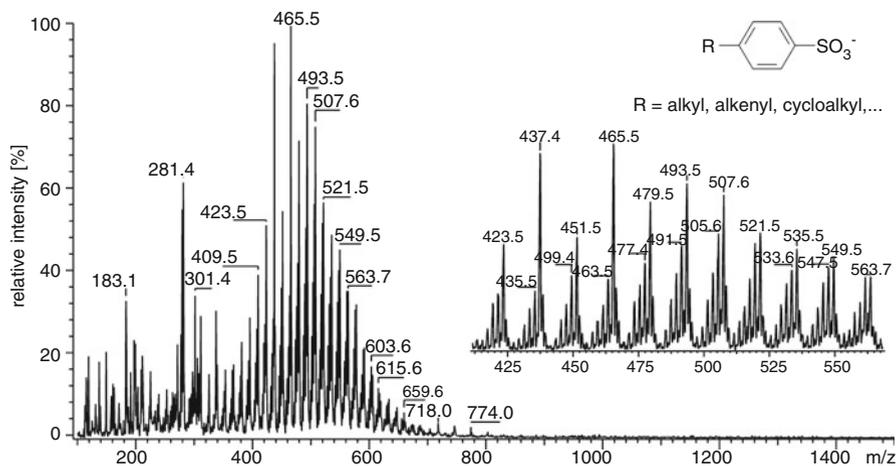
gas-phase reactions of electrosprayed metal complexes can be directly examined by tandem MS techniques (Sect. 9.17.1) [155–157].

### 12.6.3 ESI of Surfactants

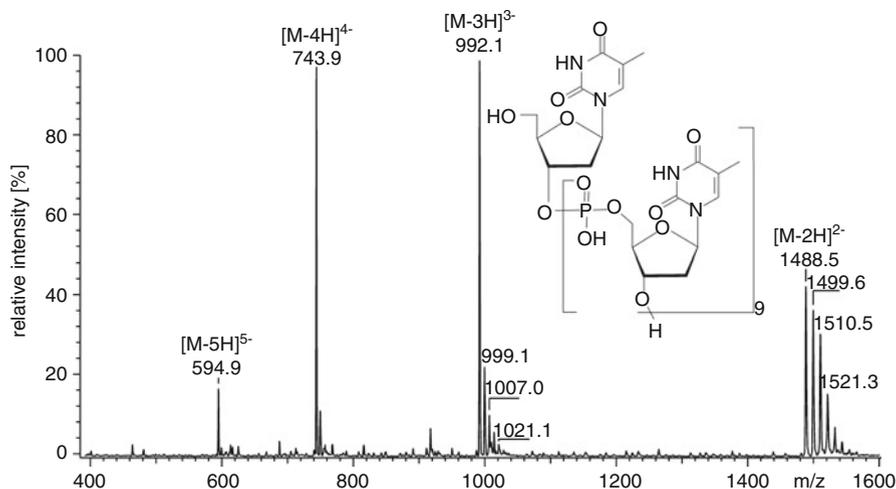
Surfactants belong to a group of products where a low price is crucial, and therefore they are usually synthesized from coarsely defined mineral oil fractions or vegetable oils both of which represent (sometimes complex) mixtures (Fig. 12.33). Cationic and anionic surfactants are readily detected by ESI, but it also serves well for the detection of nonionic surfactants which tend to form  $[M+\text{alkali}]^+$  or  $[M-H]^-$  ions, respectively [158–162].

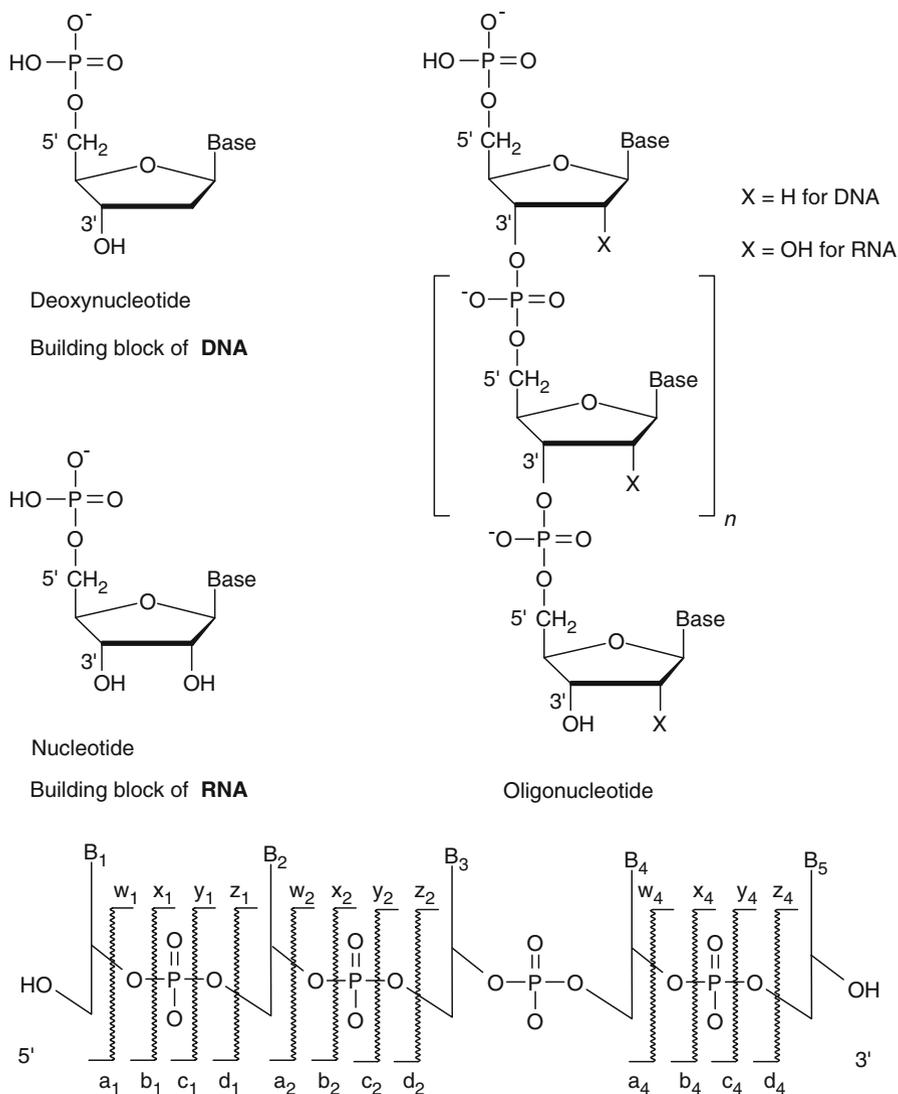
### 12.6.4 Oligonucleotides, DNA, and RNA

For the analysis of *oligonucleotides*, *DNA*, and *RNA* negative-ion ESI is best suited [145]. While MALDI becomes difficult beyond oligonucleotide 20-mers, ESI can handle much larger molecules [163–166]. The problems associated with mass spectrometry of oligonucleotides are due to the often multiple exchange of protons versus alkali ions (Sect. 11.5). Instead of ion exchange beads, nitrogen bases have proven very helpful in removing alkali ions from solutions [167]. In particular, the addition of 25 mM imidazole and piperidine yields very clean spectra (Fig. 12.34) [168]. Even after cation removal, these polyanionic species are demanding targets for MS.



**Fig. 12.33** Negative-ion ESI spectrum of an industrial cooling lubricant dissolved in 1-propanol at 1:1000. The dominant ions belong to alkylbenzene sulfonates. The *inset* expands the  $m/z$  420–555 range, the most intensive peaks belonging to saturated alkyl chains (By courtesy of OMTEC GmbH, Eberbach)





**Fig. 12.35** Structures of nucleotides of DNA and RNA (*upper left*), general structure of oligonucleotides (*upper right*), and basic fragmentation scheme of oligonucleotides using the symbolized writing convention for the oligonucleotide backbone comprising furanose and base (*bottom*)

are termed *ribonucleic acids* (RNAs), those based on deoxyribose are termed *deoxyribonucleic acids* (DNAs). The bases attached to the pentose moieties are nitrogen-rich heterocyclic compounds.

Sequencing of oligonucleotides by ESI-MS/MS techniques is also feasible [163, 165, 169, 170]. Charged fragments of the 5' end are denoted with letters and numerical subscripts from the beginning of the alphabet (*a*- to *d*-type ions) while fragments of the 3' end are labeled as *w*- to *z*-type ions (Fig. 12.35) [171]. The bases on the ribose moieties are denoted either without qualification as base  $B_n$  with the subscripts starting on the 5' end or by using the one-letter code of the entire nucleotides.

**Sequence of an oligonucleotide** The ESI-FT-ICR spectrum of  $[M-4H]^{4-}$  ions of the phosphorothioate deoxyoligonucleotide 5'-GCCCAAGCTGGCATCCGTC-3' upon IRMPD exhibits numerous peaks corresponding to fragment ions of charge states from 1- to 4- (Fig. 12.36). This mass spectrum is greatly simplified upon charge deconvolution. Thanks to the resolving power of FT-ICR-MS, the assignment of charge states is absolutely reliable. In the resulting zero-charge spectrum,  $a_n$ -*B* and  $w_n$  fragment ions can be retrieved and the sequence thus derived [169].

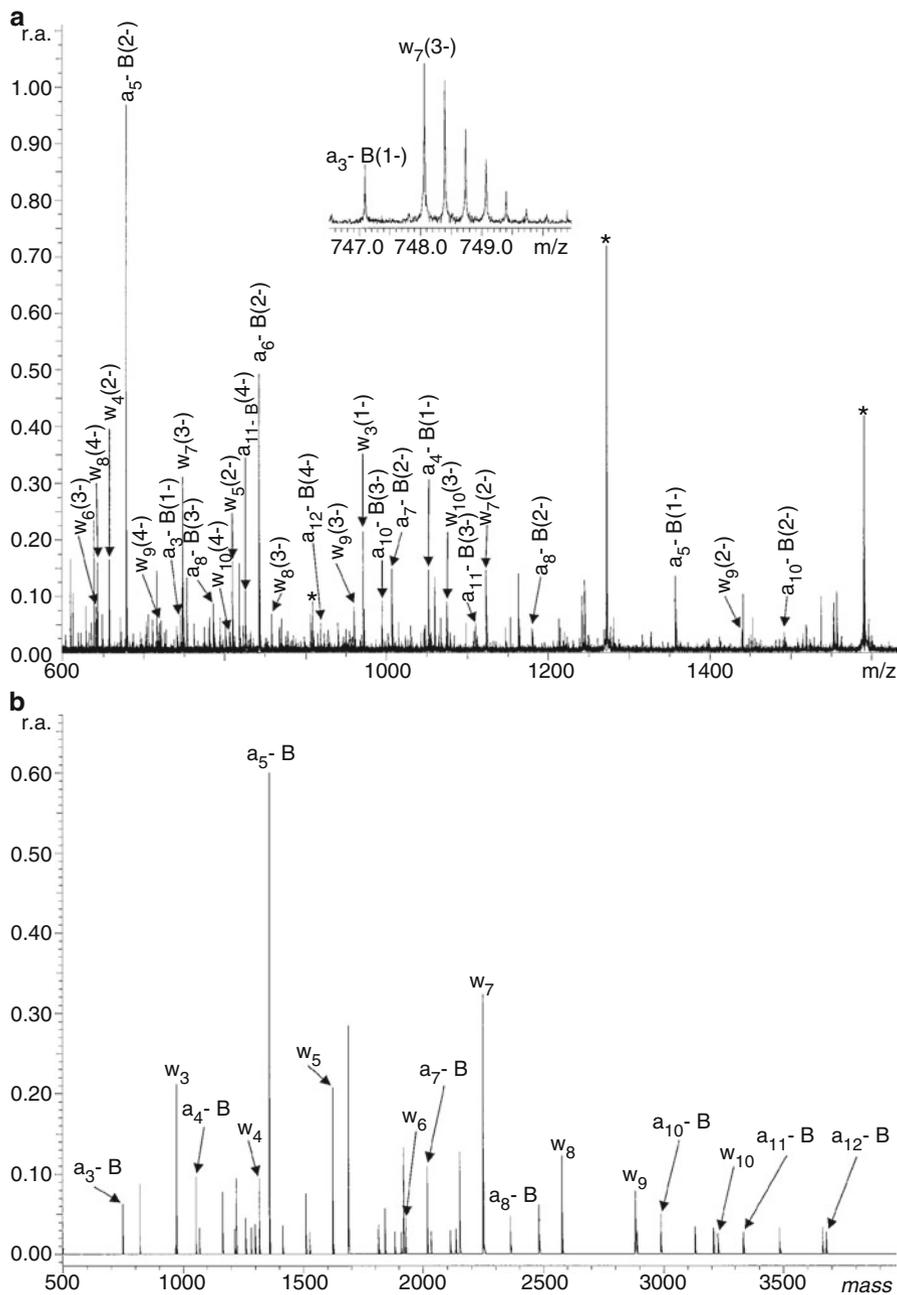
### 12.6.5 ESI-MS of Oligosaccharides

Oligosaccharides [55, 74, 172, 173] as well as closely related compounds such as glycoproteins [73, 174], gangliosides [175], liposaccharides etc. are similar to oligonucleotides in that they require polar solvents and very soft ionization, in particular when the molecules are branched. As demonstrated by a large number of applications, ESI permits molecular weight determination and structure elucidation in these cases (Fig. 12.37; for a general fragmentation scheme cf. Sect. 11.5) [5].

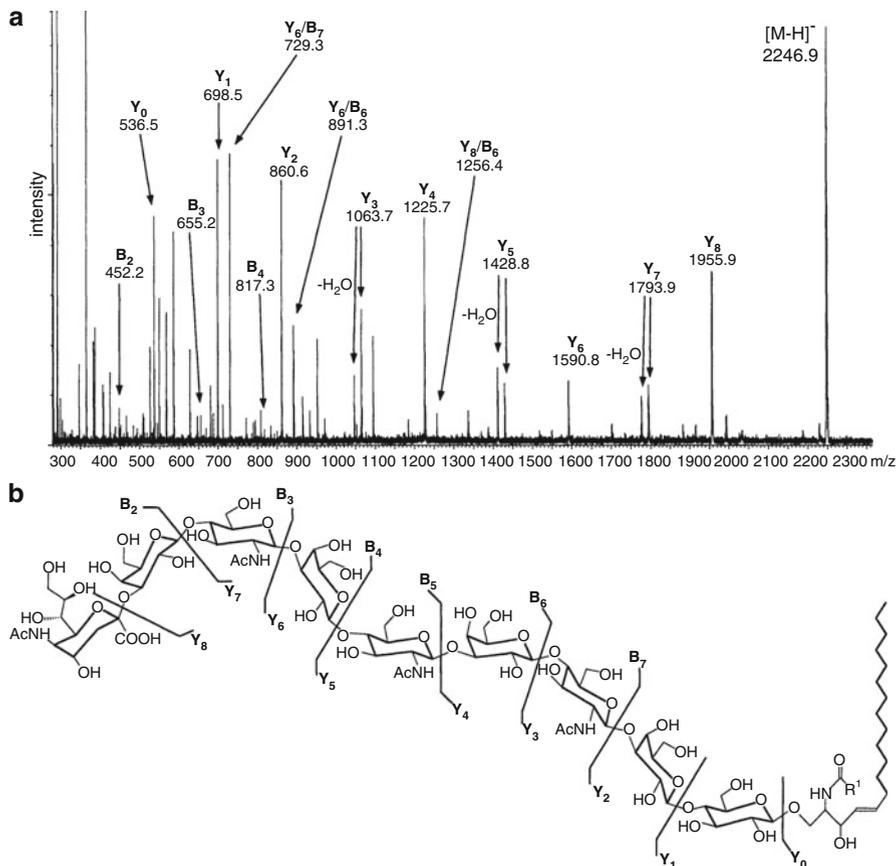
### 12.6.6 Observing Supramolecular Chemistry at Work

The outstanding softness of ion formation of ESI allows to preserve noncovalent bonds during the sequence of ion liberation from solution into the gas phase. This can be observed by the formation of solvent adducts, cluster ions, and in particular, by its ability to deliver supramolecular systems into the gas phase [144, 176–180].

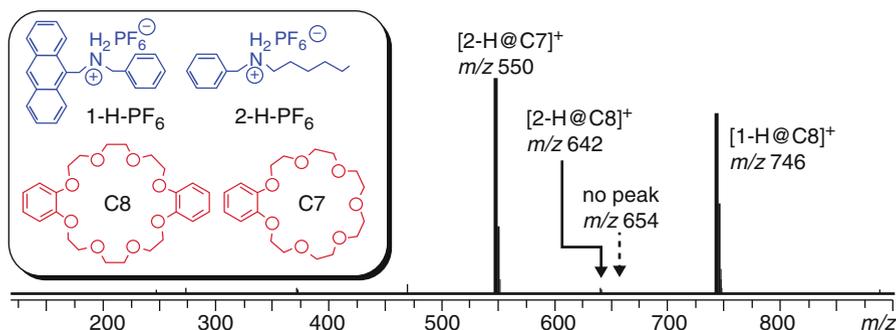
**Formation of pseudorotaxanes** The alkyl groups of secondary ammonium ions are able to barely pass through the cavity of benzo-21-crown-7 (**C7**) while phenyl groups require the wider cavity of dibenzo-24-crown-8 (**C8**) to form pseudorotaxanes. On the other side, phenyl groups suffice as stoppers to trap **C7** on the axle. The larger **C8** forms pseudorotaxanes even with secondary *dibenzyl* ammonium ions. This can be directly observed by positive-ion ESI-MS of a mixture of suitably substituted ammonium ions as in case of a four-component self-sorting system consisting of **1-H**·PF<sub>6</sub>, **2-H**·PF<sub>6</sub>, **C7**, and **C8** (inset of Fig. 12.38) [178]. As anthracenyl and phenyl groups are present in **1-H**·PF<sub>6</sub>, it cannot overcome the barrier to insert into **C7**. Thus, only two peaks of high intensity are observed, i.e., for [**2-H**@**C7**]<sup>+</sup>, *m/z* 550, and for [**1-H**@**C8**]<sup>+</sup>, *m/z* 746. A weak peak indicating



**Fig. 12.36** ESI-FT-ICR IRMPD spectrum of negative ions of the phosphorothioate deoxyoligonucleotide 5'-GCCCAAGCTGGCATCCGTC A-3'. (a) The mass spectrum as obtained and (b) after charge deconvolution. Only the  $a_n$ -B and  $w_n$  fragment ions are labeled. In (a) peaks corresponding to residual precursor ions are labeled with an asterisk. The *inset* shows that closely spaced fragment ions can be resolved by FT-ICR-MS (Adapted from Ref. [169] with permission. © Elsevier, 2003)



**Fig. 12.37** (a) NanoESI-CID-MS/MS spectrum of the  $[M-H]^-$  ion,  $m/z$  2246.9, of a modified nonasaccharide obtained in a Q-TOF hybrid instrument and (b) proposed structure with fragments indicated (Reproduced from Ref. [175] by permission. © Elsevier Science, 2001)



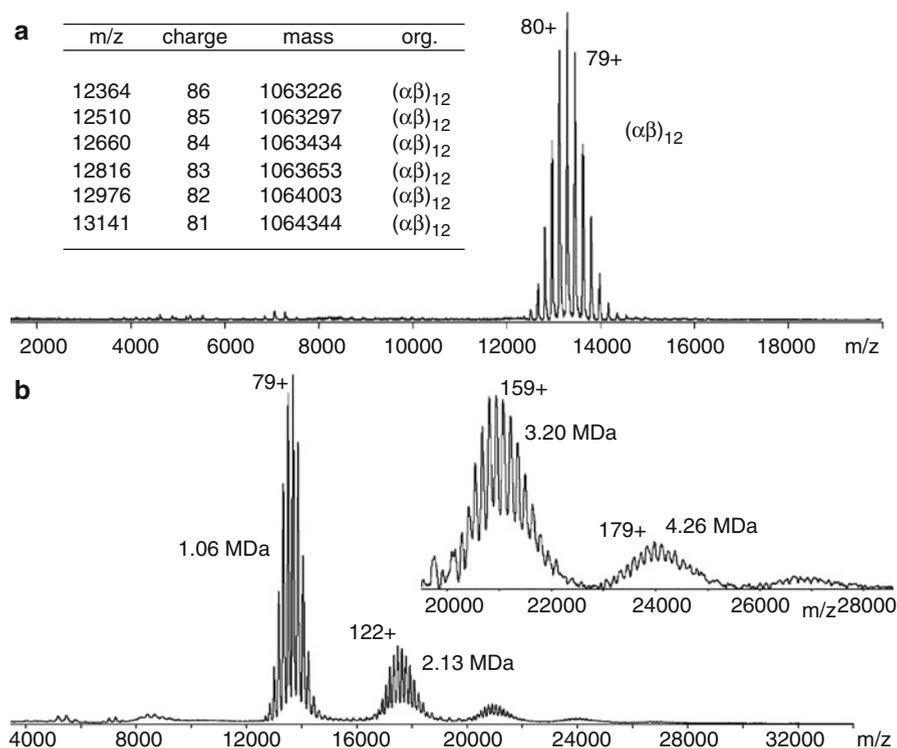
**Fig. 12.38** Electrospray-ionization Fourier-transform ion-cyclotron-resonance (ESI-FT-ICR) mass spectrum of an equimolar mixture of 1-H·PF<sub>6</sub>, 2-H·PF<sub>6</sub>, C7, and C8 in dichloromethane and their chemical structures (*inset*) (Reproduced from Ref. [178] with permission. © American Chemical Society, 2008)

[2-H@C8]<sup>+</sup> is just visible at  $m/z$  642. 1-H<sup>+</sup> and C7, in contrast, do not join into a complex as indicated by the missing peak at  $m/z$  654.

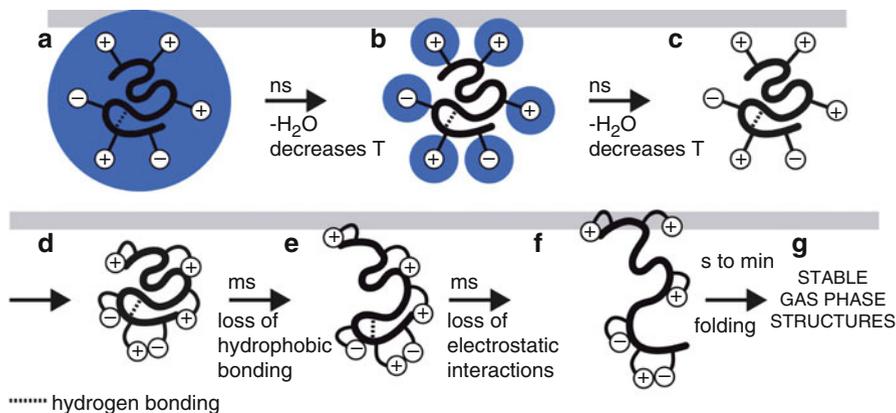
### 12.6.7 High-Mass Proteins and Protein Complexes

The extraordinary high-mass capabilities of ESI allow for the analysis of proteins and protein complexes far beyond  $10^6$  u (>1 MDa) [14, 15, 21, 176, 177]. Even though the resulting ions are formed in very high charge states special mass analyzers with an expanded  $m/z$  range are required for this type of research.

**Ions of 1 Mu** The gram-negative spiral bacterium *Helicobacter pylori* is infecting almost half of the world's population. *H. pylori* possesses a very large multi-protein complex urease, which is essential for its survival in the acidic environment of the stomach. NanoESI has been used to establish the molecular weight of the 12-mer urease complex of  $1,063,900 \pm 600$  u (Fig. 12.39) [15]. Spraying urease at higher



**Fig. 12.39** NanoESI spectra of urease in 200  $\mu$ M ammonium acetate at pH 8.0. (a) Using 20  $\mu$ M urease mainly delivers  $(\alpha\beta)_{12}$  subunits while (b) 40  $\mu$ M concentration of the urease monomer also yield 24-, 36-, and even 48-mers (Adapted from Ref. [15] with permission. © Wiley Periodicals, Inc., 2004)



**Fig. 12.40** Stepwise protein desolvation (A  $\rightarrow$  C), denaturation to an exterior-collapsed structure (D) and refolding to a stable gas-phase structure (D  $\rightarrow$  G) in transit from native solution phase to gas phase (Reproduced from Ref. [177] with permission. © The National Academy of Sciences of the USA, 2008)

concentration results in the formation of even larger protein complexes up to 48-mers of  $>4$  Mu that are detected at up to about  $m/z$  27,000 corresponding to charge states of  $>180+$ .

The question of whether or not proteins preserve their solution structure during ESI-MS has been addressed by Breuker and McLafferty [177]. According to their discussion, a protein ion loses the last hundred or so water molecules that are forming a monolayer around it during the very last steps of ion desolvation on the nanosecond timescale. The loss of water is accompanied by strong cooling of the protein ion, which finally collapses within several picoseconds as its exterior ionic interactions have been lost. Then, much slower during several milliseconds, the protein undergoes loss of hydrophobic bonding and of electrostatic interactions before it stabilizes over seconds to a final gas-phase conformation that is different from its native structure in solution. Due to the limited life time of the ions in normal ESI operation only the steps down to the cold exterior-collapsed structure can take place (Fig. 12.40).

## 12.7 Electrospray Roundup

### Mode of Operation

In electrospray ionization an electrolytic solution is dissipated at atmospheric pressure by means of an electrostatic field to form an electrically charged aerosol. Aerosol formation is normally supported by a nebulizer gas (pneumatically-assisted ESI). Ions present in the charged droplets are liberated into the gas phase along a sequence of repeated droplet shrinking and droplet disintegration. During these steps, the mixture comprising gas, ions, and residual microdroplets is continuously transferred from the open atmosphere into the vacuum of the mass analyzer by

**Table 12.1** Ions formed by ESI

Analytes	Positive ions	Negative ions
Low polarity	$[M+H]^+$ , $[M+cat]^+$ if any at all <sup>a</sup>	$[M-H]^-$ , $[M+an]^-$ if any at all <sup>a</sup>
Medium polarity	$[M+H]^+$ , $[M+cat]^+$ , $[M+alkali]^{+a}$	$[M-H]^-$ , $[M+an]^{-a}$
Medium to high polarity	$[M+H]^+$ , $[M+cat]^+$ , $[M+alkali]^{+a}$ <i>exchange</i> $[M-H_n+alkali_{n+1}]^+$ { <i>clusters</i> $[2M+H]^+$ , $[2M+alkali]^+$ , <i>adducts</i> $[M+solv+H]^+$ , $[M+solv+alkali]^+$ } <sup>c</sup>	$[M-H]^-$ , $[M+an]^{-a}$ <i>exchange</i> $[M-H_n+alkali_{n-1}]^-$ { <i>clusters</i> $[2M-H]^-$ <i>adducts</i> $[M+solv-H]^-$ } <sup>c</sup>
Ionic <sup>b</sup>	$C^+$ , $[C_n+A_{n-1}]^+$	$A^-$ , $[C_{n-1}+A_n]^-$

<sup>a</sup>Some cation  $cat^+$  or anion  $an^-$  incidentally present

<sup>b</sup>Comprising analyte cation  $C^+$  and analyte anion  $A^-$

<sup>c</sup>Braces denote less abundant species

means of an interface employing differential pumping stages. ESI is extremely soft as there is no ionization process involved that could impart energy on analyte molecules.

### Analytes Suitable for Electrospray

As a general rule, ESI-MS can successfully be applied when the analyte is intrinsically ionic or can easily be transformed in solution phase to become ionic by protonation, deprotonation, cation attachment, or anion attachment. Thus, ESI can handle medium polar to ionic analytes from as low as 10 u to as high as  $10^6$  u in mass. ESI can deal equally well with ions of both polarities, whereas neutral nonpolar analytes are not suitable (Table 12.1).

The solvent may vary from volatile, nonpolar, and aprotic to moderately volatile, highly polar, and protic, e.g., diethylether, tetrahydrofuran, dichloromethane, trichloromethane, isopropanol, methanol, acetonitrile, water, and mixtures of these. Up to about 10% of dimethylsulfoxide or dimethylformamide can be tolerated in aqueous or methanolic solution. The addition of volatile acids or bases to promote ion formation is frequently employed. Volatile buffers and low concentrations of alkali ions ( $<10^{-3}$  M) to promote cationization can be tolerated.

As a rule of thumb, less volatile solvents call for more sheath (nebulizer) gas (typical range is 1–3 l min<sup>-1</sup>) and higher temperature of the desolvation gas or heated capillary (typical range is 150–250 °C), respectively. Both, insufficient desolvation and too strong desolvation will cause the ion formation to cease.

### Types of Ions in ESI

Very similar to the previously introduced desorption methods ESI produces a variety of ions depending on the polarity of the analyte, the characteristics of the solvent, and on the presence or absence of impurities such as alkali metal or ammonium ions, for example. Radical ions are normally not observed (Table 12.1).

### Sample Consumption

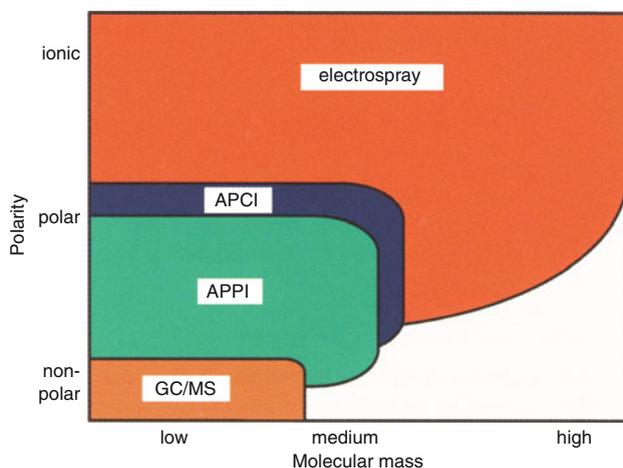
Sample consumption is chiefly determined by the concentration of the analyte solution and the liquid flow. For example, during a 1-min measurement conventional ESI consumes 4 pmol of sample when a  $10^{-6}$  M solution is delivered at a flow of  $4 \mu\text{l min}^{-1}$ . For nanoESI this reduces to 40 fmol for the same solution at  $40 \text{ nl min}^{-1}$ . Modern instruments have notably lower sample consumption, because recent interfaces transmit a much larger fraction of ions into the mass analyzer. Thus, while being operated at the same flow, very short acquisition times result in low volumes of sample solution.

### Mass Analyzers for ESI

ESI and all other API sources are available in combination with all types of mass analyzers, i.e., basically one can choose any mass analyzer according to the analytical requirements. As fragment ions in ESI are often absent or at least exhibit very low abundance, analyzers capable of tandem MS and/or accurate mass measurement are preferred to provide an additional source of mass spectral information.

### Comparison of ESI to APCI and APPI

The importance of ESI for LC-MS can be inferred from its wide range of analyte acceptance in terms of both polarity and mass. A graphical representation of the molecular weight and analyte polarity ranges covered by ESI, APCI, and APPI is best suited to give an impression of the preferred fields of application for these methods (Fig. 12.41). APCI and APPI, on the other hand, are very similar in coverage as both are accessing the lower left segment of the polarity-mass plane



**Fig. 12.41** ESI features a wide range of analyte acceptance in terms of both polarity and mass to handle medium polar to ionic analytes while APCI and APPI give access to the lower left segment of the polarity-mass plane (Adapted with permission of U. Karst from cover illustration of *Anal Bioanal Chem* 378(4), 2004. © Springer, Heidelberg, 2004)

in this illustration. APCI and APPI were discussed in the context of chemical ionization in Sects. 7.8 and 7.9.

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